





ADVANCED MASTERS IN STRUCTURAL ANALYSIS OF MONUMENTS AND HISTORICAL CONSTRUCTIONS



João Alberto Pinheiro Pereira de Almeida

Mechanical characterization of traditional adobe masonry elements









## **Erasmus Mundus**





# **Master's Thesis**

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Mechanical characterization of traditional adobe masonry elements



University of Minho







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## DECLARATION

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## ABSTRACT

Earthen materials have been used in construction since thousands years and several authors estimated that around one third of the world population lives in earth constructions. In Aveiro region the adobe masonry was used as load bearing element in numerous dwellings. The low cost and availability of material were factors that contributed to the selection of this constructive system. Nowadays, an important amount of these dwellings are being under rehabilitation processes and in many cases the entities involved do not preserve the adobe masonry as load bearing due to an absence of information on adobe masonry properties and behaviour.

A mechanical characterization of adobe specimens and adobe masonry prisms was developed using adobe samples collected from existing buildings in order to evaluate the shear, tensile and compressive fracture energy and mechanical properties of the unit-mortar joint. The methodology used to the preparation of samples, tests set up and the experimental procedures from the different tests carried within this research are presented.

With the aim of characterize the behaviour of adobe specimens and prisms when subjected to uniaxial tensile loads tests were realized. From those tests it was possible to conclude that In the case of specimens the size effect of the aggregates can highly contribute to the dispersion of results and for the prisms the interface between the two blocks is obviously the weaker part of the structure.

From the campaign of compressive load tests to adobe specimens and prisms, developed with the aim of the characterization of the compressive behaviour of adobe it was possible to conclude that compressive strength of prisms presents a lower dispersion and higher mean value than the results obtained for the adobe specimens. The compressive fracture energy presents a higher coefficient of variation in the case of adobe specimens.

The experimental campaign to characterize the shear behaviour of adobe specimens and adobe prisms with hydraulic lime mortar joints permits to infer the existence of a weaker interface in prisms rather than in specimens. This weaker interface is ruling the behaviour of the samples when submitted to shear loads with a normal compression. The experimental research on the behaviour of adobe specimens and prisms with a mortar joint is an innovative approach to the characterization of this material.

#### RESUMO

Materiais compostos por solos são utilizados na construção à vários milhares de anos e vários autores estimam que aproximadamente um terço da população mundial viva em construções realizadas com estes materiais. Na região de Aveiro a alvenaria de adobe foi usada como elemento portante em enumeros edifícios. O baixo custo e a disponibilidade de material foram fatores que contribuíram para a escolha deste sistema construtivo. Hoje em dia, uma quantidade importante de essas moradias está em processo de reabilitação e em muitos casos, as entidades envolvidas não preservam a alvenaria de adobe como estrutura portante devido à ausência de informação sobre as propriedades e comportamento da alvenaria de adobe.

A caracterização mecânica realizada efectuou-se em carotes de adobe e prismas de alvenaria de adobe, usando amostras recolhidas de um edifício existente, com a finalidade de avaliar a energia de fractura ao corte, tracção e compressão e propriedades mecânicas da ligação unidade-argamassa. A metodologia utilizada para a preparação de amostras, procedimentos experimentais e configuração dos ensaios são apresentados neste trabalho.

Realizaram-se testes com o objectivo the caracterizar o comportamento mecânico de carotes de adobe e prismas de alvenaria de adobe quando sujeitos a forças de tracção uniaxial. De estes testes foi possível concluir que no caso de carotes o efeito do tamanho dos agregados pode ter um importante contributo na dispersão dos resultados obtidos, enquanto que no caso de prismas de alvenaria a interface entre os dois blocos é a parte mais frágil da estrutura.

Da campanha de ensaios de compressão em carotes e prismas de alvenaria de adobe desenvolvido com o objectivo de caracterizar o comportamento do adobe em compressão foi possível concluir que a resistência à compressão de prismas de alvenaria apresenta uma menor dispersão de resultados e um valor médio mais elevado que os valores obtidos para carotes de adobe. A energia de fractura em compressão apresenta um maior coeficiente de variação no caso de carotes de adobe.

A campanha experimental para caracterizar o comportamento ao corte em carotes prismas de alvenaria de adobe com uma junta em argamassa de cal hidraulica permite inferir a existência de uma fraca interface entre as duas superfices submetidas ao corte. Esta interface mais fraca rege o comportamento dos prismas quando submetidos a forças de corte com compressão na direcção normal. Esta investigação experimental no comportamento de carotes e prismas de alvenaria de adobe com junta de argamassa é inovador na caracterização de adobe.

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## **CHAPTER 1**

## INTRODUCTION

#### 1.1 Foreword

Earthen architecture is considered one of the most ingenious methods used by humankind to create a built environment using local existing resources. Since thousands of years ago palaces, religious constructions and monuments, buildings in city centres and rural constructions have been created with earthen techniques [1]. After their construction some of these monuments gained an important cultural connotation, however in other cases, as in many city centres, the recognition of their cultural significance only occurred mainly in the last decades.

Constructions using earthen materials are not only an inheritance from the past. At present time about 30% of the world population and 50% of the population in the developing countries lives in earthen buildings [2]. Currently, in many continents, it can be found houses and other constructions being used by the population where earth is used as raw material. In certain countries in Africa, for example, an important part of the traditional buildings located in rural areas are built with earthen solutions, as for the case of some countries in South America and some regions in Asia. In Middle East, this type of architecture reached a high level of specialization and technical quality with the use of vaulted systems and domes, and in some cases it can be found buildings with several storeys [3]. Also in Europe, an important number of earthen buildings made during the last century can be found, mainly in rural areas. As example of this significance, Houben and Guillaud estimates that 15% of the French rural population lives in buildings made with adobe, rammed earth or daub [4].

Preservation and protection of this heritage is nowadays a difficult mission to the local and international community. Especially because of the increasing threats represented by natural hazards and man-made actions, earthen structures need specific attention in terms of conservation and maintenance. In response to this demand UNESCO started a programme devoted to this type of construction, i.e. World Heritage Earthen Architecture Programme (WHEAP), [1] [5].

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## 1.2 Motivation

Due to the lack of knowledge and information about the adobe masonry properties motivates the development of this experimental research. Thus, the development of this experimental campaign was justified by the absence of information about some mechanical characteristics presented by existing traditional adobe masonry. Previous studies have been dedicated to characterize the behaviour of this constructive technique, the capacity of adobe masonry walls, and the properties of the materials, but it is recognized the need for specific experimental data for the calibration of numerical models.

The amount and importance of adobe constructions in Aveiro region justifies the effort in obtaining data to support strengthening and rehabilitation measures. The personal interest of the author in traditional materials and low environmental impact interventions during the rehabilitation process was a source of extra motivation to develop the research work that is presented in the next chapters.

### 1.3 Scope and objectives

This thesis aims to provide experimental data about properties that are considered essential when talking about adobe masonry characterization. In general, information obtained from experimental campaigns is limited to compressive strength and in some cases also indirect tensile strength and elasticity modulus. The present research is dedicated to determine also the fracture energy in compressive, tensile and shear tests.

The thesis is divided in seven chapters presented in the following sequence:

Chapter 1: In this chapter a brief introduction to earthen architecture; motivation to the research; scopes and objectives are presented.

Chapter 2: Presentation of the state of the art, special attention is given to the characterization of adobe. In the first section a general description of the main techniques using earthen materials and their historical importance is presented. In the second the importance of adobe construction in Aveiro region is reported. Finally, the third and last section is dedicated to the description of the mechanical characterization and the different strategies used to obtain properties that can be used to define the behaviour of this material in structural elements.

Chapter 3: Description of the experimental campaign to the mortar used in the prisms is presented. In the first section the type of mortars used in adobe constructions from Aveiro region is explained. The second section presents the granulometric analysis done to the material used to prepare the mortar applied on the prisms joints. The third section is referred to the mechanical characterization of the mortar samples prepared during the assemblage of the prisms.

Chapter 4: The research developed with the aim to characterize the behaviour of adobe specimens and prisms when subjected to uniaxial tensile loads is presented. The chapter is composed by four sections. The first section is dedicated to describe the preparation of the specimens and prisms used in the testing. In the second section the test set up and the procedures followed during the tests are presented. In the third section the results obtained from the tests are presented and finally the fourth section is dedicated to the analysis and discussion of the results.

Chapter 5: The campaign of compressive load tests to adobe specimens and prisms is presented in this chapter. The first section is devoted to define the tasks done during the preparation of the specimens and prisms used in the testing. The second section is dedicated to the test set up and the procedures followed during the testing campaign. Results obtained from the tests are presented in the chapter three and the last section is dedicated to results analysis and discussion.

Chapter 6: Dedicated to the experimental campaign to characterize the shear behaviour of adobe specimens and adobe prisms with hydraulic lime mortar joints. The structure of the chapter is divided in four sections: the first introduces the work carried to prepare the samples; the second presents the testing set up and the procedures followed during the execution of the campaign; in the third section results obtained in the shear load tests are exposed; in the fourth section the analysis and discussion of the results can be consulted.

Chapter 7: This last chapter is dedicated to the conclusions of developed work and to further research needs about this area of investigation.

## **CHAPTER 2**

## STATE OF THE ART

In this chapter a brief description of the state of the art is presented, giving particular attention to the characterization of earthen materials, namely adobe. The chapter is divided in sub-sections for a better understanding of the evolution of the knowledge and of the scientific work produced in the last years.

In the first section, a general description of the main techniques using earthen materials and their historical importance is presented. In the second the significance of adobe construction in Aveiro region is reported. Finally, the third and last section is dedicated to the description of the testing strategies and results of tests for the mechanical characterization adobe in structural elements.

## 2.1 General aspects

Earthen materials present several attractive characteristics: low cost, locally available, recyclable, good thermal and acoustic properties and reduced energy consumption during the transformation into a building material or element [6]. These characteristics lead to a wide distribution around the world, Figure 1, of earth constructions, justified by the advantages associated to earthen construction, in contrast to other industrialized building materials and solutions.





#### Principal techniques used in earthen architecture

A wide a group of techniques are generally cited when referring to earthen architecture, as stated by Houben and Guillaud in [4] where they present the results of a survey on techniques commonly used around the world, and also techniques that are only used in specific regions or communities, Figure 2. In the next paragraphs the three techniques with higher dispersion geographically are briefly introduced.

**Adobe,** also known as "mud bricks", is a very simple technique that consists in filling wooden formworks with earth that was previously mixed with water. Straw or another type of fibres can be also added to the mix in order to improve the strength of the blocks. The use of adobe generally leads to very simple building techniques, evidencing the fact that many of the ancient earth constructions were made of adobe. Adobe was used also in complex structures, like vaults and domes [6] [8].

**CEB** - the use of compressed earth blocks (CEB) has been increasing significantly in the last decades. The CEB represent an "evolution" of the adobe bricks, using a specific device, machine or tool to compress the earth inside a mould. The pressure can be carried out manually or mechanically depending on the type of device used. The earth consistency is comparable to that used in rammed earth allowing obtaining earth blocks that are heavier and more resistant than traditional adobe bricks. The first machine used to make CEB was the CINVA-Ram constructed in 1956 and since then other models were developed. Nowadays, it is possible to find machines that achieved an high level of industrialization procedure [8].

The use of binders and fibres to achieve higher compressive strength and a better behaviour in the presence of moister has been studied by several authors, obtaining an improvement of both characteristics [9] [10] [11].

**Rammed earth -** Rammed earth is a construction technique in which soil is taken from the ground and compacted to form monolithic walls. Removable formwork is installed, and the soil is compacted within it. Since the first times in this technique wooden formwork were used, nowadays steel formwork is replacing the wood [8].

Some examples of monumental constructions can be found in Lhasa, the Potala Palace, portions of the Great Wall in China, or the Alhambra in Granada, Spain. Rammed earth has been used by man for thousands of years and is currently experiencing a revival in some parts of the world as a result of its inherent characteristics promoting the sustainability. It was shown by Jaquin et al. that rammed earth technique is likely to have originated independently in China and around the Mediterranean region, and spread to other parts of the world associated to the movement of people [12].



Figure 2 - Schematic description of the different building techniques with earth [4].

## 2.2 Adobe constructions in Aveiro Region

Adobe was intensely used in almost all types of buildings in Aveiro region until the second half of the last century. According the information from local authorities, about 25% of the existing buildings in Aveiro city are made of adobe, and considering the entire district the percentage raises to 40% [13]. During centuries, structural masonry walls from houses, churches and other constructions were built with this material in the region of Aveiro. A significant number of urban adobe buildings are of cultural, historical and architectonic recognized value, namely of the "Art Nouveau" style. Nevertheless, the main part of adobe construction can be found in the outskirts of the city and rural areas, where adobe was used in the construction of rural houses, walls for the delimitation of properties, water wells and warehouses [14].

Recent studies from Silveira et al. [15] observed that, in the two neighbourhoods of Vera Cruz and Glória, at present a significant number of adobe buildings are still being in use. The majority of these buildings are used with residential purposes, justifying the need for increasing the knowledge regarding the material and masonries that can support future rehabilitation and strengthening actions. In this document, it is also reported that about 70% of the buildings have been considered with a "satisfactory" or better sate of conservation, and only about a 7% have been evaluated as "bad". The Erasmus Mundus Programme

majority of these existing buildings, if properly rehabilitated and strengthened, can fulfil the requirements of safety and comfort compatible with those required to new constructions.

The generalised use of adobe in the constructions in this region was largely extended, due to the availability of the raw material, in this case the coarse sand and argillaceous earth. The use of lime as binder was frequent [13].

## 2.3 Mechanical characterization of adobe masonry

With the diffusion of the laboratory equipment, the mechanical characterization of materials is nowadays more common than in the last decades.

Several mechanical properties have been studied by different authors. Some works are focused on the characterization of adobe units and other are focussed in the study of the interface (joint) between the mortar and the units. Generally, the properties considered relevant to characterize the behaviour of adobe constructions are: compressive strength, shear strength and elastic modulus. Only in a reduced number of documents it is analysed the tensile strength and fracture energy. The tensile strength is typically considered as 10% of the corresponding compressive strength [6], as in the case of other brittle materials.

Relevant previous research outputs regarding the mechanical characterization of adobe units and masonry are briefly mentioned in the next sections.

#### 2.3.1 Compressive strength

Generally, compressive strength is the most studied property of brittle and quasi-brittle materials. This is also verified in the case of adobe. Several correlations between this property and the modulus of elasticity or tensile strength can be found in the literature ([13], [16]), and also in normative documents [17].

Minke [6] refers that in general the compressive strength of dry building elements made of earth can range in general from 0.5 to 5.0 MPa. These values depend not only on the quantity and type of clay used, but also on the grain size distribution of silt and aggregates, as well as on the method of preparation and compaction of the units.

An extensive experimental campaign for the characterization of adobe units from Aveiro region was developed in Aveiro University, [18][19][13][20]. From the results obtained in the compressive load tests, it was observed a large variability of the compressive strength, which is typical for this heterogeneous material. The values obtained for the compressive strength of adobes collected from



existing buildings range from 0.5 to 1.5 MPa. Figure 3 shows the typical stress-strain diagrams obtained.

Figure 3 - Examples of stress vs. strain relations obtained in simple compression tests on adobe specimens: a) adobes from houses; b) adobes from dividing walls [13].

A similar work was developed in Calabria, Italy, where specimens from earth buildings called "casedde" were collected and tested in compression. From the mechanical characterization of adobe samples from seven different buildings, it was observed a compressive strength ranging from 0.4 to 0.7 MPa [2].

The inclusion of fibres and stabilizers in the adobe is common in some parts of the world. Achenza [9] studied the compressive strength of earthen specimens when a natural stabilizer and natural polymers are added to the soil. In that study, residues of beetroot and tomatoes from production of sugar and tomato-sauce were used. The stabilization with polymers can give to the earth a good behaviour under the water action. It was also found that compression strength increased significantly, see Figure 4. From the compressive tests, were obtained strength values of 4.4 MPa for dry specimens stabilized with fibres and natural polymers, 2.6 MPa for wet specimens stabilized with fibres and natural polymers, and 2.5 MPa for dry specimens stabilized only with fibres.



Figure 4 – Stress-strain curves for specimens stabilised with natural polymers and without natural polymers [9].

In the production of adobes and compressed earth blocks, commonly, it is used a binder to stabilize the soil, normally cement or lime. Walker [21] proved that the compressive strength of blocks from soil stabilized with cement, the so called soil-cement blocks, presents a strength that increases linearly with the cement content and decreases if clay is added to the mixture. From his study, it was observed also that the total water absorption and initial rates of absorption increased with the clay mineral content of the test blocks. In Figure 5 it is observed the compressive strength values obtained for two types of blocks, with different cement and clay contents.



Figure 5 - Influence of cement and clay content on block compressive strength [21].

#### 2.3.2 Tensile strength

Tensile strength tests are less commonly adopted for the material characterization than the compressive strength tests. Moreover, direct tensile tests are a first option to obtain the values of tensile behaviour material properties. But, with this test is difficult to perform due to the test setup and equipment required. On the other hand, indirect tensile bending tests "CPC 6 Tension by splitting of concrete specimens" [22], are commonly accepted for determining the mechanical properties in tension and the fracture energy in case of both elastic-plastic and brittle-like materials (i.e. rocks, concrete, masonry, ceramics, etc.) [23].

A testing campaign to characterise adobe specimens with indirect tensile strength tests was carried out by Silveira et al. [13]. It was used a testing machine controlled in displacement and the splitting tests were carried out with a velocity rate of 1 mm/min. From these tests was obtained an average tensile strength of 0.19 MPa. In Figure 6-b is represented the mean tensile strength of adobe specimens taken from houses and land dividing walls in Aveiro. Comparing these values with the results obtained by other authors, in research works characterizing adobes from different locations, it is possible to observe that the range of adobe tensile strength may range between 0.17 and 0.43 MPa (see Table 1).

Location	Compressive strength (MPa)	Tensile strength (MPa)
Aveiro – Portugal	1.17	0.18
Mexico	1.18	0.27
Mexico	0.51–1.57	0.20-0.43
Colombia	3.04	0.41
Morocco	2.83a	0.18-0.35
ltoly (	0.20 1.56	0 17 0 40

Table 1 – Strength of adobe from different locations (adapted from [13]).

 Morocco
 2.83a
 0.18-0.35

 Italy
 0.29-1.56
 0.17-0.40



Figure 6 – a) Curves stress-strain for adobe specimens tested in tension [24]; b) Mean tensile strength of adobe specimens taken from houses and land dividing walls, with indication of standard deviation [13].

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#### 2.3.3 Shear strength

Shear failure may occur in adobe masonry walls when subjected to in-plane loading. As a consequence, the structural behaviour of masonry joints has been carefully studied, for a comprehensive analysis works can be consulted, see for example [25], [26] and [27].

The shear strength of adobe prisms assembled with units cut from existing adobe blocks was studied by Varum et al [18]. From diagonal compression tests on 8 specimens according to the method presented in RILEM, [22], it was found a shear strength varying from 0.05 to 0.19 MPa. The obtained stress-strain curves are presented in Figure 7.



Figure 7 – Stress-strain curves obtained from diagonal compression tests on adobe wallets [18].

The shear strength characterization can be performed with *in-situ* tests. Liberatore et al. [28] have performed several tests to characterise the properties of adobe masonry walls. The shear strength may be obtained in diagonal compression tests, as presented in Figure 8a, with a test procedure developed with two distinct loading histories: 1. a cycle of loading-unloading, followed by a monotonic loading up to failure; 2. a monotonic loading up to failure. The diagrams of load-strain along the loaded diagonal and the secondary diagonal for the first load testing conditions are reported in Figure 8b. From the first test, an equivalent initial shear strength,  $f_{\nu\theta}$ , can be calculated by (1):

$$f_{\nu 0} = P/(\sqrt{2} bt) \tag{1}$$

where, *P* represents the force, *b* and *t* represents the length of the tested wall and its thickness (b = 0.895 m; t = 0.200 m, for the example showed in the figure).

The very low value of  $f_{\nu\theta}$ , 0.0206 MPa, confirms the weakness of adobe walls in terms of this mechanical property.



Figure 8 – In-situ shear test: a) test set up; b) stress-strain relation for the first test loading history [28]

The capacity of masonry joints or units under shear associated to a normal compression can be represented by the Coulomb friction law, which establishes a linear relationship between the shear stress ( $\tau$ ) and the normal stress ( $\sigma$ ):

$$\tau = c + \tan \theta . \sigma$$

(2)

where, *c* represents the cohesion and  $tan \phi$  is the tangent of the friction angle on the interface of the surface under failure. When higher normal compressive stresses are achieved the validity of Coulomb failure mechanism is lost, instead a new failure mechanism occur due to crushing and shearing of the material. In this case, a cap model can be adopted to represent failure of the combined fracture surfaces [29].

The change of volume of the sample when shear loads are acting is called dilatancy angle and represents the ratio between the normal displacement  $u_n$  and the shear displacement  $u_s$ . The opening of the crack or joint is associated to positive dilation, whereas negative values of dilatancy represent the compaction of the crack joint.





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In the research carried out by Liberatore et al, [28], adobe specimens previously collected from the building site were tested to evaluate the shear resistance in a dry state combined with normal stress. Three specimens, with cylindrical shape (diameter of 60 mm and height of 23 mm), trimmed from the existing blocks, were tested to evaluate the shear resistance of dry adobe. The values of the normal stresses adopted in the laboratory testing were assigned taking into account the in-situ stress state (0.025 MPa, 0.050 MPa and 0.100 MPa). The experimental results presented in Figure 10 show the variations of the shear and vertical displacement ( $s_v$ ) versus the horizontal displacement ( $s_h$ ). Positive vertical displacement indicates a reduction of specimen height.



Figure 10 - Direct shear tests: (a) shear strength; (b) adobe specimens' height variation [28].

#### 2.3.4 Fracture energy

The bond between units and mortar is often the weakest link in masonry assemblages. The nonlinear response of the joints, which is controlled by the unit-mortar interface, is one of the most relevant features of masonry behaviour characterisation. Two different phenomena occur at the unit-mortar interface, one associated with tensile failure (mode I) and the other associated with shear failure (mode II). For the purpose of numerical simulation, direct tension testing should be adopted because it allows for the full representation of the stress-displacement diagram and provides the correct strength value [30].

The typical stress-displacement diagram for quasi-brittle materials in tension and compression is presented in Figure 11. It is assumed that the inelastic behaviour in tension and compression is described by the integral of the diagram stress-displacement. The integral of these diagrams, define the material properties called respectively as fracture energy  $G_r$  and compressive fracture energy  $G_c$ .

Masonry presents other type of failure mechanism, normally identified as mode II, consisting in the slip of the unit-mortar interface when subjected to shear loading, see Figure 12. Once more, it is assumed that the inelastic behaviour in shear can be described by the mode II fracture energy  $G^{II}_{f}$  defined by the integral of the stress-displacement diagram in the absence of normal confining load.



Figure 11 - Typical behaviour of quasi-brittle materials under uniaxial loading and definition of fracture energy: (a) tensile loading; (b) compressive loading [31].



Figure 12 - Behaviour of masonry under shear and definition of mode II, fracture energy  $G^{II}_{f}(c \text{ denotes the cohesion})$  [31]..

## **CHAPTER 3**

## MORTAR CHARACTERIZATION

Herein a description of the experimental campaign aiming at the characterization of the adobe and mortar used in the prisms is presented. The research was carried out in the Laboratory of the Department of Civil Engineering of the University of Aveiro.

The chapter is divided in three parts. The first describes the type of mortars that can be find in adobe constructions from Aveiro region. The second section presents the granulometric analysis done to the material used to prepare the mortar applied on the prisms joints. The third section is referred to the mechanical characterization of the mortar samples prepared during the assemblage of the prisms. Once the prisms used to the shear and tensile tests were made in a different day than the ones for the compression tests, two set of values are presented for the mechanical characterization.

## 3.1 General overview

A characterization of the mortars used in adobe constructions from Aveiro region was developed by Coroado [32]. In this research, it was concluded that the mechanical compressive strength average values were 1.55 MPa and 1.22 MPa for the rendering and joint mortars respectively. Also the adobe mechanical compressive strength was found to be in general low, near 0.50 MPa. Other researches have been implemented in order to obtain values of the compressive strength of mortars from existing buildings from this region, [19], [18]. The results obtained in these works show a big variability of mortars properties, with unconfined average compressive strengths values ranging from 1.68 MPa to 0.45 MPa.

Adobe masonry requires to be protected with more resistant air lime type renders but presenting a compatible composition with the support. It must be stressed that traditionally, for general ancient masonry, joint mortars and renders are generally more deformable, mechanically weaker and more permeable to water than the other masonry elements. Consequently the mortar elements have a protection function delaying the water penetration process and contributing to the wall strength allowing the dissipation of tensions produced by small movements of the structure [32].

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Considering the generally low values of the compressive strength, the mortar formulated to this research intended to equalize the mortar from existing buildings. The option of the hydraulic lime selection as binder was taken due to the lower curing time presented by this material comparing to air lime. The aggregate used in the mortar was the same used in the studied adobes once historically most of the times there was no distinction between the aggregate used to make adobes and the ones used in the joint mortar.

The hydraulic lime used in the preparation of the mortar was from a commercial brand, Cimpor category NHL5, and the formulation 1:3 (binder: aggregate in volume) with an amount of water of 1/5 of the total volume was followed.

## 3.1 Aggregate granulometric distribution

In order to obtain the particle size distribution, grading of the adobe a granulometric analysis was carried out. The granulometric analysis was made to a sample obtained from one adobe considered representative of the material collected to this research. In Figure 13 is possible to observe the main steps to complete the test procedure according the standard NP EN 933-1 [33].

The sample was crushed and then divided into size fractions throughout the dry sieving method, passing by it in decreasing apertures, results of the process can be consulted in Table 2.



Figure 13 - Granulometric analysis of adobe sample.

The aggregate used in the mortar was the same of the crushed adobe, but in order to obtain a better workability the fraction with the lower sieve sizes, *d*, larger or equal to 4.0 mm was subtracted. The total dry mass of the adobe sample was 1405.5 g and the mortar aggregate was considered to have a mass of 1357.9 g.

mesh	mm	retained (و	material g)	% ret mat	ained erial	%cum retained	ulative material	%cum passed	nulative material
		adobe	mortar	adobe	mortar	adobe	mortar	adobe	mortar
2 1/2	63.0	0.0	-	0.00	0.00	0.00	0.00	100.00	100.00
1 1/4	31.5	0.0	-	0.00	0.00	0.00	0.00	100.00	100.00
5/8	16.0	0.0	-	0.00	0.00	0.00	0.00	100.00	100.00
5/16	8.0	4.2	-	0.30	0.00	0.30	0.00	99.70	100.00
5	4.0	38.4	-	2.73	0.00	3.03	0.00	96.97	100.00
10	2.0	246.0	246.0	17.50	18.11	20.53	18.11	79.47	81.89
18	1.0	570.1	570.1	40.56	41.98	61.09	60.10	38.91	39.90
35	0.500	490.9	490.9	34.92	36.15	96.01	96.24	3.99	3.76
60	0.250	47.1	47.1	3.35	3.47	99.37	99.71	0.63	0.29
120	0.125	2.8	2.8	0.20	0.21	99.56	99.92	0.44	0.08
230	0.063	1.0	1.0	0.07	0.07	99.64	99.99	0.36	0.01
	fines	0.1	0.1	-	-	-	-	-	-
	total weight (g)	1400.5	1357.9						

Table 2 - Data from the granulometric analysis of the adobe sample.

% fines passing by sieve 0.063 mm 0.01

The amount of material on each sieve was measured and then the grading of the sample was calculated. The results of the sieve analysis were plotted on a log scale to produce a particle size distribution chart, see

0.01

Figure 14. The range of grading of the adobe sample according NP EN 933-1 and BS EN 13139, corresponds to 20.2% of coarse aggregates (larger aggregate sizes with D greater or equal to 4 mm and d greater than or equal to 2 mm), and 96.6% of fine aggregates (D less than or equal to 4 mm, where d and D represents the lower and upper sieve sizes respectively).

To validate the results, the percentage of fines was calculated in accordance to (3).

$$f = 100^* P / M_1$$
 (3)

Where:

- f is the percentage of fines;
- P is the mass of the material remaining in the pan;
- M<sub>1</sub> is the dried mass of the tested portion.

To the validation of the results *f* must be less than 1%; in this test a value of 0.36% was obtained.

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Figure 14 – Grading obtained from the granulometric analysis to one adobe sample.

# 3.2 Mortar characterization

Several tests have been made to characterize the mortar used in the joints of prisms subjected to compression, tension and shear loads. The mortar characterization campaign was implemented to provide information about the workability and compressive and flexural strength.

#### 3.2.1 Flow table test

According to EN 1015-3 Methods of test for mortar for masonry [34], the workability of the hydraulic lime mortar can be estimated with the flow table test, see Figure 15. The flow value is determined by measuring the mean diameter of a test sample. The test procedure involves placing the mould in the midpoint of the table and filling it in two layers, each layer being tamped ten times with a tamper. The excess of mortar is then removed from the top of the mould. After 15 seconds the table is jolted 15 times at a rate of one jolt per second. The diameter of the spread mortar is measured in two directions at right angles to each other using the scale printed on the flow table, and both results can be consulted in Table 3.

Table 3- Flow rest values of the mortar prepared to the prisms assemblage.

Sample	d₁ mm	d <sub>2</sub> mm	Average mm
mortar 22/05, (shear and tensile prisms)	145	150	148
mortar 28/05, (compression prisms)	150	155	153

The workability of mortars can be divided in three categories: stiff mortars, when presenting a flow value less than 140 mm; plastic mortars with flow value between 140 mm and 200 mm; soft mortars exhibiting a flow value greater than 200 mm [35]. Both of the prepared mortars can be considered as plastic mortars according the read flow table value of 148 and 153 mm.



Figure 15 – Flow table test to the hydraulic lime mortar.

## 3.2.2 Flexural and compressive strength of mortar

The flexural strength of a hardened mortar can be determined by the three point loading test of a specimen. After the failure is reached, the compressive strength is determined in each half of the specimen.

The determination of flexural strength according the EN 1015-11 is carried out when the specimens present an age of twenty-eight days. In this research the main objective was the characterization of the mortar at the time of the testing campaign to prisms, due to this condition the flexural and compressive tests were made to the mortar specimens at an age of six weeks.

The dimensions of the specimen must to be 160 mm x 40 mm x 40 mm. Previously to the execution of the specimens the metal mould is lubricated with a thin layer of mineral oil. The mould is filled in two layers each layer being compacted with twenty five strokes of the tamper [36], see also Figure 16.



Figure 16 - Specimens used to the mechanical characterization of the mortar.

The equipment used was a universal testing machine from Shimadzu, model AG-IC 100kN with a load cell of 5 kN. This equipment presents two supporting rollers and one loading roller, located above the test specimen at the midway between the supporting rollers as indicated in the standards.

The load was applied to the test specimens at a rate of 10 N/s producing the failure in the period of time period recommended in standards, thirty to ninety seconds. The flexural strength, f, is calculated from (4) and is presented in Table 4:

$$f = 1.5^{*}F^{*}I/(b^{*}d^{2})$$

Where *b* and *d* are the internal dimensions of the prism mould, *l* is the distance between the supporting rollers and F is the applied force.

(4)

Sample	Max. Force	Max. Stress	
	(N)	(N/mm <sup>2</sup> )	
Prisms shear and tensile load test			
HM_S_T_22/05	383.05	0.90	
HM_S_T_22/05	337.78	0.79	
HM_S_T_22/05	283.44	0.66	
Average	300.17	0.70	
Standard deviation	80.27	0.19	
CoV (%)	27	27	
Prisms compression load test			
HM_S_T_28/05	325.97	0.76	
HM_S_T_28/05	424.91	1.00	
HM_S_T_28/05	380.94	0.89	
Average	377.27	0.88	
Standard deviation	49.57	0.12	
CoV (%)	13	13	

Table 4 - Results from the three point bending test to mortar specimens.

After the flexural test the compressive strength was determined using the broken halves of the prism by means of a compression jig in the testing machine. The load applied to a face casted against the steel face of the mould with a rate of 50 N/s must to produce the failure of the specimen in a time period of thirty to ninety seconds. The compressive strength was recorded in all the six halves of mortar specimen and is presented in Table 5.

Specimen	Max. Force	Max. Stress	
Specimen	(N)	(N/mm <sup>2</sup> )	
Prisms shear and tensile load test			
HM_C_22/05	4316.41	2.70	
HM_C_22/05	4078.13	2.55	
HM_C_22/05	3802.19	2.38	
HM_C_22/05	3787.50	2.37	
HM_C_22/05	4353.13	2.72	
HM_C_22/05	4585.94	2.87	
Average	4153.88	2.60	
Standard deviation	321.39	0.20	
CoV (%)	8	8	
Prisms compression load test			
HM_C_28/05	cell limit		
HM_C_28/05	4766.41	2.98	
HM_C_28/05	4856.56	3.04	
HM_C_28/05	4457.81	2.79	
HM_C_28/05	3949.69	2.47	
HM_C_28/05	3888.59	2.43	
Average	4383.81	2.74	
Standard deviation	449.74	0.28	
CoV (%)	10	10	

Table 5 - Results of the compression test to mortar specimens.

The results obtained from the testing campaign show values slightly higher than the ones that can be expected in existing constructions, nevertheless these results can be used as indicator to conservation and rehabilitation situations were new mortars are used.

## **CHAPTER 4**

# **TENSILE LOAD TESTS IN SPECIMENS AND PRISMS**

This chapter presents the research developed with the aim of characterizing the behaviour of adobe specimens and prisms when subjected to uniaxial tensile loads. The chapter is composed by four sections. The first section is dedicated to the description of the preparation of the specimens and prisms used in the testing. The second section presents the test set up and the procedures followed during the tests. In the third section the results obtained from the tests are presented and finally the fourth section is dedicated to the analysis and discussion of the results.

## 4.1 Specimens and prisms preparation

As mentioned in the introductory chapter, the adobe blocks where collected from a building in the region of Aveiro and then stored in the Laboratory of the Civil Engineering Department from the University of Aveiro.

The preparation of the samples started with the cutting of the adobes with a universal table saw using a circular diamond blade. This cutting system uses water to refrigerate the blade, but this process can affect the adobe resistance once the material gets saturated with water. To prevent the disintegration of the adobe samples, a controlled amount of water was used, sufficient to refrigerate the blade and at same time not enough to cause damage to the samples.

After cutting the specimens and blocks to the prisms assemblage, they were stored in an area with natural ventilation to promote the decreasing of the moisture content to levels considered as room humidity, air relative humidity around 70%.

The material used to glue the samples to the plates was a polyester resin generally used for fixings in masonry, concrete and other type of materials. The advantage of using this resin is the processing time of 5 min and the curing time of 60 min, to a temperature around 25 °C, this way it was possible to prepare the specimen and place it in the testing machine plates before the resin started to cure.

#### 4.1.1 Specimens preparation

The specimens used in the uniaxial tensile load tests were cut with the dimensions of  $180 \times 130 \times 110 \text{ mm}^3$ , (length, width, height). The setting of these dimensions was affected by the dimensions of the plates used in the testing machine.

Once reached a lower level of moisture in the specimens, the next step was to create a weaker section to promote the crack development in a controlled surface. Several tests were done previously to obtain an optimum ratio between the glued area and the surface where the crack will develop. During a first set of experimental tests, it was perceived that with two notches of 30 mm the bonding strength of the glue/specimen was higher than the tensile strength of the adobe specimen in the weakened surface.

To promote the adherence between the resin and the specimen several small slots on the surface were made, as illustrated in Figure 17. Adobe surface tend to disintegrate when subjected to tensile loads, but the slots increase the contact area and a better bond is obtained.



Figure 17 - Preparation of specimens: cutting process and preparation to the application of one layer of polyester resin.

#### 4.1.2 Prisms preparation

The prisms preparation was carried in two phases; firstly the two blocks that are part of the prism were cut with  $180 \times 130 \times 50 \text{ mm}^3$  (length, width, height) and small slots were made as in the specimens; secondly the prisms were assembled with a hydraulic lime mortar described in chapter three. To induce a weaker surface, two pieces of paper with  $180 \times 30 \text{ mm}^2$  were inserted in the mortar joint, see Figure 18. The size of the paper was such that the ratio between the two areas presented the same value as the specimens. The final dimension of the prisms was  $180 \times 130 \times 110 \text{ mm}^3$ , the mortar joint thickness was planned to be the same in all the prisms, (mortar joints from adobe masonry can vary from 10 mm to 20 mm). These dimensions were set according the equipment dimensions once there was an effective height of approximately 130 mm.



Figure 18 - Specimen assembling, paper stripes were used to produce a weaker section to promote the crack development in a controlled surface.

## 4.2 Test set up and test procedure

When the main purpose is to characterize the post-peak behaviour of a material as in this case, the complete stress-displacement diagrams are essential. The uniaxial tensile tests were performed in the Laboratories of the Civil Engineering Department of the University of Minho, using a CS7400S servocontrolled universal testing machine with fixed end platens, with closed-loop control. This equipment possesses a load cell connected to the vertical actuator with a maximum capacity of 22 kN, being particularly appropriate for tests where the applied loads are not excessively high.

The shape of the softening branch depends on the boundary conditions and consequently the fracture energy values can be affected by this behaviour. In case of pin-ended boundary conditions, the specimens are free to rotate when macro cracks start to form. In this case no additional restraint is introduced. By the other hand, in the case of fixed end platens, the eccentricity originated by the crack opening must to be balanced by the introduction of bending moments that provokes the development of multiple cracks. The described behaviour influences the softening branch to a certain extent, where a horizontal plateau is possible to occur when a second macrocrack develops. The higher cracking density found in fixed boundary conditions is associated in general to larger values of fracture energy relatively to the ones achieved in uniaxial tension tests conducted using pin-ended platens, see [37] for further details. In this research all the tensile tests were done under the same conditions, using fixed end platens and the same type of polyester resin was used in the gripping of the samples.

Tests were performed under displacement control using an external Linear Variable Displacement Transducer (LVDT). Two LVDT's were used, both measuring the vertical displacement. In the specimens the displacement was measured between the notch lips and in the prisms the measured distance was done across the mortar joint, see Figure 20.



Figure 19 - Effect of the boundary conditions on the softening shape [37].

Due to the lack of information about testing procedures in adobe samples, preliminary tests were carried to obtain an acceptable test velocity. During the first calibration tests it was found that for the control speed used, 0.05 mm / min, the rupture of the samples was occurring in the first seconds of the test, thus that value was reduced as to obtain more information from each test. Several combinations of speed rate were experimented, once adobe is not a homogeneous material there was some difficulty to set this main test parameter, it was established to impose displacements in the LVDT\_1 at a rate of 0.01 mm / min.



Figure 20 - Geometry of the specimens and LVDT's position.

#### 4.3 Tests results

The stress-displacement diagrams that describes the tensile behaviour is resultant of the average displacement measured by the LVDT\_1 and LVDT\_3 placed in the faces with notches. The normal

stress was calculated as the ratio between the vertical load and the effective cross section, measured at the midpoint of the notched section.

Unexpected failures have occurred immediately after the peak load. In this case, there was no possibility of obtaining the stable fracture process and only the pre-peak behaviour could be determined. Furthermore some tests were not successful due to failures that occurred in the surface between the resin and the sample. It was decided to apply the resin in a higher area of the sample, reaching that way a better bonding between both materials.



Figure 21 – Evolution of the tensile test in time, two different speeds were used in this preliminary test.

#### In Table 6 and

Table 7 the f<sub>t</sub> is referred to the tensile strength,  $\Delta un$ ,<sub>ft</sub> is referred to the displacement until peak, K<sub>o</sub> represents the initial stiffness and G<sub>f,meas</sub> G<sub>est</sub> and G<sub>f</sub> represent the fracture energy measured, estimated and total, respectively.

Specimen	f <sub>t</sub>	∆un, <sub>ft</sub>	Ko	$G_{f,\text{meas}}$	G <sub>est</sub>	G <sub>f</sub>
	(N/mm²)	(mm/mm)	(N/mm <sup>3</sup> )	(N/mm)	(N/mm)	(N/mm)
T_S_04	0.110	0.0159	9.6620	0.0108	0.0005	0.0113
T_S_05	0.042	0.0173	3.8147	0.0020	0.0002	0.0022
T_S_07	0.144	0.0090	-	0.0119	0.0001	0.0120
T_S_08	0.055	0.0186	8.6548	0.0066	0.0000	0.0066
T_S_09	0.069	0.0238	8.3616	0.0161	0.0073	0.0234
T_S_11	0.068	0.0200	7.5174	0.0203	0.0125	0.0329
Average	0.081	0.0174	7.6021	0.0113	0.0034	0.0147

Table 6 - Values of the mechanical properties and fracture energy for the specimens.

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St. dev.	0.035	0.0045	2.0139	0.0060	0.0048	0.0104
CoV (%)	43	26	26	53	140	70

Prism	f <sub>t</sub>	Δun, <sub>ft</sub>	Ko	$G_{\text{f,meas}}$	G <sub>est</sub>	G <sub>f</sub>
	(N/mm <sup>2</sup> )	(mm)	(N/mm <sup>3</sup> )	(N/mm)	(N/mm)	(N/mm)
T_P_01	0.027	0.0112	7.8917	0.0188	0.0010	0.0198
T_P_03	0.002	0.0000	7.8917	0.0006	0.0000	0.0006
T_P_05	0.009	0.0000	1.1105	0.0007	0.0005	0.0011
T_P_07	0.004	0.0000	0.4414	0.0005	0.0000	0.0005
T_P_08	0.008	0.0000	0.5208	0.0005	0.0000	0.0005
Average	0.010	0.0022	3.5712	0.0042	0.0003	0.0045
St. dev.	0.009	0.0045	3.5352	0.0073	0.0004	0.0076
CoV (%)	88	200	99	174	130	170

Table 7 - Values of the mechanical properties and fracture energy for the prisms.

#### 4.4 Discussion

Besides the strength, also the stiffness of small specimens can vary strongly with the presence or absence of large aggregates in the smallest cross section [38]. In the case of specimens the size effect of the aggregates can highly contribute to the dispersion of results, see Figure 22. As for the prisms the interface between the two blocks is obviously the weaker part of the structure. The low values of bonding between the units and the mortar joint is a good representation of reality, once in existing constructions this weakened interface can be frequently seen.



Figure 22 - Results of the tests to specimens and prisms

#### Failure modes

The typical failure modes are presented in Figure 23. It was possible to observe the non-linearity of the developed crack due to the heterogeneity of the material, also in some cases the crack path went out of the notched section. In that case the test was aborted once the system couldn't get any response from the controlling LVDT.



a) b) c) Figure 23 – Crack development of tested adobe specimen (T\_S\_04); a) front face; b) back face; c) detail of crack path.

# **CHAPTER 5**

# **COMPRESSIVE LOAD TESTS IN SPECIMENS AND PRISMS**

The campaign of compressive load tests to adobe specimens and prisms, developed with the aim of the characterization of the compressive behaviour of adobe, is presented in this chapter, which is structured in four sections. In the first section are described the works done during the preparation of the specimens and prisms for this testing campaign. In the second section are described the test set up and procedures followed in the testing campaign. Results obtained from the tests are presented in the third section and the last section is dedicated to the analysis and discussion of the results.

The compressive load tests and the preparation of the samples were carried in the Laboratory of Civil Engineering Department at the University of Aveiro.

# 5.1 Specimens and prisms preparation

## 5.1.1 Specimens preparation

Specimens used in the compression load tests were prepared with a diamond core drill machine, normally used to extract samples from concrete or masonry structural elements. To extract the cylindrical specimens a bit with internal diameter of 102 mm was used. The final specimens diameter varied from 82 to 87mm. In the case of adobes with coarse granulometry, this method may no allow to extract the specimens. For these cases, instead of cylindrical samples parallelepiped samples with a width/height ratio equal to two may be used.

After the extraction of the samples from adobe blocks, they were rectified in order to obtain two parallel bases with the final dimensions of approximately 85 mm diameter and 170 mm height, see Figure 24. A cap of self-levelling mortar was applied to both base surfaces, where the compressive load is applied. The mortar used is from a commercial brand, Webber niv-dur, and it was applied in a layer with a thickness of approximately 5 mm. In this way, it was guaranteed a better parallelism between these surfaces.



Figure 24 – Samples with approximately 85 mm diameter and 170 mm high, the upper and lower surfaces were cut perpendicular to the cylinder axis.

## 5.1.2 Prisms preparation

The prisms used in the compression load tests were prepared using the cutting equipment described in section 4.1 above. The dimensions of the blocks used to assemble the prisms were  $200 \times 150 \times 50 \text{ mm}^3$ .

The prisms assembled have the final dimensions of  $200 \times 150 \times 300 \text{ mm}^3$ , with four hydraulic mortar joints 10mm thick each. A formwork was used to control the geometry of the prisms. The thickness of the joints was also controlled during the construction of the prisms.

After the assemblage of the prisms, and in order to guarantee the parallelism between the top and bottom surfaces, a cap of self-levelling mortar was applied as adopted for the adobe specimens (see previous section).

The final step of the prisms preparation was fixing steel elements for the support of the LVDTs. Thus, drills with approximately 40 mm depth and 5 mm diameter were made in the prisms. Then, the holes are filled with polyester resin, and finally threaded rods with 70mm length were inserted.



Figure 25 – Preparation of prisms for compressive load tests.

## 5.2 Test set up and test procedure

#### Test set up

The testing equipment and also the control system represent the major factors for a successful compression test conducted on adobe or other brittle material. The stiffness of the testing machine, including loading devices and the frame plays a central role, when the characterization of the behaviour beyond the peak load is required. These tests were controlled in displacement.

To perform the tests a MultiPlex 50-E equipment was used. This machine was designed to perform CBR, Marshall and load/strain related tests. The apparatus consists of a reaction frame, with an adjustable top crosshead, mounted on vertical steel elements attached to the base of the machine. The base support a geared screwjack on which the platen is attached and is driven upward at a constant rate. Centrally, under the crosshead, is placed a load ring or load cell of 50 kN capacity. The platen movement is controlled by the micro-processor accessed via command buttons located on the front panel. The equipment allows for the platen speed to be set from 0.5 to 50.8 mm/min.

During the compression tests, several LVDTs were used to measure the vertical deformation of the samples in several points. In the case of tests in prisms four LVDTs were used, and for the tests in specimens three LVDTs were mounted in a ring structure, see Figure 26.



a)

b)

Figure 26 - View of the testing set up: a) LVDTs and deformation measurements in the specimens; b) general view of the equipment and data acquisition system used in the tests of the prisms.

#### **Testing procedure**

The first step of the testing was the annotation of all the dimensions of the samples, immediately after, the LVDTs were placed in position, fixed and the initial distance between the supports of the LVDTs was also measured. Afterwards, the samples were moved to the platen in the machine. Two neoprene sheets were placed between the sample and the platens to promote a better distribution of the load, and to reduce the local confinement.

The test speed adopted for the prisms was set in order to obtain the failure of the prisms between 20 and 30 min after the starting of the test, as specified in EN 1052-1 - Methods of test for masonry [39]. After a first calibration test with a speed of 1 mm/min, it was decided to adopt the speed corresponding to the limit of the equipment (0.5 mm/min). With this value the failure of the prisms occurred in the range recommended in the standard. In the case of tests in specimens the rate used was 2 mm/min and the duration of each test was approximately 10min.

#### 5.3 Tests results

As mentioned above, the main goal of the present compression testing campaign was the characterization of the compressive behaviour of the adobes. From the stress-strain diagrams, it was obtained the main characteristics of the material, as the modulus of elasticity, see Table 8 and Table 9, and the compressive fracture energy.



Figure 27 – Typical diagram stress-strain of prisms obtained from the compressive load test.

Specimen	f <sub>c</sub>	ε <sub>fc</sub>	E ALL 2
	(N/mm <sup>-</sup> )	10 ° (mm/mm)	(N/mm <sup>-</sup> )
C_S_01	0.805	1.014	617
C_S_02	0.798	0.040	722
C_S_03	0.904	1.389	1014
C_S_04	1.005	1.451	1256
C_S_05	1.569	1.045	1478
C_S_06	1.645	3.903	1320
C_S_07	1.171	2.490	669
C_S_08	1.110	1.492	1764
C_S_09	1.572	1.267	1397
C_S_10	1.310	1.659	1126
	4 470	4 500	4400
Average	1.176	1.566	1138
St. dev.	0.320	1.020	380
CV (%)	27	65	33

Table 8 - Elastic properties and compressive strength of the adobe specimens.

Table 9 - Elastic properties and the compressive strength of the prisms.

Prism	f <sub>c</sub>	ε <sub>fc</sub>	E
	(N/mm <sup>2</sup> ) 10 <sup>-3</sup>		(N/mm <sup>2</sup> )
C_P_02	1.717	1.744	3.556
C_P_03	1.239	1.535	2.237
C_P_04	1.294	1.157	3.488
C_P_05	1.326	1.800	0.920
C_P_06	1.345	1.543	1.252
C_P_07	1.827	1.328	1.402
C_P_08	1.589	0.798	-
C_P_09	1.407	2.361	1.556
C_P_10	1.496	1.121	1.673
Average	1.468	1.533	2.059
St. dev.	0.202	0.437	0.996
CV (%)	14	28	48

## 5.3.1 Fracture energy

Loading in compressive quasi-brittle materials, as rock and concrete, leads to localization of macrocracks when the peak stress is reached. Before the peak stress is reached, the stress-strain diagram describes the compressive behaviour of the continuum bulk rock, both for the elastic and inelastic zone corresponding to the formation of predominantly longitudinal micro-cracks. Immediately after reach the peak, strain localization occurs, the material outside the damage zone unloads. The area described by this loading path is the pre-peak fracture energy, which is associated to the microcracking. The post-peak diagram describes the deformation at the damaged zone that includes the deformation related to the formation and coalescence of distributed longitudinal cracks and the deformation at localized zone [37].

To calculate the fracture energy, the plastic displacement,  $\delta_{plast}$ , was computed and then plotted in a graph stress- $\delta_{plast}$ , see Figure 28. The area under the curve represents the fracture energy.



Figure 28 – Method used to calculate the fracture energy, graphs plotted to samples C\_P\_06 and C\_S\_03.

In Table 10 and Table 11,  $f_c$  represents the compressive strength,  $G_{c,meas}$ ,  $G_{est}$  and  $G_c$  represent the compressive fracture energy measured, estimated and the total, respectively.

Specimen	f <sub>c</sub>	G <sub>c,meas</sub>	G <sub>est</sub>	G <sub>c</sub>
	(N/mm <sup>2</sup> )	(N/mm)	(N/mm)	(N/mm)
C_S_01	0.805	0.888	0.033	0.921
C_S_02	0.798	0.958	0.264	1.222
C_S_03	0.904	0.698	0.059	0.758
C_S_04	1.005	0.599	0.059	0.658
C_S_05	1.569	1.490	0.006	1.496
C_S_06	1.645	1.841	0.406	2.247
C_S_07	1.171	1.017	0.128	1.146
C_S_08	1.110	0.881	0.149	1.031
C_S_09	1.572	1.907	0.156	2.062
C_S_10	1.310	1.557	0.253	1.810
Average	1 176	1 142	0 140	1 282
St. dev.	0.320	0.456	0.120	0.524
CV (%)	27	40	85	41

Table 10 - Values of the mechanical properties and compressive fracture energy for the adobe specimens.

Prism	f <sub>c</sub>	G <sub>c,meas</sub>	G <sub>est</sub>	Gc
	(N/mm <sup>2</sup> )	(N/mm)	(N/mm)	(N/mm)
C_P_02	1.717	1.350	0.102	1.452
C_P_03	1.239	0.883	0.204	1.087
C_P_04	1.294	-	-	-
C_P_05	1.326	0.790	-	0.790
C_P_06	1.345	0.967	0.113	1.080
C_P_07	1.827	-	-	-
C_P_08	1.589	-	-	-
C_P_09	1.407	0.920	-	0.920
C_P_10	1.496	0.841	-	0.841
Average	1.468	0.982	0.140	1.066
St. dev.	0.202	0.193	0.046	0.222
CV (%)	14	20	33	21

Table 11 - Values of the mechanical properties and compressive fracture energy for the prisms.

## 5.4 Discussion

From the results obtained with the tested samples, it is observed a considerable dispersion, expressed by the coefficient of variation, CV, values presented in Table 8 and Table 9. Compressive strength of prisms presents a lower dispersion and higher mean value than the results obtained for the adobe specimens.

The obtained factor fe, the relation between the compressive strength and the modulus of elasticity,  $E=939f_{c}$  is higher than the value proposed in the standard NZS 4298:1998 - Materials and workmanship for earth buildings [17] (E=300f<sub>c</sub>). The obtained value is also higher than the obtained by Silveira et al. in [13], in their work, from the best fit correlation for adobes collected from houses (E=181f<sub>c</sub>).

The compressive fracture energy presents a higher coefficient of variation in the case of adobe specimens. The same was verified for the compressive strength, although, as can be seen in Figure 29 the correlation between this properties presents a low value.



Figure 29 - Correlation between peak compressive stress (f<sub>c</sub>) and the compressive fracture energy for the tested specimens.



Figure 30 - Correlation between peak compressive stress (f<sub>c</sub>) and the modulus of elasticity for the tested specimens.



Figure 31 - Correlation between peak compressive stress (f<sub>c</sub>) and the compressive fracture energy for the tested prisms.



Figure 32 - Correlation between peak compressive stress ( $f_c$ ) and the modulus of elasticity for the tested prisms.

# **CHAPTER 6**

# SHEAR LOAD TESTS IN SPECIMENS AND PRISMS

Chapter six is dedicated to describe and present the results of the experimental campaign to characterize the shear behaviour of adobe specimens and adobe prisms with hydraulic lime mortar joints.

The structure of the chapter is divided in four sections: the first section introduces the work carried to prepare the samples; the second section presents the testing set up and the procedures followed during the execution of the campaign; in the third section the results obtained in the shear load tests are exposed; in the fourth section the analysis and discussion of the results can be consulted.

# 6.1 Specimens and prisms preparation

The specimen and prisms preparation was conducted in the Laboratory of the Civil Engineering Department from the University of Aveiro and latter transported to the Laboratory of the Civil Engineering Department of the University of Minho.

The first phase of the specimen and prisms preparation was similar in all the tests, uniaxial tensile, uniaxial compressive and shear load tests, and only small differences in the dimensions and details can be perceived. Due to the similarity between this task and the preparation of samples, previously described in the section 4.1, only the different arrangement details are mentioned in the next sections.

Being aware of possible problems that could occur with testing procedures, a total of 30 specimens and 30 prisms were prepared to this campaign. The goal to this set of tests was 15 valid results for each type of samples.

## 6.1.1 Specimens preparation

The preparation of specimens to shear load tests was made in a similar way in the three types of tests, as said before, however differences can be found among them. In the current case the procedure was reduced to the cutting of the adobes with diamond saw, obtaining specimens with

regular dimensions of 180 x130 x110 mm3, see Figure 33. Latter it was found to be an erroneous strategy and notches with 30 mm were done in both sides of the specimen to promote a weaker section, obtaining an effective area of 180 x 70 mm2.



Figure 33 – Specimens to test in shear with axial compressive load: a) dimensions of specimens (mm); specimens after preparation, without notches.

## 6.1.2 Prisms preparation

Prisms were assembled with two adobe blocks, which previously have been cut with 180 x 130 x 50 mm<sup>3</sup>, and a hydraulic mortar joint 10 mm thick. The two blocks were wetted and then the first was situated inside a formwork, after a layer of mortar was spread and the second block placed above. The formwork was used to guarantee the same height to all the prisms. In this case no weaker surface was promoted. A rupture surface in the interface mortar/block was expected.



Figure 34 – Assembling process of prisms to shear test.

## 6.2 Test set up and test procedure

#### Test set up

The direct shear load tests were performed with a servo-controlled testing machine, described in section 4.2, conceived to carry out tests on bituminous specimens. This equipment has two platens, each one controlled under load or displacement by an independent loading actuator. Both actuators can apply a maximum force of 20kN.

Due to geometric restrictions related to the maximum distance allowed between platens, 85 mm, a new set up was developed, see Figure 35. Using this new scheme it was possible to use other set of platens, allowing a maximum distance of 130 mm. Because of this change, samples dimensions were increased, reducing this way the scale effect on the results.

The specimens were instrumented with four LVDTs, placed according to Figure 35. In one face of the prism two LVDTs measured the relative vertical displacement while the third LVDT measured the relative horizontal displacement along the notch or the joint. In the other face one LVDT was placed, only the relative horizontal displacement was measured. All the LVDTs were placed recurring to Cyanoacrylate glue.

Compressive and shear forces were measured by means of two built-in load cells, each one associated to a loading actuator. As said before, the machine platens were modified by adding a steel element, fastened with screws, to transmit the force to the sample. In addition, a pre-compressive load was applied by means of a clamp to avoid any relative sliding between the unit and the platen during shear loading adjustments. To promote a better distribution of the vertical load cardboards were placed between the platen and the tested sample.



Figure 35 – Test set up adopted (samples were instrumented with four LVDTs, two in each direction).

#### Test procedure

The first step of the testing procedure was to fix the LVDTs holders, meanwhile the glue was curing the samples dimensions and the distances between LVDTs holder were registered. After, the samples were put in the machine platen with the cardboards to distribute the vertical load, and the sample adjusted to the stop element, the clamp was adjusted applying that way a pre-compression. The next step was to place the LVDTs in the holders and clamp the platens to the testing machine.

The test was started by imposing a compressive stress state to the sample. In this first part of the test the force was applied from zero to the wanted level in 60 seconds under force control. The shear load tests were planned in such a way that three different levels of compressive stress were applied, 0.1MPa, 0.2MPa and 0.3MPa. In order to obtain the required level of stresses the effective area of each sample was calculated previously and the vertical load corrected to each test. Immediately afterwards, a displacement rate of 0.015 mm/min (0.25  $\mu$ m/s) was imposed, this displacement was controlled by the LVDT\_P2.

The duration of each test was approximately 3600 s, due to different working ranges from the LVDTs the information of vertical displacements and horizontal relative displacement from LVDT\_N1 is available only until the second 1800.

In the case of tests in prisms with a vertical stress level of 0.3 MPa it was necessary to change the vertical stress level because the machine clamp was not strong enough to avoid horizontal displacement of the platen. Instead a stress level of 0.25 MPa was applied in three of five tests.

#### 6.3 Tests results

The stress-displacement ( $\tau - \delta$ ) diagram describes the shear behaviour when a certain level of normal stress is applied to the sample; in this diagram the curves from both horizontal LVDTs and the average value are represented. The shape of this curve, presented in Figure 36, can be considered as characteristic to this type of test.

The plotted diagram presents LVDT\_N1 and LVDT\_P2 response; additionally it can be observed that the first LVDT reaches the working range earlier than LVDT\_P2. However the test was stopped only after obtaining a constant shear force. The normal and shear stresses were calculated as the ratio between the vertical load and the effective cross section of each sample, measured at the midpoint of the effective section, (5) and (6).

$$\sigma = F_n / A_{eff} \tag{5}$$

$$\tau = F_s / A_{eff} \tag{6}$$



Figure 36 – Complete curve shear stress vs. displacement of specimen S\_N3\_07, data obtained from LVDT\_P1 and LVDT\_P2.

As expected, the maximum shear load increased with the compressive stress level in both type of samples. It was observed for each stress level a similarity in the shear stress vs. displacement behaviour, see Figure 37.



Figure 37 – Curves representing the shear stress vs. displacement from specimens tested with different normal stress level, data obtained from LVDT\_P2.



Figure 38 – Samples after the shear load test: a) specimen, failure by the weakened surface; b) prism, failure by the bonding surface mortar/block.

The shear strength  $f_v$ , the fracture energy  $G''_{f_t}$  and the relative displacement of the crack,  $\Delta u_s$ , are used in order to characterize the softening diagram. Fracture energy is identified with the work that is carried out for complete separation of the two faces of the macrocrack, per unit of area. For the calculation of the fracture energy the correction of the elastic energy was computed, fracture energy is presented in the tables resuming the data from tests, Table 15 to

Table 14.

Prism	f <sub>v</sub>	$\sigma_{N}$	Δu <sub>s</sub>	K <sub>0</sub>	$G^{"}_{f}$
	(N/mm <sup>2)</sup>	(N/mm <sup>2)</sup>	mm	(N/mm <sup>3)</sup>	(N/mm)
S_S_N1_01	0.449	-0.140	0.003	90.826	0.764
S_S_N1_06	0.439	-0.131	0.013	69.497	-
S_S_N1_09	0.349	-0.131	0.076	8.653	0.853
S_S_N1_10	0.347	-0.128	0.011	3.745	0.854
S_S_N1_12	0.402	-0.146	0.004	20.008	0.798
average St. dev. CV (%)	0.397 0.048 12	-0.135 0.007 -6	0.021 0.031 145	38.546 39.178 101.639	0.817 0.044 5

Table 12 – Mechanical and fracture properties of the specimens (normal stress level of 0.1 N/mm<sup>2</sup>).

Prism	f <sub>v</sub>	$\sigma_{N}$	Δus	K <sub>0</sub>	$G^{II}_{f}$
	(N/mm <sup>2)</sup>	(N/mm <sup>2)</sup>	mm	(N/mm <sup>3)</sup>	(N/mm)
S_S_N2_01	0.614	-0.243	0.016	9.693	0.808
S_S_N2_02	0.488	-0.228	0.015	10.050	1.018
S_S_N2_04	0.634	-0.227	0.073	5.053	1.338
S_S_N2_05	0.580	-0.237	0.006	7.852	1.493
S_S_N2_06	0.521	-0.225	0.013	24.046	1.010
average	0.567	-0.232	0.025	11.339	1.134
St. dev.	0.062	0.008	0.027	7.374	0.276
CV (%)	11	-3	111	65.034	24

Table 13 – Mechanical and fracture properties of the specimens (normal stress level of 0.2 N/mm<sup>2</sup>).

Table 14 –Mechanical and fracture properties of the specimens (normal stress level of 0.3 N/mm<sup>2</sup>).

Prism	f <sub>v</sub> (N/mm <sup>2)</sup>	σ <sub>N</sub> (N/mm <sup>2)</sup>	Δu <sub>s</sub> mm	K <sub>0</sub> (N/mm <sup>3)</sup>	G <sup>II</sup> f (N/mm)
S_S_N3_02	0.649	-0.331	0.229	9.638	1.899
S_S_N3_03	0.672	-0.323	0.013	3.881	1.761
S_S_N3_05	0.761	-0.332	0.017	72.507	1.722
S_S_N3_06	0.660	-0.325	0.007	9.203	1.728
S_S_N3_07	0.711	-0.324	0.226	16.787	1.771
average St. dev. CV (%)	0.691 0.046 7	-0.327 0.004 -1	0.098 0.118 120	22.403 28.382 127	1.776 0.072 4

Table 15 – Mechanical and fracture properties of the prisms (normal stress level of 0.1 N/mm<sup>2</sup>).

Specimen	f <sub>v</sub>	$\sigma_{N}$	Δus	K <sub>0</sub>	$G^{H}_{f}$
	(N/mm²)	(N/mm²)	mm	(N/mm <sup>3)</sup>	(N/mm)
S_P_N1_01	0.312	-0.114	0.038	21.9	0.491
S_P_N1_04	0.269	-0.114	0.010		0.395
S_P_N1_06	0.223	-0.114		17.4	0.360
S_P_N1_07	0.220	-0.109	0.005		0.351
S_P_N1_08	0.241	-0.108	0.040	35.1	0.402
average	0.253	-0.112	0.023	24.8	0.400
St. dev.	0.038	0.003	0.018	9.2	0.1
CV (%)	15	-3	78	37	14

Specimen	f <sub>v</sub>	$\sigma_{N}$	Δu <sub>s</sub>	K <sub>0</sub>	$G^{II}_{f}$
	(N/mm <sup>2</sup> )	(N/mm²)	mm	(N/mm <sup>3)</sup>	(N/mm)
S_P_N2_01	0.394	-0.212	0.028	28.8	0.701
S_P_N2_03	0.323	-0.218	0.774	23.3	0.727
S_P_N2_04	0.375	-0.207	0.009		0.693
S_P_N2_05	0.311	-0.214	0.133	39.2	0.672
S_P_N2_06	0.414	-0.214	0.133	8.2	0.126
average	0.363	-0.213	0.215	24.869	0.584
St. dev.	0.045	0.004	0.317	12.896	0.257
CV (%)	12	-2	148	52	44

Table 17 – Mechanical and fracture properties of the prisms (normal stress level of 0.3 N/mm<sup>2</sup>).

Specimen	f <sub>v</sub>	$\sigma_{N}$	Δu <sub>s</sub>	K <sub>0</sub>	$G^{II}_{f}$
opeointen	(N/mm²)	(N/mm²)	mm	(N/mm <sup>3)</sup>	(N/mm)
S_P_N3_01	0.511	-0.311	0.000	70.448	0.441
S_P_N3_02	0.515	-0.306	0.004	-	0.091
S_P_N3_03	0.504	-0.303	0.035	84.122	0.888
S_P_N3_05	0.470	-0.260	0.012	102.941	0.777
S_P_N3_06	0.493	-0.269	0.111	111.744	0.930
average	0.499	-0.290	0.032	92.314	0.625
St. dev.	0.018	0.024	0.046	18.580	0.355
CV (%)	4	-8	142	20	57

## 6.4 Discussion

From the test campaign carried out to specimens and prisms it is possible to infer the existence of a weaker interface in prisms rather than in specimens. This weaker interface is ruling the behaviour of the samples when submitted to shear loads with a normal compression.

From the data presented in Figure 39 and Figure 40 is possible to identify the cohesion, *c*, and the tangent of the friction angle, *tan*  $\emptyset$ . These properties present higher value in the case of the specimens, the cohesion is 0.148 N/mm<sup>2</sup> and the tangent of the friction angle is 1.5762, in the case of prisms cohesion is 0.0957 N/mm<sup>2</sup> and the tangent of the friction angle is 1.3466.

The experimental research on the behaviour of adobe specimens and prisms with a mortar joint can be considered innovative once there are no works published about this topic. On other hand the comparison and verification of result is more difficult to perform and cannot be done in a direct way. These values can be compared with old clay units for which a cohesion of 0.127 N/mm<sup>2</sup> and a tangent of the friction of 0.695 are reported [37].



Figure 39 - Relationship between normal and shear stress in specimens.



Figure 40 - Relationship between normal and shear stress in prisms.

## **CHAPTER 7**

# CONCLUSIONS AND FURTHER RESEARCH

## 7.1 Conclusions

Mechanical properties have been studied by different authors. Part of the works is focused on the characterization of adobe units and other are focussed in the study of the interface (joint) between the mortar and the units. Generally, the properties considered relevant to characterize the behaviour of adobe constructions are: compressive strength, shear strength and elastic modulus. Only in a reduced number of documents it is analysed the tensile strength and fracture energy.

A description of the experimental campaign aiming at the characterization of the adobe and mortar used in the prisms was presented. The type of mortars that can be find in adobe constructions from Aveiro region was described and the mechanical characterization of the mortar samples prepared during the assemblage of the prisms presented.

With the aim of characterize the behaviour of adobe specimens and prisms when subjected to uniaxial tensile loads tests were realized. From these tests it was possible to conclude that In the case of specimens the size effect of the aggregates can highly contribute to the dispersion of results and for the prisms the interface between the two blocks is obviously the weaker part of the structure. The low values of bonding between the units and the mortar joint is a good representation of reality, once in existing constructions this weakened interface can be frequently seen.

From the campaign of compressive load tests to adobe specimens and prisms, developed with the aim of the characterization of the compressive behaviour of adobe it was possible to conclude that compressive strength of prisms presents a lower dispersion and higher mean value than the results obtained for the adobe specimens. The obtained factor fe, the relation between the compressive strength and the modulus of elasticity,  $E=939f_{c}$ , is higher than the value proposed in the standard NZS 4298:1998 - Materials and workmanship for earth buildings [17] ( $E=300f_c$ ). The compressive fracture energy presents a higher coefficient of variation in the case of adobe specimens.

From the experimental campaign to characterize the shear behaviour of adobe specimens and adobe prisms with hydraulic lime mortar joints test carried out it was possible to infer the existence of a weaker interface in prisms rather than in specimens. This weaker interface is ruling the behaviour of

the samples when submitted to shear loads with a normal compression. From the data obtained was possible to identify the cohesion, *c*, and the tangent of the friction angle,  $tan \not o$ . These properties presented higher value in the case of the specimens, the cohesion was 0.148 N/mm<sup>2</sup> and the tangent of the friction angle was 1.5762, in the case of prisms cohesion was 0.0957 N/mm<sup>2</sup> and the tangent of the friction angle was 1.3466. The experimental research on the behaviour of adobe specimens and prisms with a mortar joint can be considered innovative once there are no works published about this topic.

#### 7.2 Further research

During the experimental campaign to characterize the shear behaviour of adobe specimens and adobe prisms it was obtained information about the normal displacements, due to lack of time the analysis of this data was not carried within the frame of this work. From this data is possible to obtain the dilatancy values of adobe specimens and prisms.

In future developments of the present work several issues must to be researched, especial attention must be given to the characterization of adobe masonry using traditional air lime mortars.

Finally the standardization of tests procedures to fragile materials as adobe must to be carried, exceptional attention must to be given to tensile tests were the equipment needs to present high precision in the control of displacements and loads otherwise acquired data can present some distortion of the real behaviour of the material.

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