

# Simple homogenized model for the non-linear analysis of FRP strengthened masonry structures. Part I: theory

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## ***Abstract***

A suitable and simple two-step model able to predict the non-linear response of FRP strengthened three-dimensional masonry structures is presented. In the first step, non-strengthened masonry is substituted by a macroscopically equivalent homogeneous material through a kinematic model based on finite elements and working on a heterogeneous assemblage of blocks. Non-linearity is concentrated exclusively on joints reduced to interfaces, exhibiting a frictional behavior with limited tensile and compressive strength with softening. The homogenized stress-strain behavior evaluated at the meso-scale is then implemented at a structural level in a finite element non-linear code, relying on an assemblage of rigid infinitely resistant six-noded wedge elements and non-linear interfaces, exhibiting deterioration of the mechanical properties. FRP reinforcing strips are modeled through rigid triangles and non-linear interfaces between adjoining triangles. Delamination from the support is accounted for, by modeling FRP-masonry bond by means of non-linear softening triangular interfaces. Italian code CNR DT 200 (2004) formulas are used to evaluate peak interface tangential strength and post peak behavior. In this first part, the theoretical base of the model and the non-linear stress strain behavior at a cell level are discussed. Structural examples will be analyzed in the accompanying paper devoted to the structural scale.

29 **1. Introduction**

30 The foreseen inadequate performance of masonry structures under earthquakes, particularly in the  
31 case of old buildings or inadequate modern construction, is a common issue in many countries  
32 worldwide and is essentially due to the mortar joints weakness. Conventional retrofitting, such as  
33 external reinforcement with steel plates or reinforced concrete overlay, have proven to be  
34 impractical, expensive in terms of resources (time and money) and to add considerable mass to the  
35 structure (which may increase earthquake-induced inertia forces). In this context, the utilization of  
36 externally bonded FRP strips seems an interesting solution due to the limited invasiveness,  
37 durability of the FRP and good performance at failure, Korany et al. (2001), even if possible  
38 drawbacks must be also considered, namely the bond deterioration due to environmental aspects  
39 (moisture and temperature).

40 While FRP external reinforcement is now very popular, the prediction of its mechanical behavior  
41 when bonded to masonry in the inelastic range still remains a difficult task. Several concurring  
42 factors make the analysis of strengthened masonry structures very challenging. Among others, the  
43 most important are: (1) the heterogeneity of the masonry material, (2) the brittle behavior in tension  
44 of mortar joints, even at very low levels of external loads, (3) the delamination of the FRP from the  
45 support, which is typically brittle, and (4) the complex interaction between flexural strength and  
46 vertical pre-compression in case of bending.

47 At present, three different approaches may be used for the analysis of non-strengthened and FRP  
48 strengthened masonry, namely micro-models, macro-models and micro-macro (or homogenization)  
49 models.

50 In micro-models (Lotfi and Shing 1994, Lourenço and Rots 1997, Koutromanos et al. 2011) bricks  
51 and mortar joints are discretized separately. While micro-modeling probably reflects more precisely  
52 masonry actual behavior, the structural analyses are characterized by great computational effort and  
53 apply mostly to laboratory tests or small panels. In addition, when FRP strips are applied, the finite

54 element (FE) discretization may become even more critical, especially with diagonal strengthening,  
55 where ad-hoc mesh refinements are required.

56 Macro-models (e.g. Di Pasquale 1992, Lourenço et al. 1997, Casolo 1999, Berto et al. 2002, Brasile  
57 et al. 2010) are based on the use at structural level of phenomenological constitutive laws for  
58 masonry, which is regarded as an orthotropic continuum. Despite macro-modeling is very effective  
59 from a computational point of view because large scale structural analyses may be tackled, it  
60 requires expensive laboratory characterization to effectively reflect the actual behavior of the  
61 masonry material under consideration.

62 Micro-macro models (Luciano and Sacco 1997, Pegon & Anthoine 1997, Colliat et al. 2002,  
63 Massart et al. 2004, Pietruszczak & Ushaksarei 2003, Milani et al. 2006a & 2006b) consider  
64 different constitutive laws for bricks and mortar joints at the meso-scale only. In this framework, a  
65 Representative Element of Volume (REV) generating the whole structure by repetition is isolated  
66 from the wall and is assumed subjected to suitable periodic and anti-periodic boundary conditions  
67 on displacement and stresses. In this way, macroscopic stress-strain relations to use at a structural  
68 level are evaluated solving a non-linear boundary value problem on a sampled REV, see Lourenço  
69 et al. (2007) for a review. Typically, a coarse FEM discretization of the REV is utilized, where  
70 displacement increments on the boundary surface are imposed, in agreement with a displacement  
71 driven procedure.

72 At present, it is the authors' opinion that an efficient analysis of both FRP strengthened and non-  
73 strengthened large scale masonry structures in the non-linear range requires a micro-macro or  
74 macro-computational approach (e.g. Gambarotta & Lagomarsino 1997, Pietruszczak & Ushaksarei  
75 2002, Grande et al. 2008). Indeed, a numerical model to use at structural level should be sufficiently  
76 simple, reliable and efficient to allow the fast evaluation of (a) collapse loads, (b) displacements  
77 near collapse, (c) failure mechanism, and (d) post peak behavior of the structures.

78 In this paper, a simple two-step micro-macro model is used to analyze efficiently masonry FRP  
79 strengthened structures, see Figure 1. In the first step, hereafter called meso-modeling, masonry is

80 substituted by a macroscopic equivalent material through the application of a simplified averaging  
81 procedure, in which a REV constituted by a central brick interconnected with its six neighbors  
82 through zero thickness joints is meshed with six-noded wedges and non-linear softening interfaces  
83 (mortar joints and brick-brick interfaces that allow potential internal cracks in the bricks). The  
84 approach allows to estimate in an approximate way masonry macroscopic non-linear behavior under  
85 in- and out-of-plane loads, at different orientations of the actions with respect to material axes.

86 In the second step, discussed in detail in Part II, full masonry structures are analyzed in the non-  
87 linear range through a tailored FE non-linear code specifically developed to conduct reliable and  
88 simple analyses on structures with any shape and under general loading conditions. Six-noded rigid  
89 and infinitely resistant wedges are utilized, e.g. Milani et al. (2009), with elastic and inelastic  
90 deformation allowed only at the interfaces between adjoining elements. Only the knowledge of  
91 masonry orthotropic stress-strain relations, i.e. information provided at the meso-scale, is therefore  
92 required.

93 FRP strips are modeled by means of triangular rigid elements and possible elastic and inelastic  
94 deformation is allowed only at the linear interfaces between contiguous triangles. Masonry and FRP  
95 layers interact by means of interfacial tangential actions between triangles (FRP) and wedges  
96 (masonry). There, to properly account for the detachment of the strip from the support, an elasto-  
97 damaging shear stress-slip relationship is assumed, in agreement with codes of practice formulas  
98 dealing with delamination (e.g. Italian CNR DT-200 2004).

99 In order to circumvent some typical drawbacks of standard FEs when dealing with softening  
100 materials, a sequential quadratic programming approach (SQP) is adopted to solve the global non-  
101 linear problem. Deteriorating masonry stress-strain curves for interfaces are approximated by means  
102 of a linear piecewise-constant discontinuous function, similarly to what proposed in Milani & Tralli  
103 (2011). At each load step, all interfaces are assumed to behave as elastic-perfectly plastic and it is  
104 therefore possible to solve the discretized non-linear problem through a standard non-linear or  
105 quadratic programming algorithm (as envisaged by, e.g., De Donato & Franchi 1973, Kaliszky



106 1996, Cocchetti & Maier 2003). At the end of each iteration, it is checked if some interfaces have  
107 reached a deformation (total strain) incompatible with the strength assumed for that iteration,  
108 meaning that a degradation of the ultimate stress of the interface has to be accounted. If this  
109 situation is encountered, interface strength is updated reducing its ultimate resistance to the  
110 corresponding degraded value and external loads are reduced until the corresponding QP problem  
111 reaches a feasible solution. The new starting point is represented by the displacement solution at the  
112 previous iteration. The algorithm will be tested on several medium size flat and curved FRP  
113 strengthened structures in Part II, to show the robustness of the approach in converging to the  
114 solution. Here, the theoretical basis and FEs used within the formulation are briefly recalled before  
115 the homogenized behavior –to be used at a structural level– of two masonry REV's loaded in- and  
116 out-of-plane is critically examined.

## 117 ***2. Numerical models for FRP-reinforced masonry: a state of the art***

118 The analysis of entire masonry structures reinforced with external FRP strips is not an easy task  
119 and, at present, may be considered as an open issue (Luciano & Sacco 1998, Marfia & Sacco 2001).  
120 It can be stated that common numerical design tools are linear, limit analysis and non-linear FEM.  
121 Linear elasticity (Cecchi et al. 2004) codes are very widespread in common practice and they are  
122 nowadays considered to be a standard design tool. However, they are able to give only conventional  
123 information for masonry structures reinforced with FRP, where non-linearity occurs even at very  
124 low levels of the external loads.

125 The early limit analyses by Galileo and Coulomb were much diverse from the elasticity theory, in  
126 which the stress state of a structure is sought and then limited to a given threshold. As structural  
127 collapse does not usually coincide with the appearance of the first crack or the first crushing, it  
128 seems evident that linear elasticity is a regression with respect to limit analysis. Non-linear  
129 structural behavior is normally extensive and also variable with the type of structure and used  
130 materials. In addition, non-linear analysis allows estimating the collapse load of a structure and

131 comparing it with a nominal load. A simplified form of non-linear analysis is limit analysis, which  
132 only focus on the definition of the collapse load. Examples of design methods based on limit  
133 analysis are the plastic hinge methods, the yield line method and the strut-and-tie models. Still, the  
134 widespread application of limit analysis for FRP reinforced masonry has two main obstacles: (a)  
135 formally, its application is only valid for perfectly plastic materials; (b) it gives no indication about  
136 the behavior of the structure under serviceability conditions and (c) it does not provide any  
137 information on displacements at failure. For these reasons, full non-linear analysis, which includes  
138 the successive stages, from the absence of load through the behavior under service conditions and  
139 non-linear behavior up to collapse is the most powerful form of structural analysis for static loads  
140 (Grande et al. 2008). Nonlinear mechanics and limit analysis are fields of study and research at  
141 large, which received much interest of researchers and practitioners since 1970s. The advancement  
142 of numerical techniques, mainly the Finite Element method, associated with powerful computer  
143 programs allow to satisfactorily resolve problems of increasing complexity. Currently many  
144 commercial programs contemplate nonlinear analysis (e.g. Berto et al. 2002, Massart et al. 2004,  
145 Mahini et al. 2007), which is easily accessible. However, some convergence difficulties may  
146 sometimes occur in presence of strongly non-linear problems and softening materials. In addition,  
147 its use is not straightforward since, in general, the possibilities of modern software far exceeds the  
148 knowledge available in engineers with respect to non-linear behavior of the structures. Being  
149 earthquakes a major source of damage and a recurrent extreme event, non-linear analysis is now  
150 very popular for existing structures, e.g. the seismic assessment of masonry buildings with box  
151 behavior by pushover methods (Marques & Lourenço 2011) or the seismic assessment of  
152 unreinforced masonry buildings by macro-block analysis (Lourenço et al. 2011). In addition, in  
153 many complex structures, only the numerical calculation allows to fully understand the behavior of  
154 the structure. Masonry reinforced with externally bonded FRP still requires much attention,  
155 involving its non-linear behavior brittle phenomena as delamination and joints cracking. The  
156 authors have relatively long experience in this field and recently proposed, within the

157 homogenization theory framework, different simplified models for a numerical insight on the  
158 structural behavior of strengthened masonry, starting with studies in the linear range, passing  
159 through limit analysis (both static and kinematic) and ending with full non-linear models. As  
160 already pointed out in a general framework valid also for reinforced masonry, elastic approaches  
161 (Cecchi et al. 2004) are very simple but give little design information for this specific problem. For  
162 this reason, in an attempt to supersede the elastic approach, attention was recently focused on limit  
163 analysis (Milani et al. 2009, Milani 2009), which is able to provide some useful information at  
164 failure as for instance load multipliers and the change of the failure mechanism when FRP is  
165 introduced. Thanks to the limited numerical effort required by FE limit analysis, an interesting  
166 design approach was proposed to determine the optimal disposition of FRP, relying into a final  
167 check of the increase of the load bearing capacity of the structure subsequent to the change of the  
168 failure mechanism. However, while limit analysis is a powerful and relatively simple tool, it does  
169 not provide any data on displacements at failure -an information required by many codes of practice  
170 in this specific field-, assumes for the constituent materials a rigid-perfectly plastic behavior with  
171 infinite ductility for the constituent materials, a hypothesis rather questionable in this case. The need  
172 of developing a fully non-linear model, capable of reproducing, with few variables and in an  
173 approximate but suitable way, the actual deteriorating behavior of both masonry and reinforcement  
174 appeared unavoidable. The passage from limit analysis to non-linear models came gradually and has  
175 been recently proposed for the analysis of small unreinforced masonry laboratory panels out-of-  
176 plane loaded. In particular, in Milani & Tralli (2011), a discretization with Munro and Da Fonseca  
177 rigid triangular elements with linear and non-linear deformation concentrated on interfaces  
178 exclusively for bending actions has been proposed. In this way, only two plastic multipliers per  
179 interface were required and the number of kinematic variables involved to perform full non-linear  
180 analysis was rather limited. In order to circumvent some typical drawbacks of standard FEM in the  
181 softening range, the non-linear problem was re-formulated within non-linear programming, by

182 means of a Sequential Quadratic Programming scheme capable of following the non-linear  
183 pushover curve in the softening range.

184 From a numerical point of view, the choice of using rigid blocks with deformations lumped at  
185 interfaces in spite of standard FEs is essentially inspired by the need of variables reduction, but also  
186 reproduces the actual deformations pattern in the non-linear field at least for masonry with  
187 sufficiently regular texture, where usually damage propagates on small fracture lines, zigzagging  
188 along joints between adjacent bricks. This approach potentially allows to perform numerical  
189 simulations on entire medium/large scale structures at a fraction of the time required by standard  
190 FEs. The utilization of discrete elements has a long tradition in the mechanics of structures and  
191 basically goes back to the pioneering work proposed by Kawai (1978).

192 The utilization of rigid elements, a much reduced number of DOF and the efficiency of the  
193 procedure tested for unreinforced panels in two-way bending, suggested a further improvement of  
194 the non linear model, relying into its extension to in-plane loaded panels (Milani 2011) and its  
195 successive generalization to curved masonry shells (Milani & Tralli 2012). Several additional  
196 complications arose in this latter case, connected to the increase of variables to be handled per  
197 interface (modeled by means of five non-linear springs), the introduction of a simplified  
198 dependence between out-of-plane behavior and membrane internal actions and the utilization of  
199 more efficient large scale Quadratic Programming routines, to handle more realistic engineering  
200 problem and hence much more elements in the FE discretization. The approach presented in this  
201 paper should be seen as a further development of the non-linear model constituted by rigid wedge  
202 elements and non-linear interfaces firstly proposed in Milani & Tralli (2011), where (1) external  
203 reinforcement is introduced at a structural level and modeled by means of the utilization of plate  
204 and shell elements with deformation allows at the linear interfaces between adjoining elements and  
205 (2) a brittle 2D constitutive behavior between FRP and support is introduced, fully complying with  
206 codes of practice recommendations (e.g. CNR-DT 200 2004).

207 The use of advanced models for the assessment of existing masonry structures is necessary to adopt  
208 efficient conservation and strengthening measures, to develop better design tools and to partly  
209 replace the expensive laboratory tests.

### 210 **3. Meso-scale: a simplified homogenization procedure**

211 A simplified homogenization model for the determination of non-strengthened macroscopic  
212 masonry non-linear behavior is presented first. The homogenization proposed (Milani et al. 2009a)  
213 pertains to running bond non-strengthened masonry, regarded as an assemblage of bricks interacting  
214 through interfaces (mortar joints). Bricks are supposed infinitely resistant, whereas for joints a  
215 Mohr Coulomb failure criterion with tension cut-off and compressive limited strength is adopted. In  
216 this way, a full description of the model can be given at a *micro-scale* considering a representative  
217 volume constituted by a generic brick interacting with its six neighbors. A sub-class of possible  
218 elementary deformation modes acting in the unit cell is a priori chosen in order to describe joints  
219 cracking under normal, tangential actions and bending. Then, a numerical procedure of  
220 identification between the 3D discrete system and a continuum 2D equivalent model is proposed,  
221 equating internal work expended by the two models.

#### 222 **3.1. Heterogeneous model**

223 In the heterogeneous model, the whole REV is meshed through six-noded wedge elements  
224 interconnected by interfaces (internal brick-brick interfaces and mortar joints, see Figure 2-a). The  
225 motion of a generic element  $E$ , see Figure 2, is described as a function of its centroid ( $C^E$ )  
226 displacement vector  $\mathbf{u}^E$  (components  $u_{xx}^E$ ,  $u_{yy}^E$  and  $u_{zz}^E$ ) and of its rotation vector  $\Phi^E$  (components  
227  $\Phi_{xx}^E$ ,  $\Phi_{yy}^E$  and  $\Phi_{zz}^E$ ) around centroid.

228 When two contiguous bricks  $M$  and  $N$  are considered, the displacement of a generic point  $P$  in a  
229 position  $\xi \in \Gamma_{12}$  belonging respectively to  $M$  and  $N$  (where  $\Gamma_{12}$  indicates the common interface  
230 between the two elements) is:

$$\mathbf{u}^M(P) = \mathbf{u}^M + \mathbf{M}(\Phi^M)(P - C^M)$$

$$\mathbf{u}^N(P) = \mathbf{u}^N + \mathbf{M}(\Phi^N)(P - C^N) \quad (1)$$

231 Where  $\mathbf{M}(\Phi) = \begin{bmatrix} 0 & -\Phi_{zz} & \Phi_{yy} \\ \Phi_{zz} & 0 & -\Phi_{xx} \\ -\Phi_{yy} & \Phi_{xx} & 0 \end{bmatrix}$

232 In equation ( 1 ) the position  $\xi$  of point  $P$  is evaluated with reference to a local frame of reference

233  $(\xi_1 \ \xi_2)$  with origin on the centroid on the interface, Figure 2-b. Jump of displacements  $[\mathbf{U}(P)]$

234 between bricks  $M$  and  $N$  in a point  $\xi \in \Gamma_{12}$  is expressed by:

$$[\mathbf{U}(P)] = \mathbf{u}^M(P) - \mathbf{u}^N(P) = \mathbf{u}^M - \mathbf{u}^N + \mathbf{M}(\Phi^M)(P - C^M) - \mathbf{M}(\Phi^N)(P - C^N) \quad (2)$$

235 Having defined a local frame of reference  $\xi_1 - \xi_2 - \xi_3$  for the interface between  $N$  and  $M$  elements

236 (vertices corresponding to nodes  $P_1, P_2, P_4$  and  $P_5$ , Figure 2-b and -c), we assume that it is

237 characterized by two axes  $(\mathbf{e}_1 - \mathbf{e}_2)$  laying on the interface plane and mutually orthogonal, while the

238 third perpendicular axis to the interface is  $\mathbf{e}_3$ . Thus, unitary vectors  $\mathbf{e}_1 - \mathbf{e}_2 - \mathbf{e}_3$  may be expressed in

239 the global coordinate system as  $\mathbf{e}_1 = \mathbf{e}_2 \times \mathbf{e}_3$ ,  $\mathbf{e}_2 = \frac{P_2 - P_1}{\|P_2 - P_1\|}$  and  $\mathbf{e}_3 = \tilde{\mathbf{e}}_1 \times \mathbf{e}_2$  with  $\tilde{\mathbf{e}}_1 = \frac{P_4 - P_1}{\|P_4 - P_1\|}$ .

240 The rotation matrix  $\mathbf{R}_e$ , with respect to the global coordinate system jump of displacements, may be

241 written in the local system as:

$$[\tilde{\mathbf{U}}(P)] = \mathbf{R}_e[\mathbf{U}(P)] \quad (3)$$

242 where the superscript  $\sim$  indicates quantities evaluated in the local system.

243 From ( 3 ), it is possible to evaluate the work  $\pi$  dissipated on  $\Gamma_{12}$  as follows:

$$\pi = \int_I [\boldsymbol{\sigma}_M(P) \cdot \tilde{\mathbf{U}}_M(P) + \boldsymbol{\sigma}_N(P) \cdot \tilde{\mathbf{U}}_N(P)] dS = \int_I \boldsymbol{\sigma}_M(P) \cdot [\tilde{\mathbf{U}}(P)] dS \quad (4)$$

244 Where  $\boldsymbol{\sigma}_M(P) = [\tau_{13}(P) \ \tau_{23}(P) \ \sigma_{33}(P)]^T$  is the stress vector acting at  $P$  on element  $M$ , with

245  $\boldsymbol{\sigma}_N(P) = -\boldsymbol{\sigma}_M(P)$ .

## 246 **3.2. Continuous model**

247 In this Section, with the aim of substituting, for the structural analyses, the heterogeneous material  
 248 with an equivalent homogenized continuum, the basic kinematics of an equivalent model is  
 249 discussed. In particular, a standard Cauchy bi-dimensional continuum, Figure 2-a, is considered.

250 Here the global frame of reference is identified by the vectors  $x_1$ ,  $x_2$  and  $x_3$ .

251 The displacement field of a point  $\mathbf{P}$  (coordinates  $[x_1^P \ x_2^P \ x_3^P]$ ) belonging to the equivalent  
 252 continuum plate is given by fields  $\mathbf{w}(\mathbf{x})$  (components  $w_1$ ,  $w_2$  and  $w_3$ ) and  $\Psi(\mathbf{x})$  (components  $\Psi_1$   
 253 and  $\Psi_2$ ), representing respectively the displacements and rotations of the plate in correspondence  
 254 of the point  $\mathbf{x} = [x_1^P \ x_2^P \ 0]$  laying in the middle plane of the continuum regarded as a plate (i.e.  
 255 with two dimensions much bigger than a third one, the thickness).

256 For in- and out-of-plane loads, membrane forces vector  $\mathbf{N}$  (components  $N_{11}$ ,  $N_{12}$  and  $N_{22}$ ),  
 257 moments  $\mathbf{M}$  (bending  $M_{11}$ ,  $M_{22}$  and torsion  $M_{12}$ ) and out-of-plane shear  $\mathbf{T}$  (components  $T_{13}$  and  
 258  $T_{23}$ ) contribute to the internal work. In particular, the work dissipated by an equivalent plate model  
 259 is simply:

$$\pi = [N_{11} \ N_{12} \ N_{22}] \begin{bmatrix} E_{11} \\ E_{12} + E_{21} \\ E_{22} \end{bmatrix} + [T_{13} \ T_{23}] \begin{bmatrix} \gamma_{13} \\ \gamma_{23} \end{bmatrix} + [M_{11} \ M_{12} \ M_{22}] \begin{bmatrix} \chi_{11} \\ \chi_{12} + \chi_{21} \\ \chi_{22} \end{bmatrix} \quad (5)$$

260 where  $\mathbf{E}$  is the in-plane strain vector,  $\chi$  the out-of-plane strain vector and  $\gamma$  the out-of-plane shear  
 261 strain.

## 262 **3.3. Simplified homogenization**

263 To substitute the heterogeneous material with the homogeneous equivalent 2D model, a simple  
 264 compatible identification model is proposed (Casolo & Milani 2010), where the work expended by  
 265 the blocks model, equation ( 4 ), is equated to the work ( 5 ) by the equivalent model.

266 At this aim, fields  $\mathbf{w}(\mathbf{x})$  and  $\Psi(\mathbf{x})$  are a priori chosen as a combination of elementary deformations  
 267 in the unit cell, corresponding to actual failure mechanisms occurring, according to experimental  
 268 evidences, in presence of running bond brickwork with weak joints reduced to interfaces. From a  
 269 practical point of view, fields  $\mathbf{w}(\mathbf{x})$  and  $\Psi(\mathbf{x})$  corresponding to each sub-class of regular motions  
 270 are obtained assuming alternatively one component of vector  $\mathbf{E}$ ,  $\gamma$  or  $\chi$  unitary and setting all the  
 271 other components equal to zero, subsequently choosing the most simple polynomial expressions for  
 272  $\mathbf{w}(\mathbf{x})$  and  $\Psi(\mathbf{x})$  which comply with the compatibility equations. Once fields  $\mathbf{w}(\mathbf{x})$  and  $\Psi(\mathbf{x})$  are  
 273 known from the procedure described, rotations and displacements of each element belonging to the  
 274 REV in the heterogeneous model are determined solving a boundary value problem on the REV  
 275 where displacements (or displacement increments) on the boundary are imposed.

276 For instance, when only  $\chi_{11} \neq 0$  is applied on the REV, a choice for  $\mathbf{w}(\mathbf{x})$  and  $\Psi(\mathbf{x})$  fields is:

$$\begin{aligned} \Psi_1 &= \chi_{11} x_1 \\ w_1 &= \chi_{11} x_1 x_3 \\ w_2 &= 0 \\ w_3 &= -\chi_{11} x_1^2 / 2 \end{aligned} \quad (6)$$

277 The application of equation ( 6 ) to the heterogeneous model permits to directly determine  
 278 displacements to apply to the boundary surfaces of the REV.

279 Since the aim of this paper is to model the strengthening effect induced by FRP in bending, at the  
 280 macro-scale homogenized three dimensional wedge-shaped elements are used for masonry (see  
 281 following sections and Figure 1). Consequently, non-strengthened brickwork behavior in flexion is  
 282 obtained by integration of in plane actions at a structural level (step II).

283 Therefore, at the micro-scale it is possible to limit the study to in-plane and out-of-plane shear  
 284 actions ( $\mathbf{E}$ ,  $\gamma$  respectively).

285 For a generic brick-brick or mortar interface, the elastic domain is, in the most general case,  
 286 bounded by a composite yield surface that includes tension, shear and compression failure with  
 287 softening, Figure 2-d. A multi-surface plasticity model is adopted, with softening in both tension



288 and compression. The elastic domain is defined by each  $i$ -th yield function  $f_i \leq 0$ , in the form  
 289  $f_i(\sigma, \tau, \kappa_i) = \Phi_i(\sigma, \tau) + \Psi_i(\kappa_i)$ , where scalar  $\kappa_i$  rules the amount of softening of the  $i$ -th yield  
 290 surface and  $\Phi_i$  and  $\Psi_i$  are generic functions representing respectively the initial  $i$ -th yield surface  
 291 and the correction which accounts for the evolution of the strength during the inelastic deformation  
 292 process. Total strain rate  $\dot{\boldsymbol{\epsilon}}$  is decomposed into an elastic component  $\dot{\boldsymbol{\epsilon}}_{el}$  and a plastic component  
 293  $\dot{\boldsymbol{\epsilon}}_{pl}$ . The elastic strain rate is related to the stress rate by the elastic constitutive matrix  $\mathbf{D}$  as  
 294  $\dot{\boldsymbol{\sigma}} = \mathbf{D}\dot{\boldsymbol{\epsilon}}_{el}$ , whereas the non-associated plasticity reads as  $\dot{\boldsymbol{\epsilon}}_{pl} = \lambda_i \frac{\partial g_i}{\partial \boldsymbol{\sigma}}$ , where  $g_i$  is the plastic  
 295 potential corresponding to the  $i$ -th yield surface (which rules the direction of  $\dot{\boldsymbol{\epsilon}}_{pl}$  in the stress  
 296 space) and  $\boldsymbol{\sigma} = [\sigma \quad \tau]^T$ .

297 The multi-surface plasticity model adopted is the classical Mohr–Coulomb type strength criterion,  
 298 with a tension cut-off and a linear compression cap, Figure 2-d.  $f_t$  and  $f_c$  are, respectively, tensile  
 299 and compressive Mode-I strength,  $c$  is the cohesion,  $\Phi$  is the friction angle, and  $\Psi$  is the angle  
 300 which defines the linear compression cap. For the tension mode, exponential softening is assumed,  
 301 i.e.  $f_1(\boldsymbol{\sigma}, \kappa_1) = \sigma - f_t(\kappa_1)$ . where  $f_t(\kappa_1)$  deteriorates in agreement with the following formula:

$$f_t(\kappa_1) = f_{t0} e^{-\frac{f_{t0} \kappa_1}{G_f^I}} \quad (7)$$

302 being  $f_{t0}$  the initial joint tensile strength and  $G_f^I$  the mode I fracture energy. An associated flow  
 303 rule is assumed. For the shear mode, the Mohr-Coulomb yield function reads  
 304  $f_2(\boldsymbol{\sigma}, \kappa_2) = |\tau| + \sigma \tan \phi(\kappa_2) - c(\kappa_2)$ , where the yield values  $c$  and  $\tan \phi$  are ruled by the  
 305 following formulas:

$$c(\kappa_2) = c_0 e^{-\frac{c_0 \kappa_2}{G_f^II}} \quad (8)$$

$$\tan \phi = \tan \phi_0 + (\tan \phi_r - \tan \phi_0)(c_0 - c)/c_0$$

306 being  $c_0$  and  $\tan \phi_0$  the initial cohesion and friction angle,  $G_f''$  the mode II fracture energy and  
 307  $\tan \phi_r$  the residual friction angle, here kept always equal to 75% of the initial one. A non-associated  
 308 flow rule is assumed here, with zero dilatancy.

309 When dealing with the linearized compressive cap inelastic behavior, a three function model,  
 310 Lourenço and Rots (1997), is utilized as shown in Figure 2-d, where the subscripts  $e$ ,  $m$ ,  $p$  and  $r$  of  
 311 the yield value  $f_c$  denote respectively, the elastic limit, medium, peak and residual values. The  
 312 peak value  $f_{cp}$  equals the masonry compressive strength  $f_c$  of the interface. Stress within the  
 313 hardening/softening evolution is evaluated by means of the following formulas:

$$\begin{aligned}
 \sigma_I(\kappa_3) &= f_{ce} + (f_{cp} - f_{ce}) \sqrt{2 \frac{\kappa_3}{\kappa_p} - \left(\frac{\kappa_3}{\kappa_p}\right)^2} \\
 \sigma_{II}(\kappa_3) &= f_{cp} + (f_{cm} - f_{cp}) \left(\frac{\kappa_3 - \kappa_p}{\kappa_m - \kappa_p}\right)^2 \\
 \sigma_{III}(\kappa_3) &= f_{cr} + (f_{cm} - f_{cr}) \exp\left(2 \frac{f_{cm} - f_{cp}}{\kappa_m - \kappa_p} \frac{\kappa_3 - \kappa_p}{f_{cm} - f_{cr}}\right)
 \end{aligned} \tag{9}$$

### 314 **3.4. Numerical simulations at a cell level**

315 This section provides an insight into the inelastic behavior of masonry REV's with any shape,  
 316 provided by the two-step model proposed.

317 To this aim, a running bond elementary cell constituted by  $\frac{1}{4}$  of common solid clay Italian bricks  
 318 (dimensions  $62.5 \times 30 \times 14$  mm) is considered and is utilized to build some FRP strengthened deep  
 319 beams analyzed in Part II (Grande et al. 2008). Elastic and inelastic material properties are  
 320 summarized in Table I. Two different values of fracture energy  $G_f$  are assumed, the first  
 321 corresponding realistically to existing masonry (Case A), the second assuming an almost perfect  
 322 plastic behavior in tension (Case B). FE discretization adopted is sketched in Figure 3-a. The  
 323 behavior in uniaxial tension is depicted in Figure 4-a for horizontal and vertical tension. The  
 324 anisotropy of the homogenized model is particularly evident and is mainly due to the contribution in

325 horizontal tension of the bed joint, which fails in shear. In order to validate the results, the curves  
326 obtained using classic FE simulation (Pegon & Anthoine 1997) performed on a mesh with 384  
327 elastic plane stress quadrilateral elements and mortar elasto-plastic interfaces are also represented,  
328 indicated as “FEM refined mesh”. As it is possible to notice, the agreement is almost perfect, even  
329 in the softening range. This is not surprising because fracture lines concentrates on joints reduced to  
330 interfaces, as demonstrated by the REV deformed shape depicted in Figure 4-b, where normal  
331 stress-shear masonry interfaces damage maps are also reported for the sake of completeness. A very  
332 similar behavior is experienced in horizontal bending, as can be noted by deformed shape and  
333 interfaces damage patch reported in Figure 4-c. For compression loads, the anisotropy is less  
334 evident, due to the low shear strength of the joint when compared to the compressive strength.  
335 Hence, little differences are expected when comparing the horizontal and vertical compression. For  
336 this reason, in Figure 5-a only the behavior of the cell in vertical compression is represented for the  
337 sake of conciseness, along with 4 approximations (of increasing accuracy) obtained with linear  
338 piecewise constant functions, to use at a structural level (Part II). To be predictive in compression, a  
339 model with damage inside elements bulk can be used, Fedele & Milani (2010) even if more  
340 complex models seem to be needed, Lourenço & Pina-Henriques (2006). Still, anisotropy and actual  
341 masonry compression strength may be easily fitted with the model proposed, assuming different  
342 mechanical properties for vertical and horizontal joints.

343 Finally, in Figure 5-b, the pure shear behavior of the REV is represented at three increasing vertical  
344 values of pre-compression. As expected, both peak strength and ductility increase; once again in  
345 reasonable agreement with available experimental data and proposed numerical models, Lourenço  
346 & Rots (1997) and Lotfi & Shing (1994).

347 The second REV analyzed, again to be used in Part II structural analyses, is a curved cell  
348 constituting a circular arch studied by Mahini et al. (2007), see Figure 3-b for the FE discretization  
349 here adopted. The middle plane of the wall is also represented to highlight the curvature of the  
350 REV.

351 Mechanical properties adopted for constituent materials are summarized in Table II and, where  
352 available, correspond to Mahini et al. (2007) values. In particular, in Mahini et al. (2007), a wide  
353 experimental characterization in compression of brick prisms extracted from the original units as a  
354 part of the vaults is at disposal, with full force-displacement diagrams. Each prism was made of  
355 seven solid clay bricks and thick mortar joints. An experimental characterization of the ultimate  
356 tensile strength is also available, to compare with present model predictions for axial tensile actions.  
357 The behavior in uniaxial compression is depicted in Figure 6-a, where also experimental data and  
358 the numerical model by Mahini et al. (2007) are reported.

359 As can be noted, the agreement with experimental data is very satisfactory. The same simulations  
360 are repeated in tension, along both material axes. Stress-strain resultant curves are depicted in  
361 Figure 6-b. Here only a comparison between present results and experimental data available on the  
362 peak strength is possible. However, the general non-linear behavior of the REV seems reasonable  
363 and in agreement with literature data. When the REV is subjected to pure stretching acting along  $y_1$ ,  
364 see Figure 1 for symbol meaning, blocks tend to rotate around vertical axis  $y_2$ , with a small but non  
365 negligible contribution, similarly to what occurs for a flat REV in bending, see Figure 4. The out-  
366 of-plane movement of the blocks tends also to slightly reduce the peak strength for stretching along  
367  $y_2$ , which, for the flat case, turns out to be equal to joints tensile strength.

368 Since the determination of the flexural non-linear behavior is crucial for a REV belonging to a  
369 masonry arch (which typically fails with the formation of cylindrical hinges under a certain level of  
370 axial pre-compression), the out-of-plane behavior of the REV is finally represented in Figure 7. In  
371 particular, Figure 7-a and -b show respectively the meridian and parallel curvature-bending moment  
372 diagrams computed by considering increasing values of the membrane meridian compression load  
373  $N_{11}$  (see also Figure 3-b for local axes schematization).  $N_{11}$  has been varied in a wide range from  
374 zero to a reasonable value of compression. For the sake of completeness, in Figure 7-c the torsional  
375 behavior of the homogenized material is also represented under increasing membrane vertical  
376 compression loads.

377 Considering the flexural response results, the following aspects are worth noting:

- 378 • the largest flexural strength of masonry is obtained when loaded along a meridian hinge, which  
379 is due to the contribution of the bed joint subjected to tangential actions;
- 380 • the anisotropic character of the softening exhibited by the model after the peak strength, again a  
381 consequence of the role played by the bed joints;
- 382 • the stability of the algorithm, also in the post peak regime, essentially due to the very limited  
383 number of variables needed by the numerical model.

384 Deformed shapes obtained at the end of the simulations for a meridian bending moment and for  
385 torsion (in absence of meridian pre-compression) are finally reported in Figure 8-b and -c for the  
386 sake of completeness.

### 387 **3.5. 3-noded flat FRP elements (triangles)**

388 At a structural level, rigid triangular shell elements are used to model FRP, Figure 9. Being rigid,  
389 elastic and inelastic deformation is allowed only at the interfaces between contiguous elements.

390 Let us consider a  $(k)$ -th FRP strip with direction  $\mathbf{s}^{(k)}$  and two contiguous FRP elements  $M$  and  $N$ ,

391 with centroid displacements and rotations defined as  $\mathbf{u}^M = [u_{xx}^M \ u_{yy}^M \ u_{zz}^M]^T$ ,  $\mathbf{u}^N = [u_{xx}^N \ u_{yy}^N \ u_{zz}^N]^T$ ,

392  $\Phi^M = [\Phi_{xx}^M \ \Phi_{yy}^M \ \Phi_{zz}^M]^T$  and  $\Phi^N = [\Phi_{xx}^N \ \Phi_{yy}^N \ \Phi_{zz}^N]^T$ . Jump of displacements on the common  $M$  and

393  $N$  interface ( $I-FRP$ ) is linear: therefore, its evaluation is only necessary on the interface  
394 extremes  $A$  and  $B$ , calculated as the difference between displacements of nodes 1-3 and 2-4  
395 respectively.

396 Furthermore, a local frame of reference  $\mathbf{s}^{(k)} - \mathbf{t}^{(k)} - \mathbf{r}^{(k)}$  has to be defined on the interface as (see also  
397 Figure 9 for symbols meaning):

$$\left\{ \begin{array}{l} \mathbf{s}^{(k)} = \frac{(P_7 - P_5) + (P_6 - P_8)}{\|(P_7 - P_5) + (P_6 - P_8)\|} \\ \mathbf{t}^{(k)} = \mathbf{r}^{(k)} \times \mathbf{s}^{(k)} \\ \mathbf{r}^{(k)} = \frac{\mathbf{r}^M + \mathbf{r}^N}{\|\mathbf{r}^M + \mathbf{r}^N\|} \end{array} \right. \quad (10)$$

398 Where  $\mathbf{r}^{(M)} = \frac{(P_1 - P_5) \times (P_2 - P_5)}{2A_{125}}$  and  $\mathbf{r}^{(N)} = \frac{(P_4 - P_6) + (P_3 - P_6)}{2A_{346}}$ , having defined  $A_{125}$  and  $A_{346}$  as

399 the areas of elements  $M$  and  $N$  respectively.

400 Being  $[x_A \ y_A \ z_A]$  point  $A$  coordinates, the node 1 displacement is given by:

$$\begin{bmatrix} u_{xx}^1 \\ u_{yy}^1 \\ u_{zz}^1 \end{bmatrix} = \begin{bmatrix} u_{xx}^M \\ u_{yy}^M \\ u_{zz}^M \end{bmatrix} + \begin{bmatrix} 0 & \Phi_{yy}^M & -\Phi_{zz}^M \\ -\Phi_{yy}^M & 0 & -\Phi_{xx}^M \\ -\Phi_{zz}^M & \Phi_{xx}^M & 0 \end{bmatrix} \begin{bmatrix} x_A - x_M \\ y_A - y_M \\ z_A - z_M \end{bmatrix} = \mathbf{u}^M + \mathbf{R}_M (A - C^M) \quad (11)$$

401 Where  $C^M = [x_M \ y_M \ z_M]$  is the centroid of element  $M$ .

402 The node 1 displacement can be re-written in the  $\mathbf{s}^{(k)} - \mathbf{t}^{(k)} - \mathbf{r}^{(k)}$  local interface frame of reference by

403 means of the rotation matrix  $\mathbf{T}(M, N)$  deduced from equations ( 11 ), i.e.

404  $[u_s^1 \ u_r^1 \ u_t^1]^T = \mathbf{T}(M, N)[\mathbf{u}^M + \mathbf{R}_M (A - C^M)]$ . No difference occurs for node 2, provided that element

405  $N$  displacements and centroid are used instead of quantities related to  $M$ .

406 Consequently, A jump of displacements is evaluated (in the local coordinate system) as:

$$[\mathbf{u}_A] = \mathbf{T}(M, N)[\mathbf{u}^M - \mathbf{u}^N + \mathbf{R}_M (A - C^M) - \mathbf{R}_N (A - C^N)] \quad (12)$$

407 Where  $[\mathbf{u}_A] = [\Delta u_s^A \ \Delta u_r^A \ \Delta u_t^A]^T = [u_s^1 - u_s^2 \ u_r^1 - u_r^2 \ u_t^1 - u_t^2]^T$  is the jump of displacement on  $A$ .

408 Analogous considerations can be repeated for node  $B$ .

409 As already discussed, elastic and inelastic deformation is supposed to occur at the interfaces only,

410 due to stresses acting both parallel and perpendicular to  $\mathbf{s}^{(k)}$  fibers direction. Low compressive

411 stresses induce buckling of the strips, due to the FRP negligible thickness. In order to take into

412 account this effect (at least in an approximate way), different limit stresses are assumed in tension

413 and compression, namely  $f_{FRP}^+$  (assumed equal to  $f_{fd}$  or  $f_{fd,rid}$  in agreement with CNR-DT200

414 (2004), see following section) for tensile failure and  $f_{FRP}^- \approx 0$  for compression buckling  
415 respectively.

### 416 **3.6. FRP/masonry interfaces (delamination)**

417 A key parameter for FRP strengthening is the adhesion between the strip and masonry. In particular,  
418 delamination is very complex to model, because it involves materials with different properties  
419 (masonry, FRP and glue layer) and depends on several parameters. Experimental and numerical  
420 studies demonstrated that decohesion usually occurs for masonry failure (Fedele and Milani 2010),  
421 i.e. delaminated FRP presents a significant layer of masonry material on the debonded surface.

422 A rigorous methodology to directly take into account in a numerical model the behavior of the layer  
423 between masonry and FRP requires the use of the interface model concept. According to this model,  
424 forces acting on the interface are related to the relative displacement of the two sides (masonry and  
425 FRP), thus requiring the utilization of interface elements.

426 For the sake of simplicity, in what follows, the Italian code CNR DT-200 (2004) is used next,  
427 which is one of the many national codes proposed in this field. Anyway, it is stressed that any  
428 formula may be implemented in the code with no conceptual differences.

429 In the Italian norm, Figure 9-a, a simplified approach is proposed to evaluate the delamination  
430 phenomenon, suitably limiting force action on the FRP strip. In particular, the  $f_{fdd}$  design tensile  
431 strength of FRP elements is:

$$f_{fdd} = \frac{1}{\gamma_{fd} \sqrt{\gamma_M}} \sqrt{\frac{2 \cdot E_{FRP} \cdot \Gamma_{Fk}}{t_{FRP}}} \quad (13)$$

432 if the so called bond length  $l_b$  is greater than the optimal bond length  $l_e$  or:

$$f_{fdd,rid} = f_{fdd} \frac{l_b}{l_e} \left( 2 - \frac{l_b}{l_e} \right) \quad (14)$$

433 if  $l_b \leq l_e$ .

434  $f_{jdd,rid}$  is the reduced value of the design bond strength,  $f_{jdd}$  is the design bond strength,  $E_{FRP}$  is the  
 435 FRP Young modulus,  $t_{FRP}$  is the FRP thickness,  $\gamma_{fd}$  and  $\gamma_M$  are safety factors (in what follows  
 436 assumed equal to 1.20 and 1.0 respectively),  $l_b$  is the bond length of FRP elements and

437  $l_e = \sqrt{\frac{E_{FRP} \cdot t_{FRP}}{2 \cdot f_{mtm}}}$  is the optimal bond length of FRP corresponding to the minimal bond length able

438 to carry the maximum anchorage force ( $f_{mtm}$  indicates masonry average tensile strength).

439 The term  $\Gamma_{Fk}$  in ( 13 ) represents the characteristic value of the specific fracture energy of the FRP  
 440 strengthened masonry under a delamination test.  $\Gamma_{Fd}$  (design value) may be evaluated as follows:

$$\Gamma_{Fd} = c_1 \sqrt{f_{mk} \cdot f_{mtm}} \quad [f \text{ in } N/mm^2] \quad (15)$$

441 where  $c_1$  is an experimentally determined coefficient, that typically ranges between 0.015÷0.030  
 442 and  $f_{mk}$  is the characteristic value of masonry compressive strength.

443 The  $\tau_b$  (interface shear stress)-slip law proposed by the Italian norm, Figure 9, permits an indirect  
 444 evaluation of shear peak stress (here denoted with the symbol  $f_b$ ) to use for masonry/FRP interface  
 445 elements (and thus avoiding a discretization of FRP strips by means of truss elements with limited  
 446 strength  $f_{jdd}$ ), once that the ultimate slip (usually fixed at 0.3 mm) is known (area under the  $\tau_b$ -slip  
 447 constitutive law of Figure 9 is  $\Gamma_{Fd}$ ).

448 For a triangular FRP-masonry interface  $I^{F-M}$  between elements  $F$  (FRP) and  $M$  (masonry),

449 Figure 9,  $\mathbf{u}^F = [u_{xx}^F \ u_{yy}^F \ u_{zz}^F]^T$  and  $\mathbf{u}^M = [u_{xx}^M \ u_{yy}^M \ u_{zz}^M]^T$  indicate  $F$  and  $M$  centroids

450 displacements respectively, whereas  $\Phi^F = [\Phi_{xx}^F \ \Phi_{yy}^F \ \Phi_{zz}^F]^T$  and  $\Phi^M = [\Phi_{xx}^M \ \Phi_{yy}^M \ \Phi_{zz}^M]^T$   $F$  and

451  $M$  rotation vectors. Jump of displacements on the common  $I^{F-M}$  interface is linear and may be

452 evaluated on nodes  $A$ ,  $B$  and  $C$  of the interface (Figure 9) as difference between displacements of

453 nodes 1-4 and 2-5 and 3-6 respectively. In particular, if  $[x_A \ y_A \ z_A]$  represents point  $A$  coordinates,

454 displacement of node 1 is again given by an equation formally identical to ( 11 ).



455 For  $I^{F-M}$ , we introduce the same  $\mathbf{s}^{(k)} - \mathbf{t}^{(k)} - \mathbf{r}^{(k)}$  local frame of reference defined in equation ( 10 )  
 456 (see also Figure 9-c), useful to re-write the jump of displacement in a local frame.

457 For node  $A$  belonging to  $I^{F-M}$  and connecting nodes 1-4, it is necessary to evaluate the  
 458 displacement field of node 1 (masonry) and 4 (FRP) in the local frame of reference using the same  
 459 formula reported in ( 12 ). In this way, jump of displacements on  $A$  (in the local coordinate system)  
 460 may be evaluated as:

$$[\mathbf{u}_A] = \mathbf{T}(M, N) [\mathbf{u}^M - \mathbf{u}^N + \mathbf{R}_M(A - C^M) - \mathbf{R}_N(A - C^N)] \quad (16)$$

461 Where  $[\mathbf{u}_A] = [\Delta u_s^A \quad \Delta u_r^A \quad \Delta u_t^A]^T = [u_s^1 - u_s^4 \quad u_r^1 - u_r^4 \quad u_t^1 - u_t^4]^T$  is called “slip” vector of the  
 462 interface on  $A$ . No conceptual differences occur for nodes  $B$  and  $C$ , therefore equation ( 16 ) can be  
 463 utilized for all the vertices of the triangular interface.

464 In the present model, a linear piecewise constant approximation of the actual relationship between  
 465 slip components ( $\Delta u_r^A$  and  $\Delta u_t^A$ ) -tangential stresses ( $\tau_r$  and  $\tau_t$ ) is adopted, to handle delamination  
 466 phenomenon in the framework of quadratic programming, as discussed in detail in the following  
 467 section. The actual elasto-damaging behavior of the interface is approximated with the function  
 468 depicted in Figure 9-a.  $\Delta u_s^A$  is assumed exclusively elastic, in absence of consolidated experimental  
 469 evidences describing the interfacial behavior for normal stresses.

470 **4. Macro-scale (structural level): a simple sequential quadratic**  
 471 **programming –SQP– approach**

472 The kinematic meso-scale model proposed allows to obtain masonry stress-strain diagrams at  
 473 different orientations of load with respect to material axis. The out-of-plane behavior may be  
 474 reproduced as well. However, since six-noded wedge elements are used at a structural level,  
 475 interfaces are subdivided into small rectangular areas and macroscopic internal actions  $N$ ,  $T$ ,  $M$  are  
 476 obtained by integration of stress-strain curves evaluated on the REV. For this reason, only the

477 average membrane behavior (normal stress-normal strain and two mutually orthogonal tangential  
 478 stress-tangential deformation curves for each interface) is required at the macro-scale.

479 The elastic plastic response of a structure subjected to given proportionally increased loads is given  
 480 by the following set of equations and inequalities (De Donato & Franchi 1973):

$$\left\{ \begin{array}{l} \boldsymbol{\varepsilon}^{plE} = \mathbf{N}^E \boldsymbol{\lambda}^E \\ \boldsymbol{\Phi}^E = (\mathbf{N}^E)^T \boldsymbol{\sigma} - \mathbf{H}^E \boldsymbol{\lambda}^E \\ \boldsymbol{\Phi}^E \leq 0 \quad \boldsymbol{\lambda}^E \geq \mathbf{0} \\ \boldsymbol{\lambda}^E \boldsymbol{\Phi}^E = 0 \end{array} \right. \quad (17)$$

481 where, in the general context of a finite element discretization of the domain:

- 482 1.  $\boldsymbol{\varepsilon}^{plE}$  is the plastic strain vector of the element  $E$ ;
- 483 2.  $\mathbf{N}^E$  is the shape functions matrix of the used finite element;
- 484 3.  $\boldsymbol{\lambda}^E$  is the plastic multiplier vector;
- 485 4.  $\mathbf{H}^E$  is the hardening matrix, which in this case is diagonal and with non-null values, very  
 486 small with the aim of reproducing the elastic-perfectly plastic case;
- 487 5.  $\boldsymbol{\Phi}^E$  is a vector collecting the  $r$  linearization planes of the failure surface.
- 488 6.  $\boldsymbol{\sigma}$  is the vector of stress parameters which define point by point the stress (or internal  
 489 actions) acting on the finite element.

490 Hypotheses assumed are: (1) the plasticity condition is piecewise-linearized with  $r$  linearly elastic-  
 491 plastic interacting planes in the space of superimposed stress and strain components; (2) unloading  
 492 of yielded stress-points does not occur; (3) the continuum is discretized into constant strain and  
 493 stress finite elements.

494 Alternatively, De Donato & Franchi (1973), the solution of ( 17 ) can be achieved using quadratic  
 495 programming:

$$\left\{ \begin{array}{l} \max \left\{ -\frac{1}{2} (\boldsymbol{\lambda}^E)^T \mathbf{H}^E \boldsymbol{\lambda}^E + (\boldsymbol{\lambda}^E)^T (\mathbf{N}^E)^T \mathbf{D}^E \boldsymbol{\varepsilon}^E \right. \\ \left. \text{subject to : } \boldsymbol{\lambda}^E \geq \mathbf{0} \right. \end{array} \right. \quad (18)$$

496 where  $\mathbf{D}^E$  is the elastic stiffness matrix,  $\boldsymbol{\varepsilon}^E$  is the elastic part of the strain vector and all the other  
497 symbols have been already introduced.

498 As already discussed, the finite element model utilized next to analyze in the non-linear range  
499 masonry vaults relies into a discretization through six-noded wedge elements, assumed rigid  
500 infinitely resistant, and quadrilateral interfaces where all deformation occurs (linear and non-linear).

501 They are constituted by homogenized masonry, FRP interfaces and masonry-FRP bond. No  
502 differences occur with the procedure adopted at a cell level except that (1) for all interfaces an  
503 approximation of the non-linear behavior through a linear piecewise constant function is used and  
504 (2) masonry interfaces stress-strain curves depend exclusively on the orientation of the interfaces.

505 With the aim of suitably reproducing out-of-plane behavior of the interfaces, a relatively refined  
506 subdivision of interfaces along the thickness is adopted (typically 10 layers are used). In this way,  
507 bending moment and torsion may be evaluated step by step during the deformation process simply  
508 by integration along the thickness.

509 When dealing with masonry material, three displacement and two rotational non linear springs are  
510 utilized, as schematically shown in Figure 10. The third rotational spring, acting along an axis  
511 parallel to the surface, is assumed rigid and infinitely resistant. For FRP-masonry interfaces, three  
512 displacement springs per node are assumed.

513 To properly take into account some distinctive aspect of masonry behavior in flexion (dependence  
514 of the flexural behavior by in-plane compression), but limiting to a great extent the number of  
515 optimization variables involved in the QP scheme, the procedure envisaged in Figure 10 is adopted  
516 for each interface.

517 Focusing for the sake of brevity exclusively on bending moment acting on an interface  $k$  (a similar  
518 procedure is adopted to handle torsion), at an iteration (i) of the loading process, bending rotation

519  $\Phi_n^{(i-1)}$  and normal displacement of the interface centroid  $\delta_n^{(i-1)}$  of the previous iteration (i-1) are

520 known. It is therefore immediately known the displacement field  $\delta_n(y_{t2})$  along the interface

521 thickness (abscissa  $y_{12}$ ). For each interface, depending on its orientation with respect to blocks  
522 disposition, the homogenized stress-strain behavior is known from the meso-scale. At each assumed  
523 strain  $\varepsilon_n$ , an interface displacement at the macro-scale is univocally associated simply applying  
524 what stated in Kawai (1978). In particular, given  $\varepsilon_n$ , the corresponding displacement in the discrete  
525 model on the interface  $k$  between elements  $M$  and  $N$  is  $\delta_n = 1/2(V_M^I + V_N^I)/A^I \varepsilon_n$ , where all  
526 symbols are graphically explained in Figure 10.

527 For the interface  $k$  the homogenized stress-displacement relationship is therefore known for each  
528 point of the interface. By integration with a reasonable subdivision along the thickness into layers  
529 (authors experienced that the utilization of 10 layers represents a good compromise between  
530 numerical efficiency and accuracy) the compression load  $N^{(i-1)}$  on the interface at the (i-1)-th  
531 iteration is known. At a fixed value of membrane normal force, the non-linear relationship moment-  
532 curvature is known again from the meso-scale, along with its linear stepwise constant  
533 approximation (necessary to use the sequential quadratic programming scheme discussed in the  
534 sequel). Again the passage between curvatures and rotations, necessary when a discrete  
535 representation at a structural level is adopted, is trivial and again due to Kawai (1978).

536 In this way, bending moment and torsion may be evaluated step by step during the deformation  
537 process simply by integration.

538 A database of moment-curvature diagrams at different levels of normal stresses is always at  
539 disposal from meso-scale computations before any structural non-linear simulation. When normal  
540 membrane force is within the range inspected but does not match exactly values investigated, a  
541 linear interpolation law for the diagrams is used. In order to utilize sequentially the QP approach an  
542 approximation of the non-linear behavior through a linear piecewise constant function is used.

543 Following this procedure, the resultant mechanical model for masonry interfaces is thus composed  
544 by 5 elasto-plastic springs, Figure 10. Within each iteration, an elastic-perfectly plastic  
545 approximation for each spring is utilized, meaning that 10 plastic multipliers (two for each spring,

546  $\lambda^+$  and  $\lambda^-$ , corresponding to positive or negative kinematic variables) for each interface are  
 547 needed. Conversely, for FRP masonry interfaces a total of 18 plastic multipliers are needed.

548 Within the FE model adopted, problem ( 18 ) may be re-written here (rigid elements with elastic-  
 549 plastic interfaces) as follows:

$$\begin{cases} \min \left\{ \frac{1}{2} \left[ (\lambda^+ - \lambda^-)^T \mathbf{K}_{ep} (\lambda^+ - \lambda^-) + \mathbf{U}_{el}^T \mathbf{K}_{el} \mathbf{U}_{el} \right] - \mathbf{F}^T \mathbf{w} \right. \\ \left. \text{subject to : } \lambda^+ \geq \mathbf{0} \quad \text{and} \quad \lambda^- \geq \mathbf{0} \right. \end{cases} \quad (19)$$

550 Assuming that the structural model has  $n_{el}$  elements, symbols in equation ( 19 ) have the following  
 551 meaning:

552 1.  $\mathbf{K}_{el}$  is a  $6n_{el} \times 6n_{el}$  assembled diagonal matrix collecting elastic stiffness of each interface. It is  
 553 worth remembering that elastic stiffness values are evaluated at the meso-scale, as discussed in the  
 554 previous section. Since masonry is anisotropic both in the elastic and inelastic range, they depend  
 555 on the interface orientation angle.

556 2.  $\lambda^+$  and  $\lambda^-$  are two vectors of plastic multipliers, collecting plastic multipliers of each non linear  
 557 spring present in the model.

558 3.  $\mathbf{K}_{ep}$  is the assembled diagonal matrix of hardening moduli of the interfaces. A small but nonzero  
 559 hardening has to be introduced in order to avoid lack of convergence of the QP algorithm.

560 4.  $\mathbf{U}_{el}$  is a  $6n_{el}$  vector collecting the displacements and rotations of the elements.

561 5.  $\mathbf{F}$  is a  $6n_{el}$  vector of external loads (forces and moments) applied on element centroids.

562 Typically, the independent variable vector is represented by element displacements  $\mathbf{U}_{el}$  and plastic  
 563 multiplier vectors  $\lambda^+$  and  $\lambda^-$ . Problem ( 19 ) is solved at increasing values of the external load  
 564 vector  $\mathbf{F}$  and, at each external load value, the initial trust independent variable vector is the solution  
 565 at the previous step.

566 As usually done in a non-linear structural analysis, QP problem ( 19 ) is solved in terms of  
567 displacement and plastic multipliers step increments. The initial trust independent variable vector is  
568 always represented by the solution at the previous step.

569 In the framework of the two-step approach proposed, the actual stress-strain non-linear law of each  
570 spring representing masonry interfaces is at disposal at a structural level from the meso-scale (REV  
571 level). Since a softening behavior for joints is assumed, homogenized masonry exhibits softening  
572 too. Bricks staggering makes the resultant behavior of the interfaces also orthotropic. The non-  
573 linear stress-strain curve (both normal and shear behavior) is approximated using a linear-  
574 discontinuous piecewise constant function, as in Figure 5. Four approximations of increasing  
575 accuracy, obtained refining the number of steps utilized, are depicted. The drop of the load bearing  
576 capacity of the interfaces at increasing deformation is considered at a structural level. The possible  
577 strength deterioration of the material results in descending branches in the global load displacement  
578 curve of the structure. The following numerical procedure is used:

- 579 1. For all interfaces, an elastic-perfectly plastic law is assumed, which depends on the orientation of  
580 the interfaces (related to masonry anisotropy) and the level of deformation, i.e. jump of  
581 displacements of the interfaces. External loads are applied through small increments. The QP  
582 formulation ( 19 ) is used to estimate (i) rigid elements displacements and rotations and (ii)  
583 plastic multiplier vector  $\lambda^+$  and  $\lambda^-$  corresponding to the external load level considered.
- 584 2. At the very beginning, the structural response is all elastic,  $\lambda^+$  and  $\lambda^-$  are identically equal to  
585 zero. Increasing the external load results in yielding of one (or more) interfaces. A further  
586 increase of the external load vector is still possible, because of the possible contribution in  
587 stiffness and strength of the non- yielded interfaces. We assume that at the  $(i-1)$ -th load step the  
588 response of the structure on the pushover curve is represented by point A of Figure 11.
- 589 3. Step  $i$ . At the  $i$ -th load step, QP formulation ( 19 ) allows to estimate displacements  $U_{el}$  and  
590 plastic multiplier vectors corresponding to point B. However, differently to previous steps, we

591 assume that at least one plasticized interface (say  $k$ ) reaches a strain value greater than  $\varepsilon_{B'}$ , see  
 592 Figure 11, which bounds the drop of the strength of the interface  $k$  from  $N_I$  to  $N_{II}$ . We further  
 593 assume, for the sake of simplicity but without loss of generality, that only  $k$  exhibits this load  
 594 bearing capacity drop. In this case, the solution found at the  $i$ -th load step is inadmissible and  
 595 does not represent the true response of the structure. Displacements  $\mathbf{U}_{el}$  and plastic multipliers  
 596 corresponding to point B' must be found. With this target in mind, a SQP approach is used,  
 597 which is based on a bisectional procedure having, at the first sub iteration, as right and left  
 598 extremes point A and B of the non-linear diagram. Here it is worth remembering that sequential  
 599 methods of optimization have a wide tradition in structural engineering problems, see e.g.  
 600 Cocchetti & Maier (2003), despite the fact that a multiplicity of solutions may in principle exist  
 601 for non-convex problems (Kaliszky 1996, Denton & Morley 2000). Here, an engineering  
 602 meaningful algorithm is proposed, which seems to converge realistically in the degradation range  
 603 of the global force-displacement curve. At the first sub-iteration,  $\mathbf{U}_{el}$ ,  $\lambda^+$  and  $\lambda^-$  vectors  
 604 corresponding to point  $P_1$  standing in the middle of A and B are found solving QP problem ( 19 )  
 605 and assuming as starting point the solution available in A. Defined as  $\Delta\mathbf{F}$  the external load  
 606 vector increase passing from A to B,  $P_1$  is the point representing the structural response of the  
 607 structure corresponding to external load vector equal to  $\mathbf{F}_A + \Delta\mathbf{F}/2$ , being  $\mathbf{F}_A$  the external load  
 608 vector corresponding to A. Since  $\mathbf{U}_{el}$ ,  $\lambda^+$  and  $\lambda^-$  are at disposal for  $P_1$  solving ( 19 ), it is  
 609 possible to establish if the strain of interface  $k$  in  $P_1$  ( $\varepsilon_{P_1}^k$ ) exceeds  $\varepsilon_{B'}$ . If  $\varepsilon_{P_1}^k > \varepsilon_{B'}$ , the search  
 610 interval is bisected with extremes  $P_1$  (left extreme) and B (right extreme), otherwise with A (left)  
 611 and  $P_1$  (right).  $\mathbf{U}_{el}$ ,  $\lambda^+$  and  $\lambda^-$  are again evaluated in correspondence of the new middle point  $P_2$   
 612 through QP ( 19 ). The procedure is iterated at the performer's discretion until  $|\varepsilon_{P_j}^k - \varepsilon_{B'}| < \text{TOL}$ ,  
 613 with TOL fixed tolerance. Here, it is worth underlining that the SQP procedure proposed is  
 614 effective for small/medium scale QP problems and for approximations of the curvature-bending

615 moment diagrams with few (around 10-30) steps. However, this limitation seems acceptable for  
616 the analysis of entire masonry shells in combination with an averaging strategy (as the case here  
617 treated), which allows to limit considerably the computational effort.

618 4. Step ( $i+1$ ) consists in evaluating  $\mathbf{U}_{el}$ ,  $\lambda^+$  and  $\lambda^-$  in point C solving ( 19 ) and assuming as  
619 starting point B'. Firstly, the strength of the interface under consideration is decreased to C value.  
620 Then the QP problem is solved decreasing the external load until convergence of the algorithm is  
621 reached. Obviously, the matter within this step is the determination of the external load vector  
622  $\mathbf{F}_{B'} - \Delta\mathbf{F}_C$  to be applied to the structure. The choice is not unique but, to guarantee convergence  
623 of the algorithm, authors experienced that  $\Delta\mathbf{F}_C$  may be found repeating some  $j$  sub-iterations  
624 assuming  $\Delta\mathbf{F}_C = j\Delta\tilde{\mathbf{F}}_C$  where  $\Delta\tilde{\mathbf{F}}_C$  is small enough (around 1/50-1/100  $\mathbf{F}_{B'}$ ). Again a bisectional  
625 approach between the un-converged and converged solution is utilized to bound closely C point,  
626 as done in step  $i$ , once a trial value with QP converged solution is found for  $\Delta\mathbf{F}_C$ .

## 627 **5. Conclusions**

628 In the present paper, the theoretical bases of a two-step FE software specifically developed for the  
629 analysis of FRP strengthened masonry structures have been presented. In the approach proposed,  
630 masonry macroscopic behavior is deduced in the first step, solving a non-linear boundary value  
631 problem on a suitable non-strengthened REV constituted by a central brick interconnected with its  
632 six neighbors by non-linear interfaces (mortar joints). In this way the macroscopic non-linear stress-  
633 strain behavior is estimated with a very limited computational effort. FRP is applied on the already  
634 homogenized material. At a structural level (step II), masonry is modeled with rigid wedge elements  
635 and non-linear homogenized interfaces, whereas for FRP rigid triangular elements bonded to the  
636 external masonry surface are used. Possible elastic and inelastic deformation on FRP is  
637 concentrated at the interfaces between adjoining elements. Delamination of the strip from the  
638 support is accounted for including in the model non-linear triangular interfaces between FRP and



639 masonry. To handle complex non-linear problems with possible softening in a non-linear  
640 optimization framework, stress strain relationships for masonry, FRP and masonry/FRP bond are  
641 approximated with linear piecewise constant functions. Structural applications regarding flat and  
642 curved non-strengthened masonry structures will be discussed in Part II.

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## 7. Figures

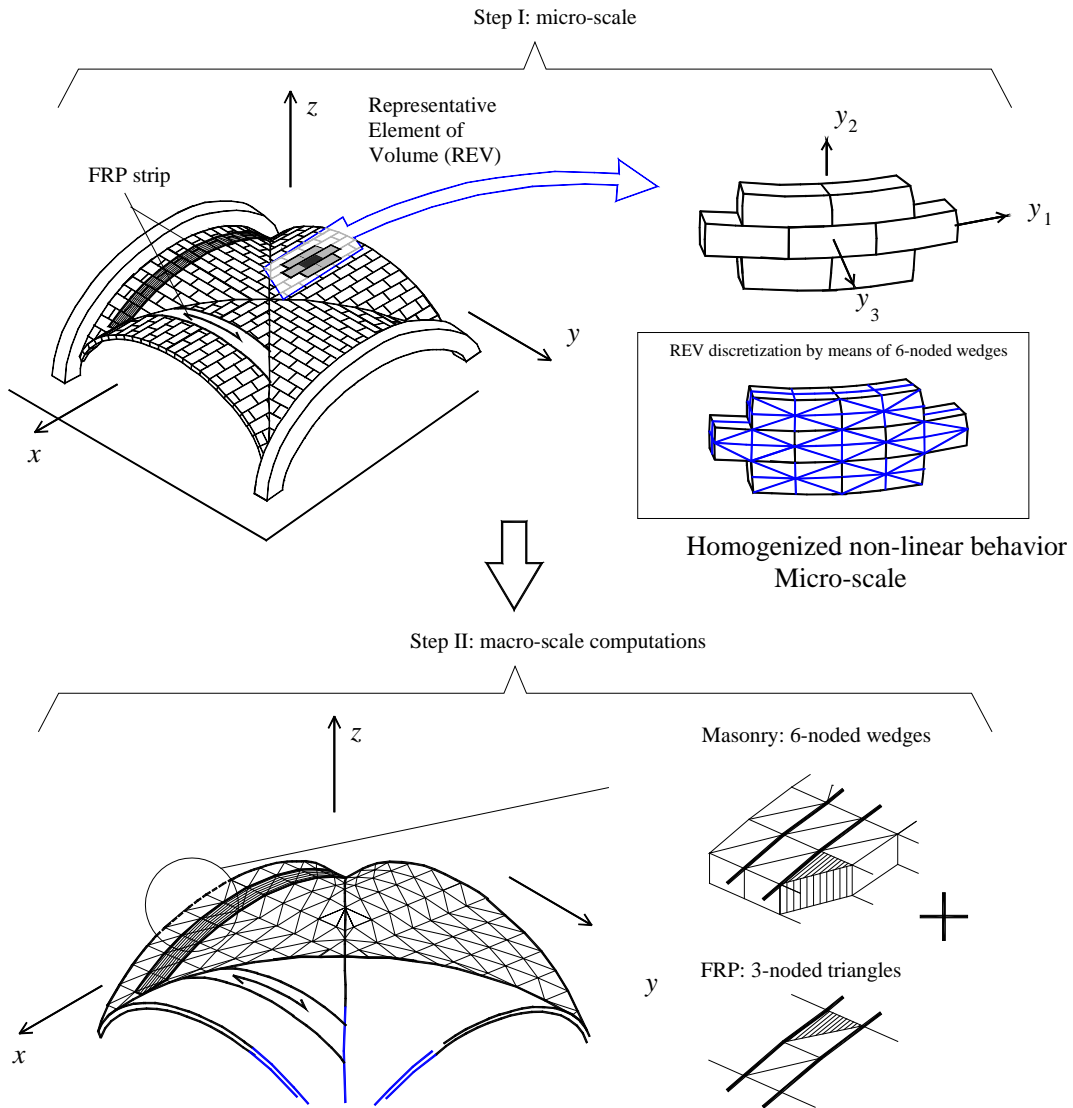
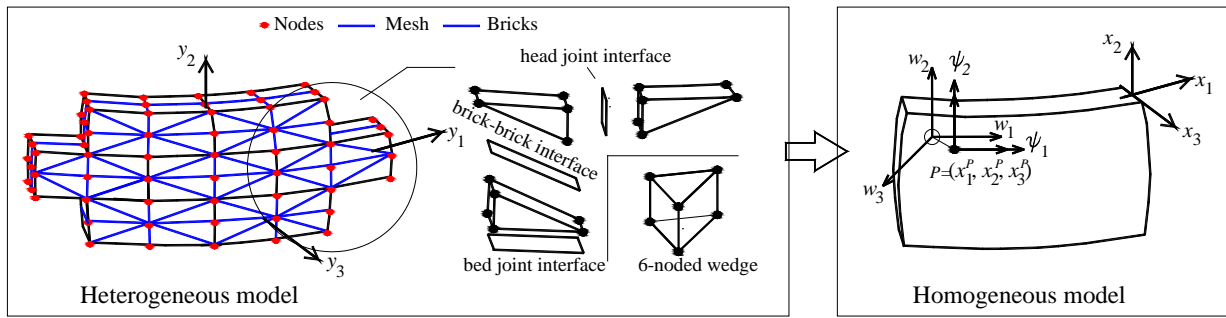
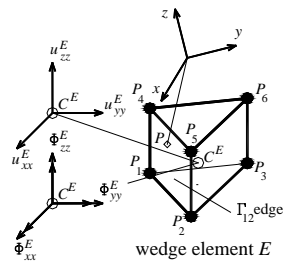


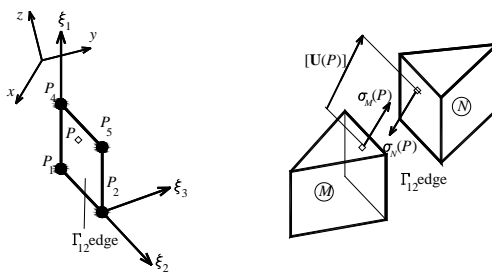
Figure 1: Two-step kinematic simplifying homogenization approach for the non-linear analysis of FRP reinforced masonry structures. Identification of a Representative Element of Volume (REV), subsequent evaluation of the non-linear non-strengthened macroscopic behavior of the REV, implementation at a structural level within a non-linear FE code (non-linear behavior of homogenized masonry and FRP).



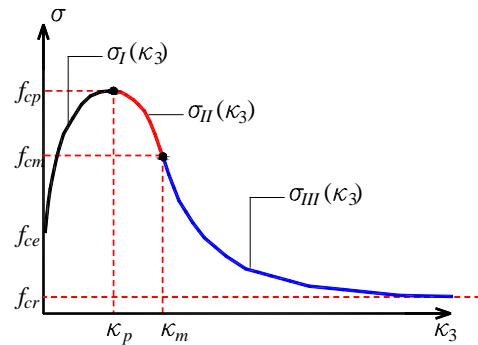
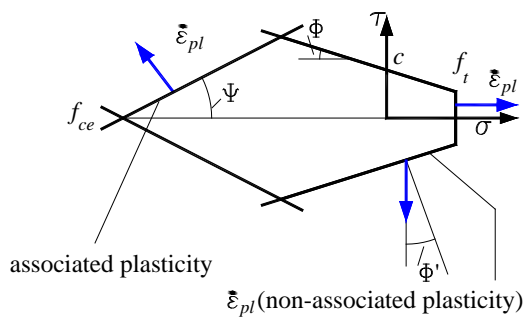
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Figure 2: -a: FE discretization of the non-strengthened REV. -b: Rigid infinitely resistant six-noded wedge element used for the REV discretization. -c:  $\Gamma_{12}$  interface between contiguous elements. -d: Modified Mohr-Coulomb criterion for the mortar joint reduced to interface (left) and hardening/softening law in compression (right) as a function of the inelastic parameter  $\kappa_3$

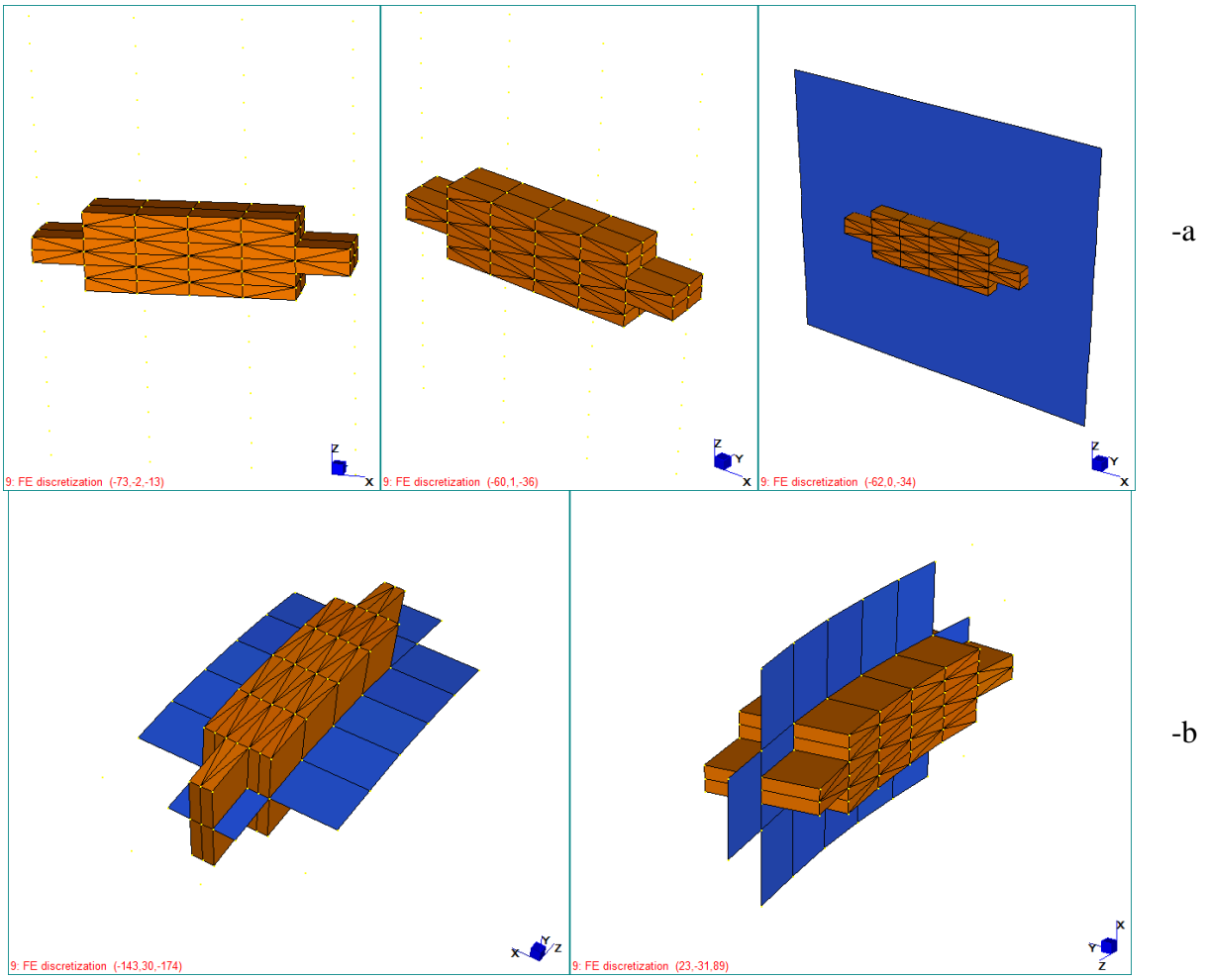
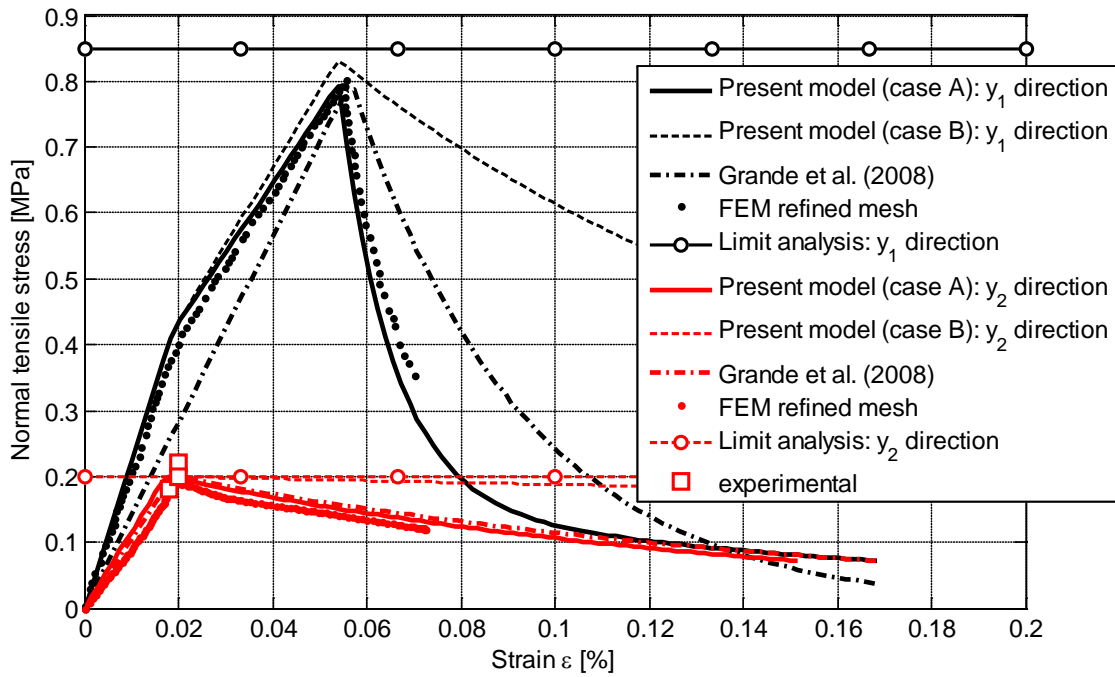
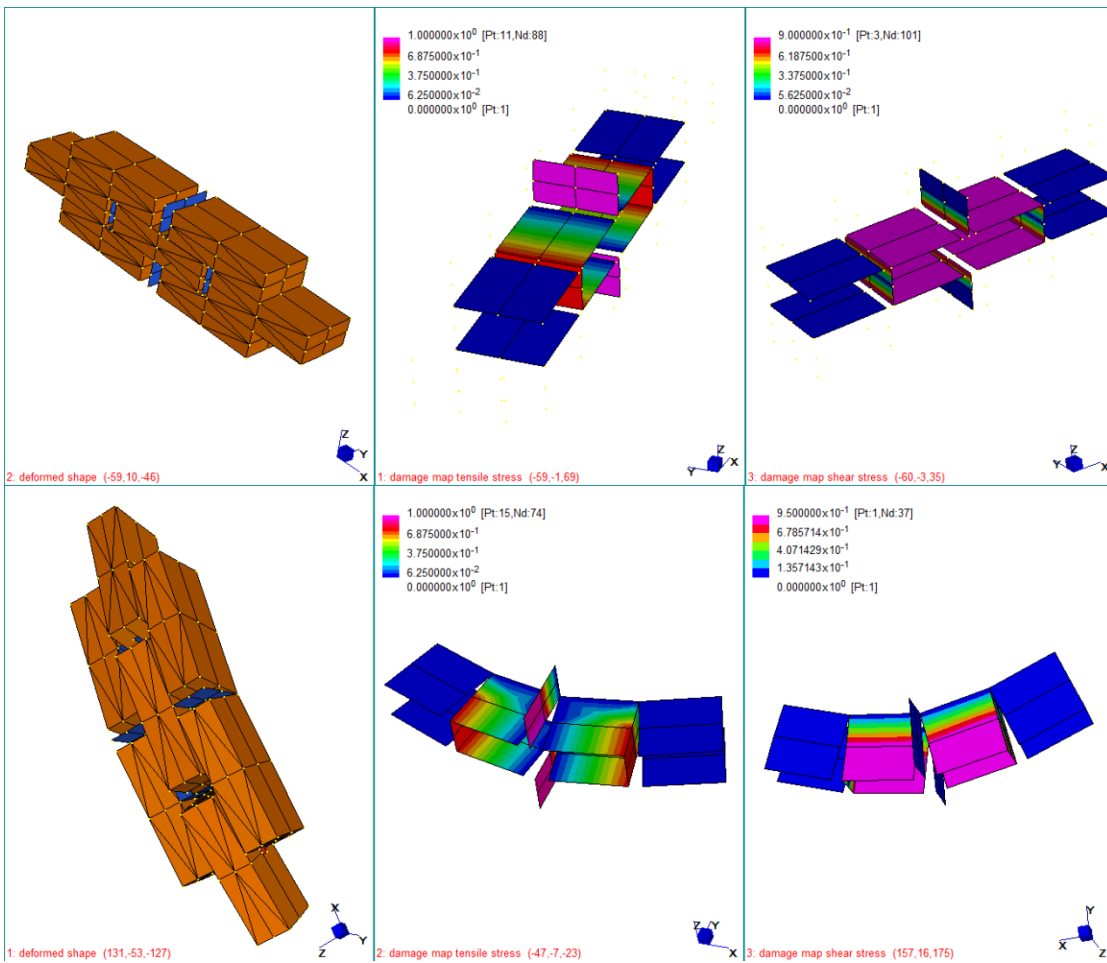


Figure 3: Masonry deep beam flat panel (-a) and circular arch (-b). Representative element of volume adopted for the simulations and FE discretization





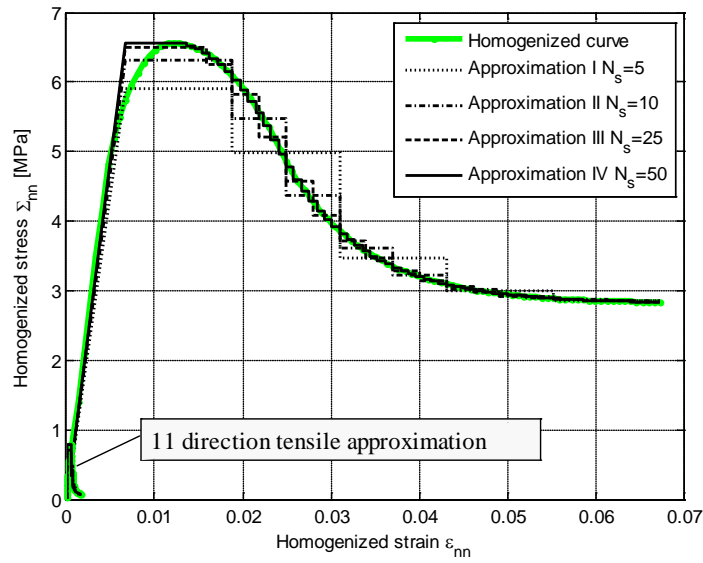
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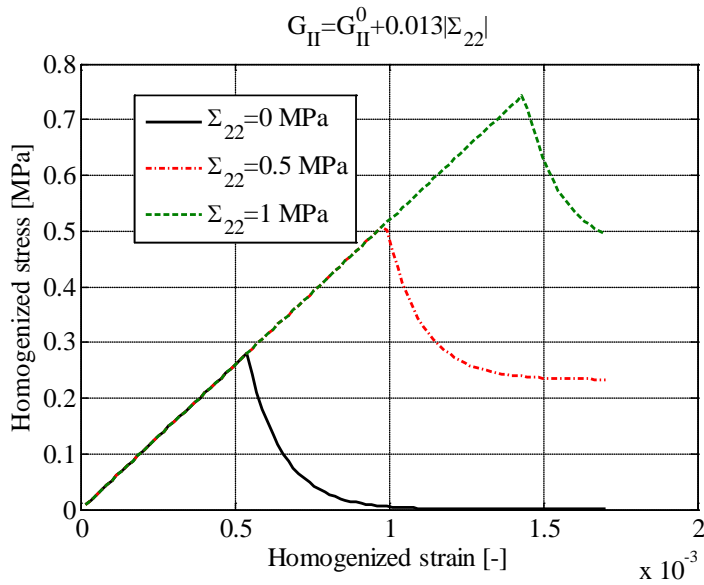
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Figure 4: Masonry deep beam -a: Uniaxial response of the homogenization model along horizontal and vertical tension for two values of fracture energy. -b: REV deformed shape at collapse for horizontal tension (mesh used and magnified view) with indication of interface damage in horizontal tension (center) and vertical tension (right). -c: same as previous, but for horizontal

bending.



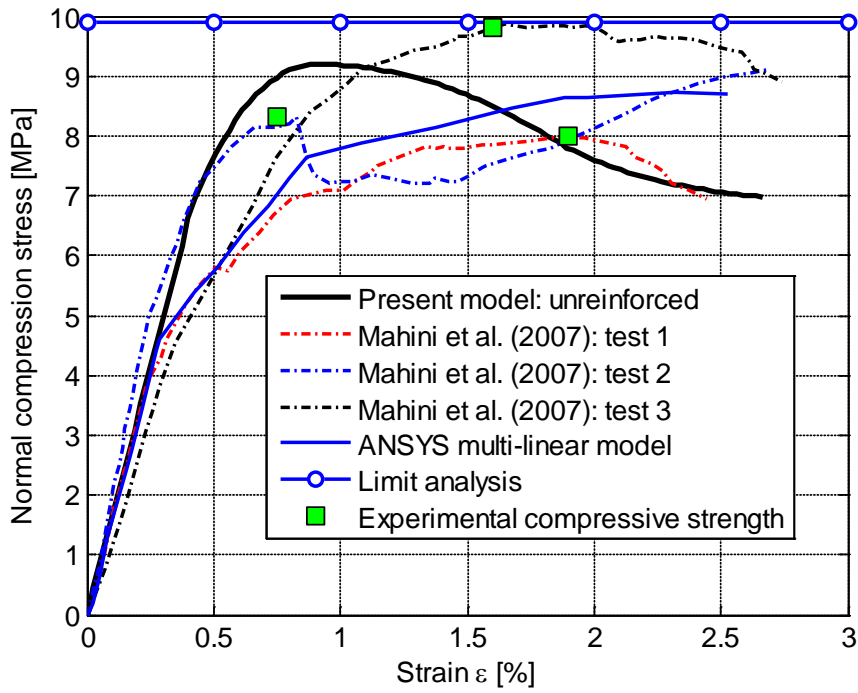
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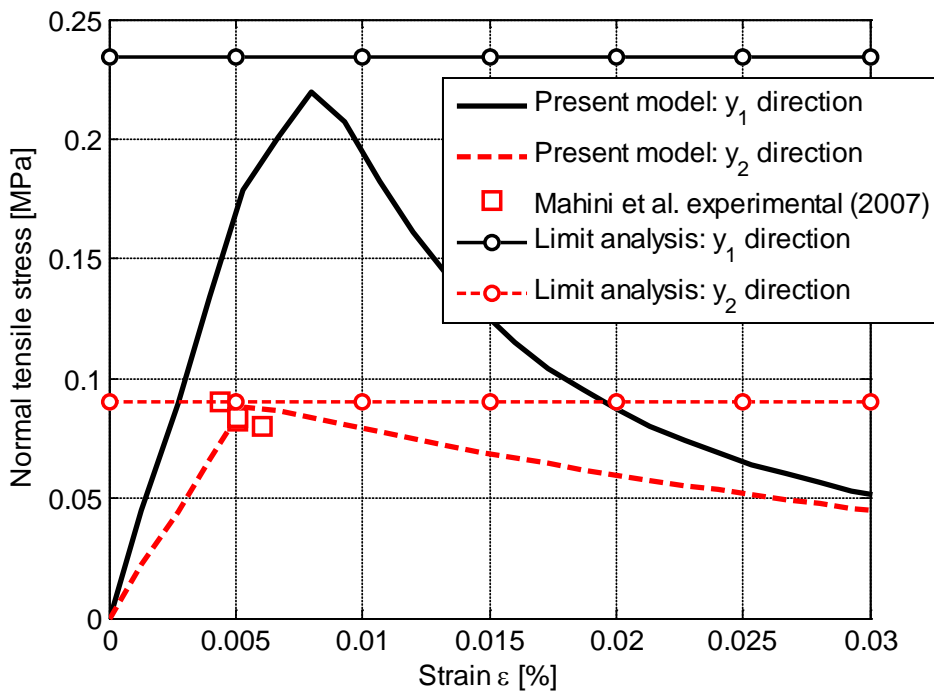
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Figure 5: Masonry deep beam. Uniaxial response of the homogenization model. -a: vertical compression (with the linear piece-wise constant approximation used at a structural level). -b: shear behavior at three levels of increasing pre-compression.

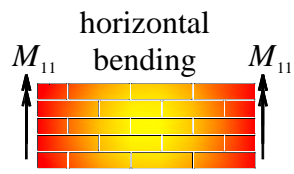
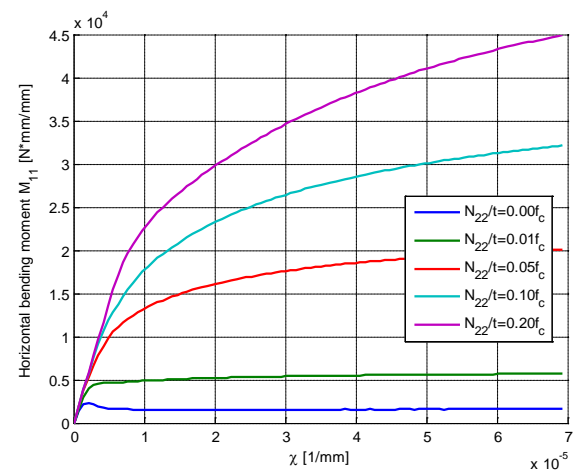


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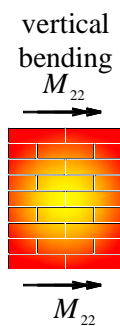
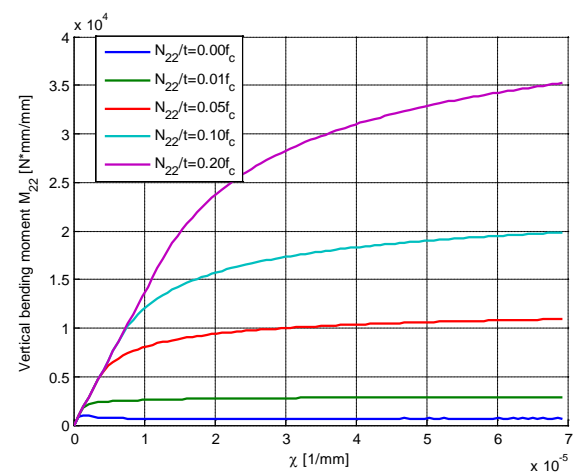


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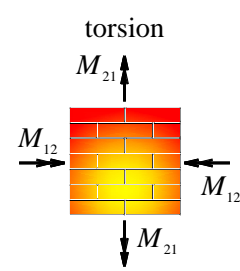
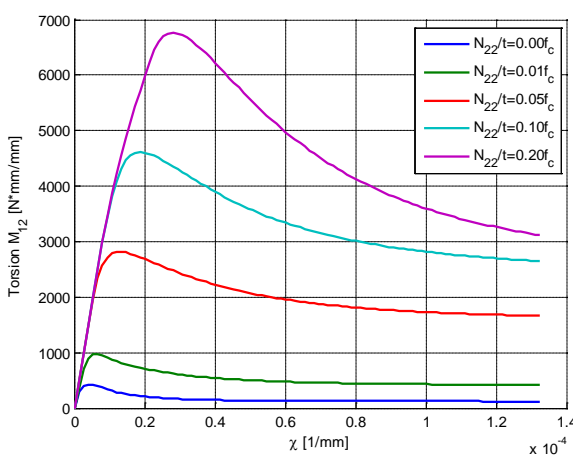
Figure 6: Circular arch. a- REV compression behavior along parallels direction.  
 -b: REV tensile membrane behavior.



-a



-b



-c

Figure 7: Circular arch. Flexural behavior of the REV, parabolic arch. Bending moment-curvature diagrams at increasing arch compressive load. -a: bending moment with hinge parallel to arch axis. -b: bending moment with hinge perpendicular to arch axis. -c: torsion.

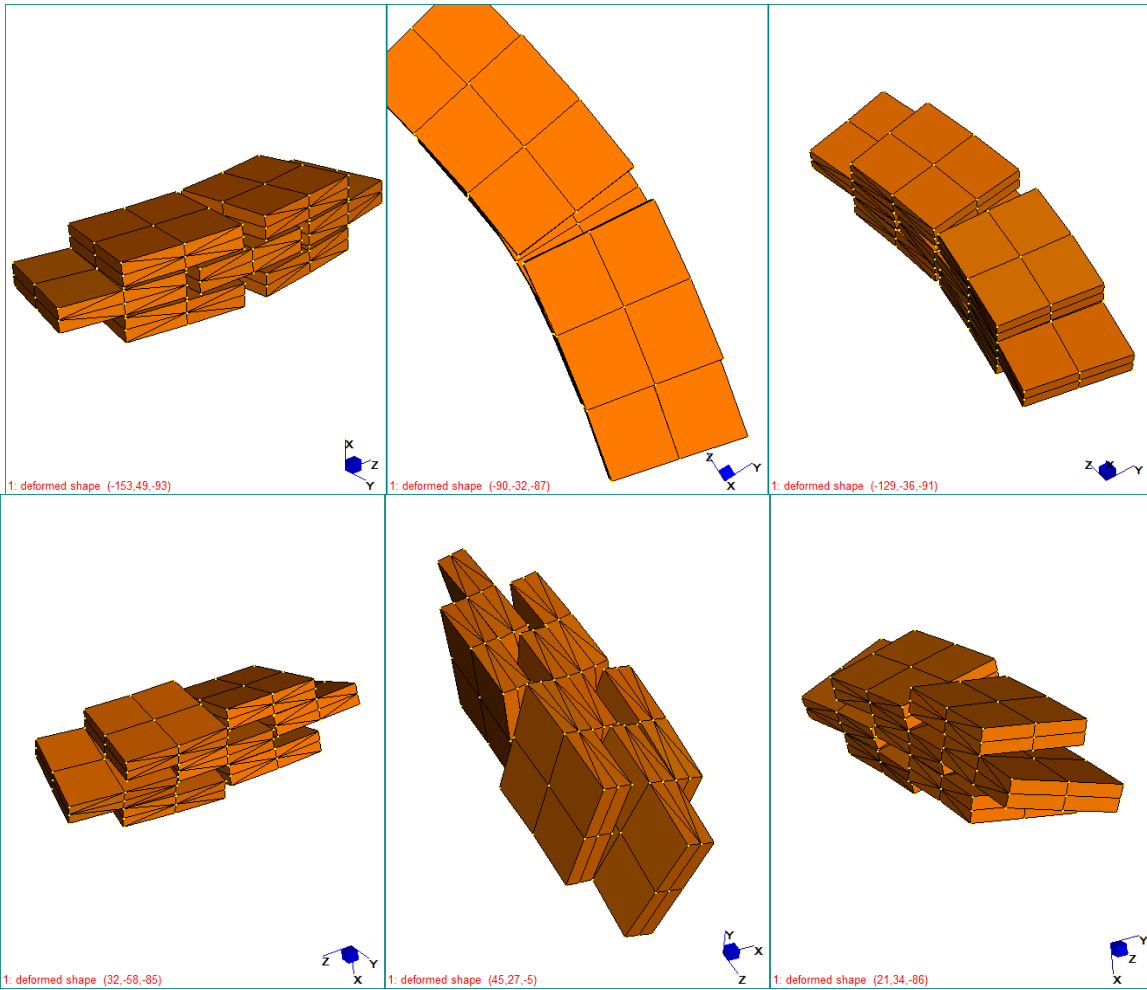


Figure 8: Circular arch. Typical REV deformed shapes at peak for (-a) pure M11 bending moment, (-b) pure M12 torsion

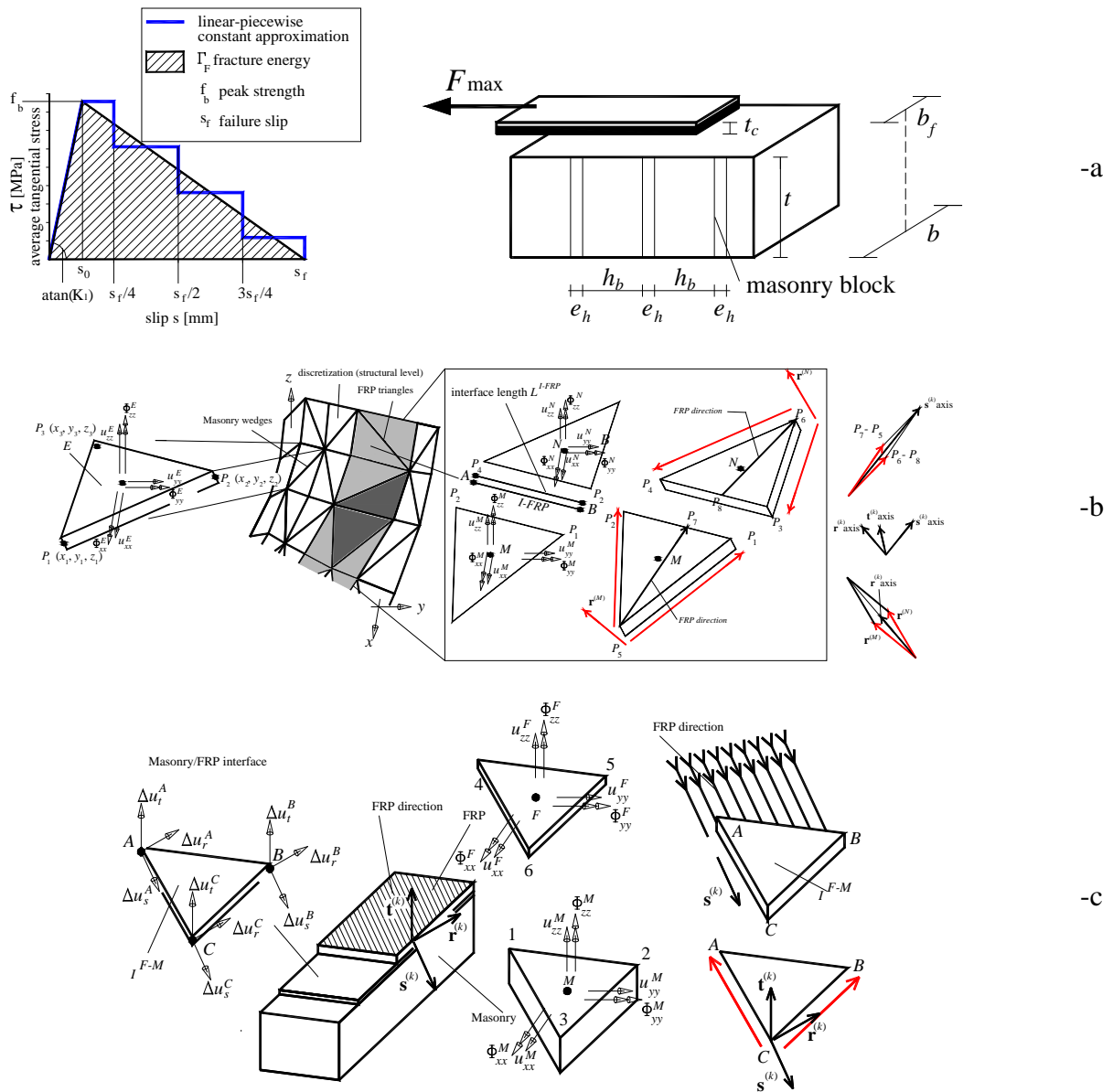


Figure 9: -a: FRP/masonry interface delamination law adopted (with its linear pieewise approximation). -b: FRP triangular element (left) and A-B interface between two contiguous M-N triangular FRP elements (right), with corresponding local frame of reference.-c: FRP/masonry interfaces. Discretization of interfaces with triangular elements interacting with FRP triangles and masonry wedges.

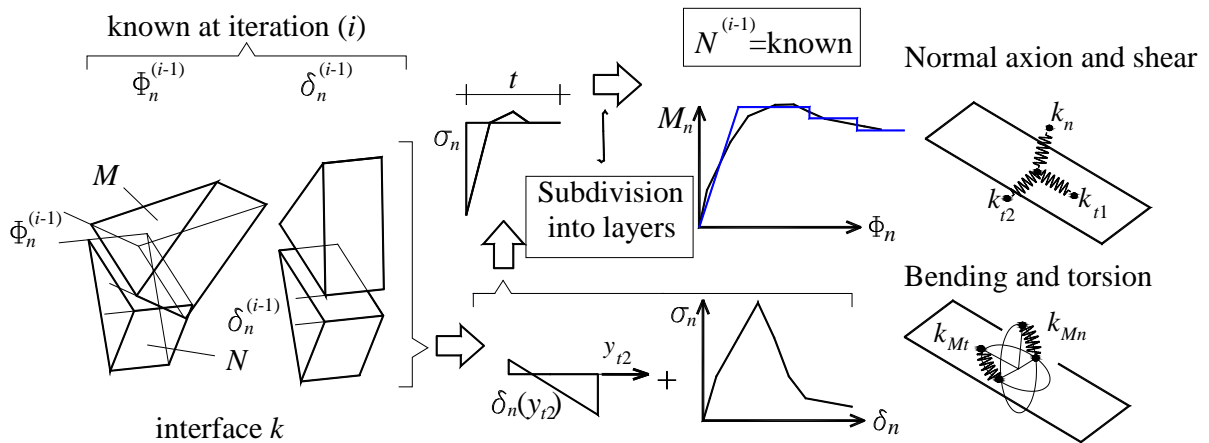
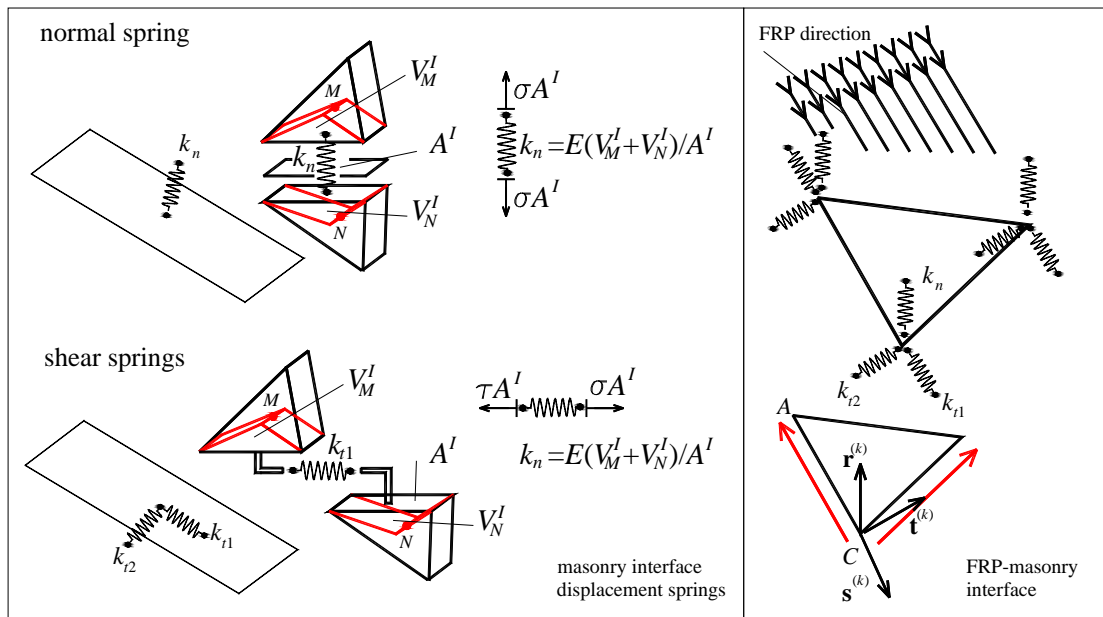


Figure 10: Top: schematic representation of displacement springs acting on masonry and FRP-masonry interfaces. Bottom: evaluation of the non linear load-displacement (or moment-rotation) behavior of the interfaces at each load step.

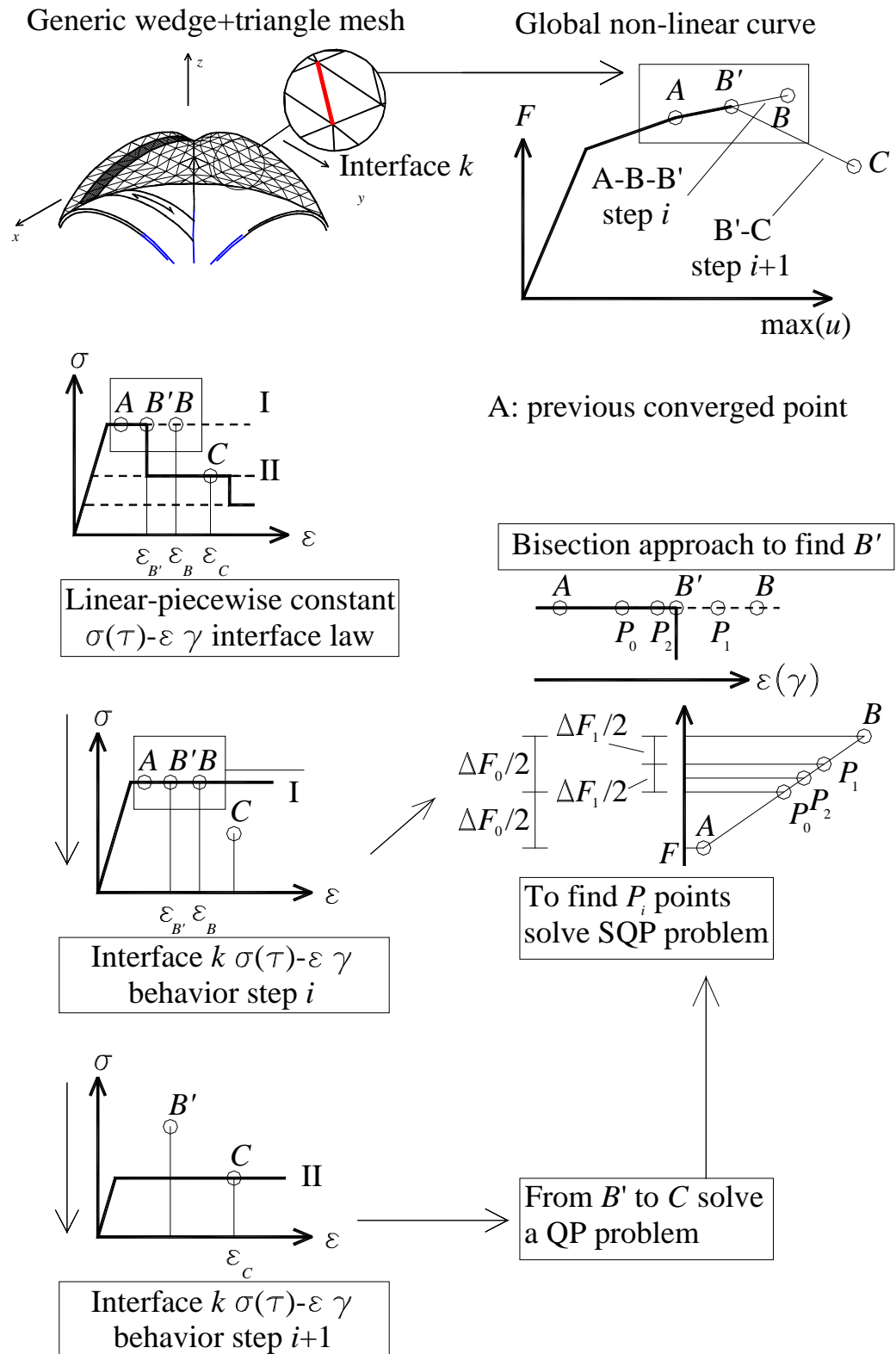


Figure 11: Sequential quadratic programming model used to handle deterioration of mechanical properties in the global non-linear response of the structure.



## 8. Tables

Table I: Masonry deep beam. Mechanical properties adopted for constituent materials.				
	joint	brick-brick interface		
$E$	$700^{(*)}$	1600	[MPa]	Young Modulus
$G$	$350^{(*)}$	800	[MPa]	Shear Modulus
$c$	$1.4 f_t$	2	[MPa]	Cohesion
$f_t$	0.2	-	[MPa]	Tensile strength
$f_{ce}$	$1/3 f_{cp}$	-	[MPa]	Compressive hardening/softening behavior
$f_{cp}$	7.5	-	[MPa]	
$f_{cm}$	$0.8 f_{cp}$	-	[MPa]	
$f_{cr}$	$0.5 f_{cp}$	-	[MPa]	
$\kappa_p / e_h$	$5 \varepsilon_{el}$	-	[-]	
$\kappa_m / e_h$	$10 \varepsilon_{el}$	-	[-]	
$\Phi$	25	45	[ ° ]	Friction angle
$\Psi$	45	-	[ ° ]	Angle of the linearized compressive cap
$G_f^I$	0.02 (Case A) 0.2 (Case B)	10	[N/mm]	Mode I fracture energy
$G_f^{II}$	0.01 (Case A) 0.1 (Case B)	10	[N/mm]	Mode II fracture energy

(\*) Interface stiffness is evaluated as  $E*(V1+V2)/(4A)$ , with V1 and V2 being the volumes of the elements sharing the common interface under study and A being the interface area

	joint	brick-brick interface		
$E$	800 <sup>(*)</sup>	2200	[MPa]	Young Modulus
$G$	400 <sup>(*)</sup>	1000	[MPa]	Shear Modulus
$c$	$0.8 f_t$	2	[MPa]	Cohesion
$f_t$	0.09	-	[MPa]	Tensile strength
$f_{ce}$	$1/4 f_{cp}$	-	[MPa]	Compressive hardening/softening behavior
$f_{cp}$	12	-	[MPa]	
$f_{cm}$	$0.9 f_{cp}$	-	[MPa]	
$f_{cr}$	$0.8 f_{cp}$	-	[MPa]	
$\kappa_p / e_h$	$5 \varepsilon_{el}$	-	[-]	
$\kappa_m / e_h$	$10 \varepsilon_{el}$	-	[-]	
$\Phi$	27	45	[ ° ]	Friction angle
$\Psi$	45	-	[ ° ]	Angle of the linearized compressive cap
$G_f^I$	0.008	10	[N/mm]	Mode I fracture energy
$G_f^{II}$	0.005	10	[N/mm]	Mode II fracture energy

<sup>(\*)</sup> Interface stiffness is evaluated as  $E^*(V1+V2)/(4A)$ , with V1 and V2 being the volumes of the elements sharing the common interface under study and A being the interface area