

1 **Estimation of energy consumption on the tire-pavement interaction for asphalt**
2 **mixtures with different surface properties using data mining techniques**

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22 **Estimation of energy consumption on the tire-pavement interaction for asphalt**
23 **mixtures with different surface properties using data mining techniques**

24 **Abstract**

25 The energy or fuel consumption of the millions of vehicles that daily operate in road
26 pavements has a significant economic and environmental impact on the use phase of road
27 infrastructures regarding their life cycle analysis. Therefore, new solutions should be
28 studied to reduce the vehicles energy consumption, namely due to the tire-pavement
29 interaction, and contribute towards the sustainable development. This study aims at
30 estimating the energy consumption due to the rolling resistance of tires moving over
31 pavements with distinct surface characteristics. Thus, different types of asphalt mixtures
32 were used in the surface course to determine the main parameters influencing the energy
33 consumption. A laboratory scale prototype was developed explicitly for this evaluation.
34 Data mining techniques were used to analyze the experimental results due to the complex
35 correlation between the data collected during the tests, providing meaningful results. In
36 particular, the artificial neural network allowed to obtain models with excellent capacity
37 to estimate energy consumption. A sensitive analysis was carried out with a five input
38 parameter model, which showed that the main parameters controlling the energy
39 consumption are the vehicle speed and the mean texture depth.

40 **Keywords:** road pavements; surface characteristics; energy consumption; rolling
41 resistance; tire-pavement interaction; data mining techniques

42 **1. Introduction**

43 This work presents a new approach to evaluate energy consumption in the tire-pavement
44 interaction and applies data mining techniques in an unexplored research area to study
45 solutions for sustainable roads. No other work has been identified in the literature for
46 evaluation of energy consumption in the tire-pavement interaction based on a purpose-
47 built laboratory prototype. In the future, this approach will allow the study of the energy
48 consumption of different surface materials in their design phase, with clear advantages
49 for developing sustainable solutions for road paving surface layers.

50 The environmental consequences resulting from road pavement construction and
51 maintenance during its life cycle are not yet fully known, although some authors (Ozer et
52 al., 2017) have tried to quantify sustainable strategies for these activities. Santero et al.
53 (2011) analyzed several Life Cycle Assessment (LCA) methodologies for road
54 pavements, concluding that among five life cycle phases (raw materials and production,
55 construction, use, maintenance, and end of life) only a few include the use phase in the
56 analysis, and in a noticeably incomplete way. Those studies mainly focused on the
57 extraction, production, transportation, and application of materials. However, depending
58 on the traffic volume during the lifetime of a road, its energy consumption can be around
59 95% to 98% of the total energy consumption, while the energy used for production,
60 construction, and maintenance of the road represents less than 2% to 5%
61 (EAPA/Eurobitume, 2004). Therefore, the use phase is predominant regarding the energy
62 (fuel) consumption and resulting greenhouse gas emissions of the road (Huang et al.,
63 2009; Mohd Hasan and You, 2015; Pérez-Martínez and Miranda, 2014).

64 Moreover, according to Pérez-Martínez (2012), road transport is one of the highest
65 sources of emissions among the different economic sectors, accounting for up to 30% of

66 the total energy consumption and CO₂ emissions. Taking this into account, the importance
67 of investigating energy consumption estimation during the road pavement use phase
68 becomes evident.

69 Currently, some factors are still not taken into account when assessing energy
70 consumption on roads, namely the quality of road pavement surfaces. That property is
71 mainly associated with safety and comfort of road users, but also closely related to the
72 rolling resistance (Mclean and Foley, 1998; Schmidt and Ullidtz, 2010; Willis et al., 2014)
73 and therefore with the environment and sustainability. In fact, some pavement structures
74 or layers, namely the surface course can have a significant influence on the rolling
75 resistance or energy consumption. Some studies indicate that stiffer (Taylor and Patten,
76 2006; Wathne, 2010) and smoother (Bryce et al., 2014) pavements require a lower fuel
77 consumption.

78 Some variables, such as pavement texture and skid resistance, influence the rolling
79 resistance (Rajaei et al., 2016) and, consequently, the energy consumption (Wang et al.,
80 2012; Zaabar and Chatti, 2010). Thus, the present work aims at evaluating the influence
81 of different pavement surface courses on the energy consumption required for a tire to
82 continuously travel over them, based on laboratory tests. Those tests were conducted
83 under controlled conditions (e.g., speed and load) using a purpose-built laboratory scale
84 prototype, to select surfaces originating lower energy consumption for similar test
85 circumstances which may indicate a similar trend for real pavement and traffic conditions.

86 Several works have been carried out in the last few years to assess the energy/fuel
87 consumption of vehicles or the rolling resistance of tires, which demonstrates the
88 importance of this topic on the research activity carried out nowadays. Accordingly,
89 MIRIAM (Bergiers et al., 2011) and ROSANNE (Anfosso-Ledee et al., 2016; Zöllner and

90 Haider, 2014) can be highlighted as two of the leading research projects recently
91 concluded in Europe related to this subject, although other researchers in Northern Europe
92 have also been dedicated to that (Andersen, 2015; Karlsson et al., 2011). In the United
93 States, the University of California and Caltrans (Wang et al., 2012), the Michigan State
94 University and the Transportation Research Board (Chatti and Zaabar, 2012; Rajaei et al.,
95 2016), and the Minnesota State University and the Minnesota DoT (Ejsmont et al., 2012;
96 Ejsmont et al., 2014) have also carried out important research in this topic.

97 The main types of tests used to evaluate the road surface influence on rolling resistance,
98 as stated in the previously mentioned works, were: a) measurements on drums in
99 laboratories; b) specially equipped trailers for measurements on roads; c) coast down
100 measurements on roads. Among these, the last two types of tests cannot be carried out in
101 laboratories, while the first is more suitable for comparing the performance of different
102 tires instead of assessing the influence of road surface characteristics on rolling resistance
103 (Karlsson et al., 2011). The purpose-built laboratory prototype developed in this work
104 presents a new approach to evaluate the energy consumption of a rolling tire on different
105 pavement surfaces. This method can be used to study innovative materials for road
106 surface layers in their design phase, with clear advantages for developing sustainable
107 solutions for road paving.

108 The results of the test developed in this work may be used together with existing models
109 to predict vehicles consumption. Among those, the models established under the
110 MIRIAM project, as described in Hammarström et al. (2012), or in the NCHRP report
111 720 (Chatti and Zaabar, 2012), which is based on the HDM-4 model, may be highlighted.
112 Ultimately, these may be used in the scope of Life Cycle Analysis (LCA) methods that
113 incorporate the road pavement use phase, like those developed by Araújo et al. (2014)
114 and Bryce et al. (2014).

115 Taking the accumulated effect of millions of vehicles passing over the pavement surface
116 during its life cycle into consideration, a small reduction in each vehicle energy
117 consumption on the tire-pavement interaction, as a result of improving the pavement
118 characteristics, could have a significant effect on the sustainability of the paving solution.
119 Thus a considerable reduction in the fossil fuels consumption and on the respective user
120 costs, and consequently on the amount of exhaust emissions may be obtained.

121 The development of a rational and reliable method to accurately estimate the energy
122 consumption on the tire-pavement interaction becomes relevant, due to its influence on
123 the transportation system sustainability. That method should be able to deal with a
124 significant amount of data collected during the experimental tests using the purpose-built
125 laboratory scale prototype and the tests carried out to characterize the studied surface
126 materials. Therefore, knowledge discovery techniques in databases, using a modeling
127 process known as data mining (DM), were applied in this study to predict the energy
128 consumption due to the rolling resistance that takes place on the tire-pavement interaction.

129 Data mining is generally used to obtain patterns or models from databases applying
130 specific algorithms to retrieve useful knowledge from data, in this case, collected during
131 the tests with the prototype. There are many regression methods that can be employed in
132 data mining, among which artificial neural networks (ANN) (Androjić and Dolaček-
133 Alduk, 2018; Basheer and Hajmeer, 2000), support vector machines (SVM) (Borges,
134 1998; Naseri et al., 2017), k-nearest neighbors (Aksoy et al., 2012; Seidl and Kriegel,
135 1998) and regression trees (Chou et al., 2014; Loh, 2011) can be mentioned.

136 The use of data mining techniques in the field of road pavements is not original, but it has
137 not yet been applied to predict the energy consumption of motor vehicles due to the road-
138 pavement interaction. Nevertheless, data mining was already used to predict the rolling

139 resistance of an agricultural tractor tire moving over a clay loam soil (Taghavifar et al.,
140 2013), and to forecast energy consumption in asphalt plants during hot mix asphalt
141 production (Androjić and Dolaček-Alduk, 2018). Furthermore, this work has also based
142 its development on examples of other data mining applications in road pavements, such
143 as those presented in the following paragraphs.

144 Asadi et al. (2014) used data mining techniques, namely artificial neural networks and
145 neuro-fuzzy models, to predict NO_x concentration in the air as a function of traffic
146 volumes (T_r) and weather conditions including humidity, temperature, solar radiation, and
147 wind speed before and after the application of TiO₂ on the pavement surface. Artificial
148 neural networks and genetic algorithms have also been used to define a procedure to make
149 use of the available economic resources in the best way possible for flexible pavement
150 maintenance operations (Bosurgi and Trifirò, 2005).

151 Ceylan et al. (2008) developed an approach based on artificial neural networks for non-
152 destructive estimation of rigid airfield pavement stiffness properties, subjected to full-
153 scale dynamic traffic testing, namely by using simulated new generation aircraft gears.
154 Examples of artificial neural networks use in road infrastructures can also be found in
155 other works. Commuri et al. (2011) used ANN to design an intelligent asphalt compaction
156 analyzer. Fakhri and Ghanizadeh (2014) modeled the 3D response pulse at the bottom of
157 an asphalt layer with ANN. Gajewski and Sadowski (2014) carried out a sensitivity
158 analysis to crack propagation of an asphalt pavement layered structure using ANN and
159 the finite element method. Hamad et al. (2017) modeled traffic noise in a hot climate
160 using ANN. Zhang et al. (2015) compared in situ and lab simulated asphalt aging with
161 ANN.

162 Gopalakrishnan et al. (2013) used data mining tools to predict the non-linear layer moduli
163 of asphalt road pavement structures based on the deflection profiles obtained from non-
164 destructive deflection testing, while Saltan et al. (2011) have used data mining techniques
165 for back-calculating pavement layer moduli and Poisson's ratio based on the results of
166 similar tests.

167 Soltani et al. (2015) estimated the stiffness of polyethylene terephthalate (PET) modified
168 asphalt mixtures using support vector machine-firefly algorithm (SVM-FFA), genetic
169 programming, artificial neural network and support vector machine. The last method
170 (SVM) was also used for modelling the mechanical behavior of hot-mix asphalt
171 (Gopalakrishnan and Kim, 2011), predicting the performance of stabilized aggregate
172 bases subjected to wet-dry cycles (Maalouf et al., 2012), classifying vehicles into five
173 types using embedded strain gauge sensors (Zhang et al., 2008), and for developing an
174 aggregated CO₂ emission model for light-duty cars (Zeng et al., 2017).

175 The techniques used in this work were the ANN (a simplified model of the biological
176 structure of the human brain) and the SVM (used as an alternative method). In fact, these
177 two methods were those most commonly used in the previous examples of data mining
178 application to road pavement engineering studies. They are both highly nonlinear and do
179 not need prior knowledge about the nature of relationships among the data (Stulp and
180 Sigaud, 2015), thus being suitable to define new models for data measured with the new
181 prototype used in the experimental phase of this work. In fact, they can capture complex
182 interactions among a significant amount of data (Nguyen, 2018) that are difficult to model
183 with the traditional statistical methods.

184 **2. Materials and methods**

185 *2.1. Materials*

186 During this work, four pavement surfaces were tested to evaluate their influence on the
187 energy consumption on the tire-pavement interaction. Thus, paving materials with
188 significantly different surface characteristics were selected to represent a wide range of
189 road pavements.

190 One of the selected materials was a conventional asphalt concrete mixture (AC 14), the
191 most common surface course used in Portuguese roads. The second surface was a slurry
192 seal bituminous material with a 4 mm maximum aggregate size. This surface was selected
193 as a solution generally used for pavement maintenance operations. A porous asphalt (PA
194 12.5) was tested as the third surface material, representing a mixture with a rougher
195 surface texture used in highways located in warm and rainy areas. Finally, a grouted
196 macadam was used to test a stiffer and smoother material for pavement surface courses.

197 All these surfaces were characterized, according to several methods presented in Section
198 2.3, and their energy consumption evaluated in a purpose-built prototype described in the
199 following section.

200 *2.2. Purpose-built prototype*

201 The laboratory prototype (Fig. 1) specially developed for the present work is a piece of
202 equipment comprising a central element (shaft), which holds the prototype to the floor
203 and assures the necessary stability of the system, and two symmetrical arms provided
204 with wheels at the outer ends. One of the wheels (driving wheel) is engaged to an electric
205 motor, which controls the movement of the prototype around the central element. The

206 choice of electric power was imposed by the use of the motor in closed spaces (laboratory)
207 but also resulted from the better control in energy consumption data acquisition with this
208 system (which is essential to evaluate how it is influenced by surface characteristics). The
209 motor is a fundamental component and was equipped with a reduction gearbox and a
210 variable frequency controller to allow slow starting movements and avoid sudden stops.
211 A third arm, perpendicular to the other two, is also coupled to the central shaft and is
212 provided with a laser to evaluate the pavement surface profile. A specific software
213 program was created using LabVIEW to control the electric motor (e.g., the speed) and
214 to collect data from the prototype, with special consideration to the motor energy
215 consumption and laser readings.



216

217

Fig. 1. Prototype developed for energy consumption evaluation.

218 The arms have a length of 1.25 meters between the rotation shaft and the center of the
219 wheels. Spherical plain bearings connect the arms to the central part to ensure permanent
220 contact between the tires and the pavement, and to minimize undesirable effects of
221 pavement unevenness. The prototype speed may vary between 0 and 20 km/h.

222 In a base scenario, each wheel represents a 700 N force. However, additional weights can
223 be added to each arm to simulate different wheel loads, up to a maximum value of 1000 N.
224 Even though the prototype has some limitations regarding the maximum speed and load
225 (mainly due to safety reasons and space availability), the study of the energy consumption
226 in different surfaces (which is the primary goal of this work) is still possible.

227 The tires chosen for the prototype (195/50 R15 82V) are commonly available on the
228 market and used in several car models with 15-inch wheel rims. The temperature of any
229 tire generally increases after starting its movement, stabilizing after a certain period. As
230 the tire temperature increases, the rolling resistance (and consequent energy
231 consumption) decreases. According to the ISO 18164 standard, a period of 30 minutes
232 should be enough to stabilize tire temperature for passenger cars.

233 The testing speed of the rolling wheels and the corresponding energy consumption,
234 measured through a multimeter installed in the electrical cable, were acquired with the
235 abovementioned software.

236 *2.3. Methods*

237 *2.3.1. Energy consumption measurement on the tire-pavement interaction*

238 The experiments carried out to measure the energy consumption consist in rolling the
239 wheels of the prototype over selected pavement surfaces during some time, at a preset
240 constant speed, while measuring the electric energy consumption of the motor with the
241 multimeter. Some of the conditions used in the tests that were carried out with the
242 prototype are different from those specified in ISO 18164 standard for determining the
243 rolling resistance of passenger car tires. Thus, some preliminary tests were carried out to
244 assess the time required to stabilize the energy consumption measured in the prototype

245 (corresponding to the rolling resistance stabilization). From these preliminary tests, it was
246 possible to conclude that a 60-minute warming-up period was necessary for the first
247 testing speed before collecting the energy consumption data. For the other testing speeds
248 (increased at 5 km/h intervals), a 20-minute warming-up period was enough for
249 stabilizing the energy consumption.

250 The differences in the energy consumption measurements obtained in this work can be
251 related to the tire-pavement interactions because all testing conditions were the same for
252 the different pavement surface materials. Furthermore, as the test speed is limited to 20
253 km/h, the influence of variables such as the air resistance is considerably reduced.

254 The influence of pavement surface characteristics on the prototype energy consumption
255 was modeled using data mining techniques. However, to obtain the necessary data,
256 several tests were carried out to evaluate the pavement skid resistance, texture, and the
257 surface profile. Skid resistance and pavement texture were estimated using the Pendulum
258 Test Value (PTV) and the sand patch test (Mean Texture Depth or MTD). The surface
259 profile was measured using the prototype's laser device (to obtain the Mean Profile Depth
260 or MPD). The Estimated Texture Depth (ETD) was also calculated using the MPD values.

261 2.3.2. Evaluation of pavement skid resistance properties

262 The PTV value was obtained using the pendulum test according to the EN 13036-4
263 standard. This method used to determine the slip/skid resistance of a surface comprises a
264 device which remains stationary at the test location and a pendulum arm including a
265 standard rubber coated slider assembly. The PTV corresponds to the loss of energy of the
266 rubber assembly sliding across the test surface and provides a standardized value of
267 slip/skid resistance.

268 2.3.3. Evaluation of pavement surface texture

269 EN 13036-1 standard specifies a method for determining the average depth of pavement
270 surface macrotexture (mean texture depth, MTD). This test (known as the “volumetric
271 patch method”), uses a predetermined volume of calibrated glass spheres dropped on the
272 pavement surface, and calculates the resulting area.

273 This method was designed to provide an average depth value of the pavement
274 macrotexture. The mean texture depth (MTD, in millimeters) is calculated using the Eq.
275 (1), where, V is the sample volume, expressed in cubic millimeters (mm³), and D is the
276 average diameter of the area covered by the material, expressed in millimeters (mm).

$$MTD = \frac{4 \times V}{\pi \times D^2} \quad (1)$$

277

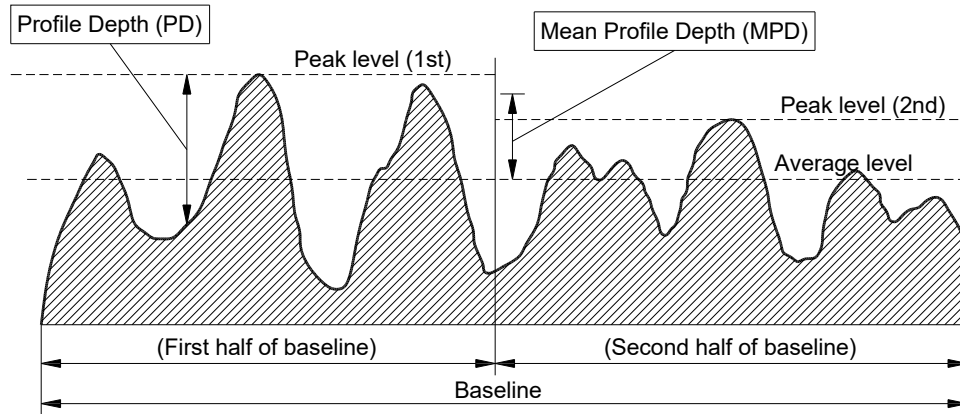
278 2.3.4. Evaluation of pavement surface profile

279 ISO 13473-1 sets the procedure to determine the mean profile depth (MPD). This test
280 method calculates the average surface macrotexture depth, by measuring its profile and
281 converting it to texture depth. The technique is considered insensitive to pavement
282 microtexture and unevenness. The MPD values are calculated using Eq. (2), based on the
283 concepts presented in Fig. 2.

$$MPD = \frac{\text{Peak level (1}^{st}) + \text{Peak level (2}^{nd})}{2} - \text{Average level} \quad (2)$$

284 This method also allows estimating the surface texture depth (ETD) from MPD values
285 using Eq. (3), specified in ISO 13473-1, where ETD and MPD are in millimeters (mm).

$$ETD (mm) = 0.2 + 0.8 \times MPD \quad (3)$$



286

287

Fig. 2. Illustration of concepts used in the MPD calculation (ISO 13473-1).

288

2.3.5. Data mining methods

289

The data mining process was used in this study to model the energy consumption. The R

290

environment and a previously developed RMiner library were the tools used for the

291

necessary computations using (Cortez, 2010).

292

A brief explanation of ANN and SVM tools used in this work is given in the next

293

paragraphs. However, further details can be found in previous works related to ANN

294

(Aleksander and Morton, 1990; Ilonen et al., 2003) or to SVM (Cristianini and Shawe-

295

Taylor, 2000; Dibike et al., 2001; Vapnik, 1998). Simpler methods for data analysis, like

296

multiple regressions (MR), can be used in data mining (Awang et al., 2012). However,

297

they were not included in this study since they showed a poor performance in comparison

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with ANN and SVM (i.e., MR models presented higher errors than ANN and SVM, which

299

may indicate that they are unable to capture the nonlinear relationships between the

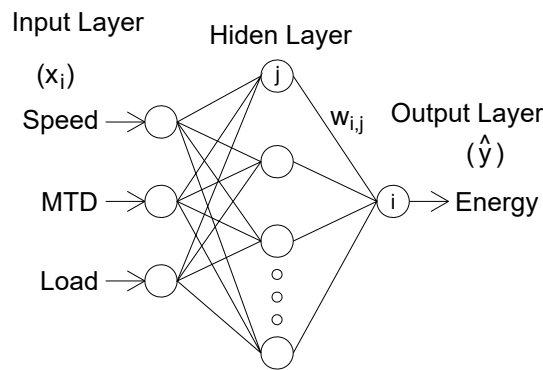
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variables used in this work).

301 Eq. (4) shows the general model used in the artificial neural network (ANN) process
 302 (Hastie et al., 2001), where x_i are the input parameters or nodes, I is the number of input
 303 parameters, and o is the output parameter.

$$\hat{y} = w_{o,0} + \sum_{j=I+1}^{o-1} f \left(\sum_{i=1}^I x_i \times w_{j,i} + w_{j,0} \right) \times w_{o,i} \quad (4)$$

304 The multilayer perceptron architecture (Haykin, 1998) used in this work (Fig. 3) is
 305 composed by three layers with HN nodes in the hidden layer and adopted the logistic
 306 activation function $1/(1 + e^{-x})$. The number of hidden nodes was optimized through a
 307 grid search $HN \in \{0, 2, 4, 6, 8, 10, 12, 14, 16, 18, 20\}$.



308

309

Fig. 3. Scheme of the multilayer perceptron used in this work.

310 The application of SVM techniques (Cortes and Vapnik, 1995) to regression tasks only
 311 became possible with the introduction of the ϵ -insensitive loss function (Smola and
 312 Schölkopf, 2004), based on a nonlinear mapping transformation of the input data into a
 313 multidimensional feature space.

314 After this transformation, the SVM finds the best hyperplane inside the feature space. The
 315 nonlinear mapping depends on a kernel function $k(x, x')$, where γ is the kernel parameter.

316 In this work, the Gaussian kernel function presented in Eq. (5) was adopted, because it
317 presents fewer hyperparameters and numerical difficulties than those of other kernels
318 (e.g., polynomial) (Cortez, 2010).

$$k(\mathbf{x}, \mathbf{x}') = e^{(-\gamma \|\mathbf{x} - \mathbf{x}'\|^2)}, \quad \gamma > 0 \quad (5)$$

319 The performance of the regression is affected not only by the kernel parameter, γ , but also
320 by a penalty parameter, C , and the width of the ε -insensitive zone. Taking the large size
321 of the search space of these parameters into account, the search performed in this work
322 was limited to the γ parameter. Thus, a value of $C = 3$ and the heuristic model $\varepsilon = \hat{\sigma} / \sqrt{N}$
323 (Cherkassky and Ma, 2004) were considered in this study, where $\hat{\sigma} = 1.5 \times$
324 $\sum_{i=1}^N (y_i - \hat{y}_i)$, \hat{y}_i is the value predicted by a 3-nearest neighbor algorithm and N the
325 number of examples. Then, the grid used for γ search was
326 $\{2^{-15}, 2^{-13}, 2^{-11}, 2^{-9}, 2^{-7}, 2^{-6}, 2^{-5}, 2^{-4}, 2^{-3}, 2^{-2}, 2^{-1}, 2^0, 2^1, 2^2, 2^3\}$.

327 The dataset was divided randomly into two subsets, the training and the testing sets
328 (respectively, 144 and 72 records), to assess the predictive capacity of the DM techniques.
329 The model was trained using a cross-validation procedure, fitting it with data from nine
330 subsets and testing it with the remaining subset, repeating the process for all subsets.

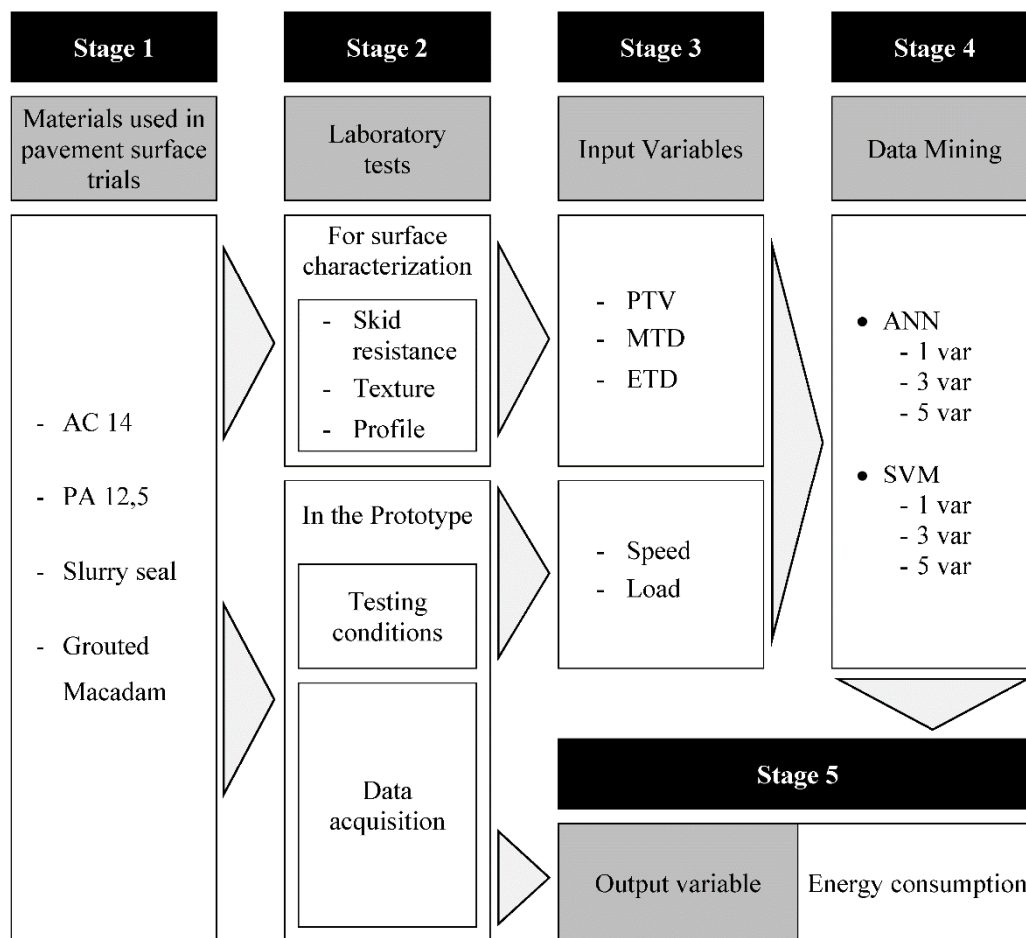
331 The model with the best performance in the training process (loaded with the 144 records)
332 was tested later with the 72 testing records not used in the training process.

333 The coefficient of determination (R^2), the root mean square error ($RMSE$), and the mean
334 absolute deviation (MAD) results were used to assess the models' performance. The
335 higher the R^2 , the better the performance of the model is. The lower the values of $RMSE$
336 and MAD , the better the predictive capacity of the model is.

337 Finally, a sensitivity analysis method was used to measure the importance of each
 338 parameter (Kewley et al., 2000). This analysis is designed to evaluate the model's
 339 response to the change of each of the input parameters. The importance of a given input
 340 parameter may be assessed by changing its value from a minimum to a maximum,
 341 maintaining the average values of the remaining input parameters. Thus, the consequent
 342 variance induced in the model output represents the importance of the input parameter.

343 2.3.6. Research outline

344 In order to summarize the relationship between the data processing and analysis methods
 345 involved in this paper, the research outline followed in this work is schematically
 346 represented in Fig. 4.



347

348

Fig. 4. Schematic representation of research outline used in this work.

349 **3. Results and discussion**

350 In this work, the energy consumption on the tire-pavement interaction was measured
351 using a laboratory scale prototype, by adopting different testing conditions (wheel load
352 and speed) and surface pavement characteristics (PTV, ETD, and MTD). The results
353 obtained were analyzed using data mining techniques to evaluate the possibility of
354 estimating the energy consumption from the previously mentioned testing conditions
355 (input variables).

356 Table 1 shows the statistical assessments of the parameters measured during the
357 laboratory tests carried out with the prototype, for different pavement surfaces, as
358 described in Section 2. The database obtained with these results was then evaluated using
359 data mining techniques.

360 **Table 1.** Statistic assessment of the used parameters.

Parameter	Minimum	Mean	Maximum	Standard deviation	Coefficient of variation
PTV	23	45.94	68	15.99	34.81
ETD	0.505	0.854	2.441	0.536	62.75
MTD	0	0.615	2.529	0.778	126.56
Speed (m/s)	5	11.67	20	5.28	45.28
Load (kN)	0.7	0.85	1	0.103	12.08
Energy (J/s)	3.11	7.42	19.64	3.61	48.61

361

362 Since the objective of this work is to analyze the influence of different parameters on the
363 measured energy consumption, the range of those parameter values should be as extensive
364 as possible to represent a higher number of scenarios. The variation of some parameter

365 values fulfills that objective (Table 1), while others present a smaller difference (e.g.,
366 load), as a consequence of laboratory testing limitations.

367 Two data mining techniques (ANN and SVM) were tested using up to five input variables
368 (PTV, ETD, MTD, speed, and load) to predict the energy consumption. The models
369 obtained with both techniques were labeled M#, where # represents the number of input
370 parameters used in the model (5, 3 and 1). Some metrics (MAD, RMSE and R^2) were
371 used to evaluate the quality or performance of the different models obtained with ANN
372 and SVM techniques, as presented in Table 2.

373 Both data mining techniques have presented predictive models with a good performance,
374 despite the complex and previously unknown relationships between the variables. In fact,
375 these results were only possible because both methods are highly nonlinear and do not
376 need prior knowledge about the nature of relationships among the data. However, and
377 regardless of the number of input variables used, the ANN technique showed lower values
378 of MAD and RMSE and higher values of R^2 , in comparison with the SVM models. Thus,
379 further analysis of data mining results will only be carried out for ANN models. There is
380 an explicit dependence of DM model performance on the number of input variables. The
381 higher the number of input variables, the higher the quality of the DM model, but its
382 complexity also increases. Thus, the minimum number of input variables needed to assure
383 adequate performance of the DM model must be determined, as subsequently discussed.
384 This problem may be particularly relevant when input data requires complex and time-
385 consuming tests that stakeholders may not have conditions to carry out.

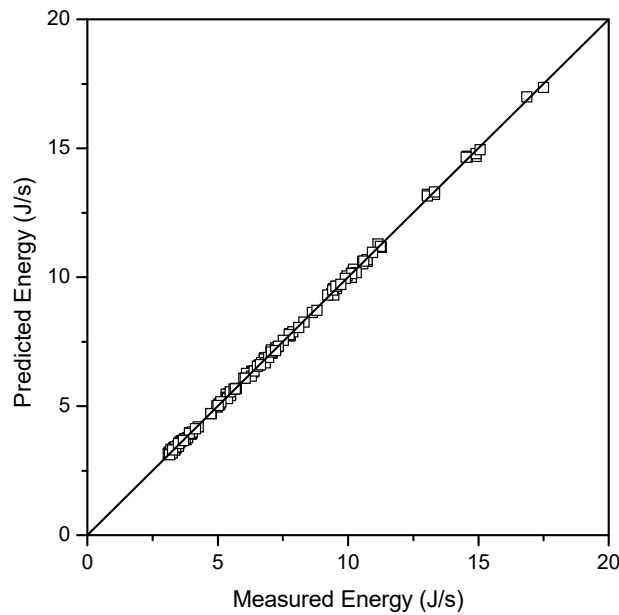
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Table 2. Cross-validation scheme results obtained in the training process.

Metric	M5		M3		M1	
	ANN	SVM	ANN	SVM	ANN	SVM
MAD	0.112	0.180	0.217	0.240	0.992	1.001
RMSE	0.162	0.303	0.337	0.410	1.341	1.389
R ²	0.998	0.996	0.990	0.986	0.836	0.832

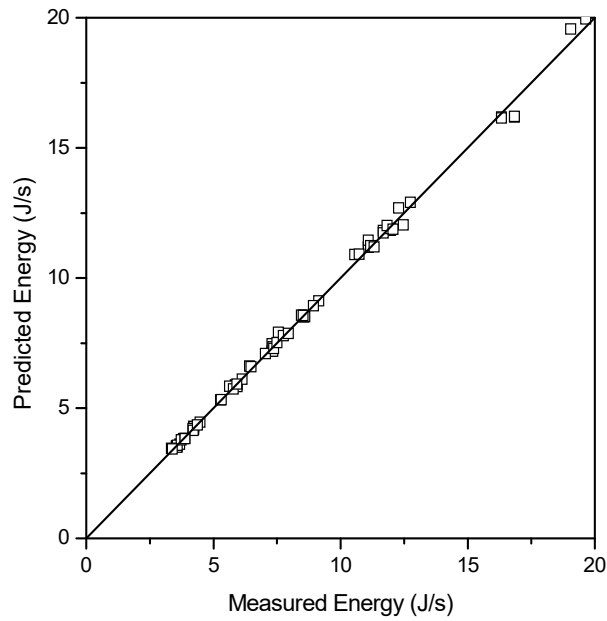
387 Note: MAD – Mean Absolute Deviation; RMSE – Root Mean Square Error;
388 R² – Coefficient of determination

389 Initially, the whole training set was used to fit the ANN model with five input variables
390 (M5), as presented in Fig. 5. Fig. 6 shows the values obtained in similar conditions but
391 with the testing set. Both results confirm the high predictive capacity of the ANN model,
392 even though the testing set is unknown for the DM models.



393

394 **Fig. 5.** Performance of five input variable ANN model (M5) using the training dataset.

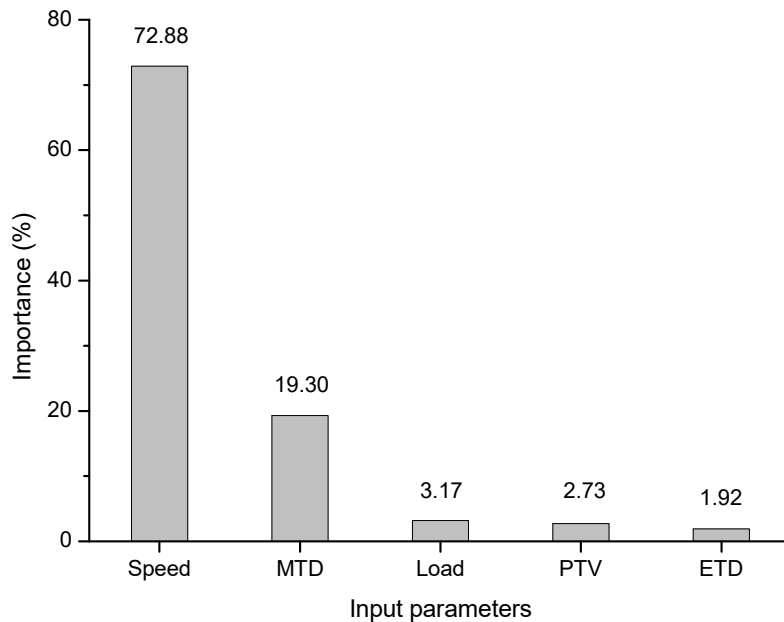


395

396 **Fig. 6.** Performance of five input variable ANN model (M5) using the testing dataset.

397 A sensitivity analysis was performed to obtain the relative importance given by the ANN

398 technique to each one of the five input parameters used in the model, as shown in Fig. 7.



399

400 **Fig. 7.** Importance of each parameter in the ANN model with five input variables (M5).

401 The strong influence of the wheel speed in the predicted energy consumption during the
402 laboratory test is evident. However, the MTD value (surface texture) has also a significant
403 influence on the energy consumption. The other input variables have a negligible impact
404 on the ANN model.

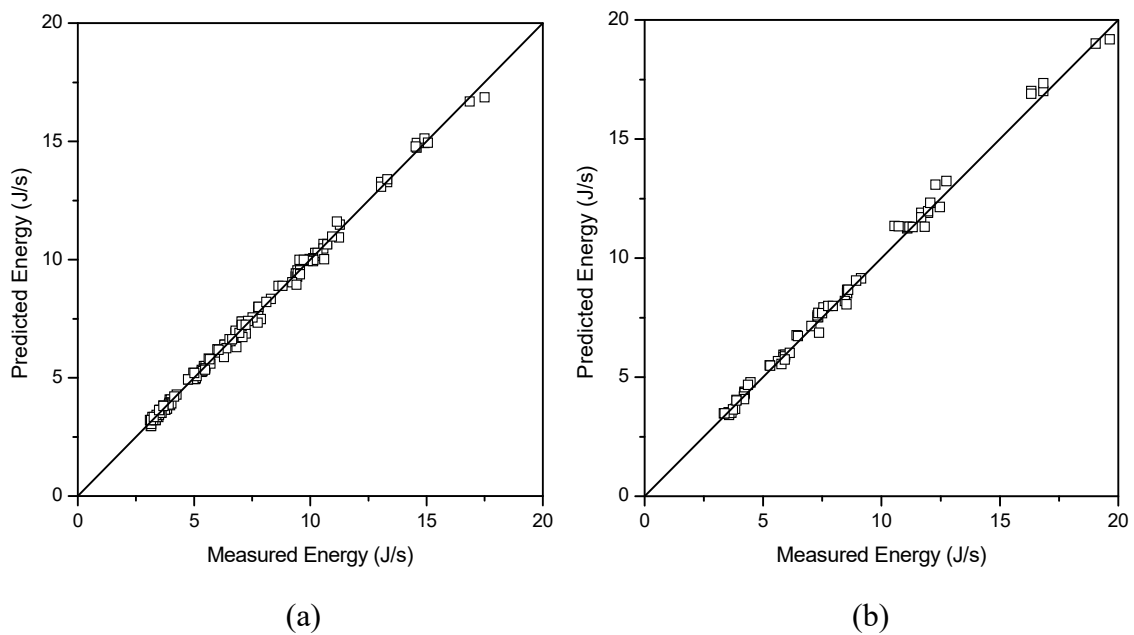
405 The high influence of speed on the energy consumption corroborates the practical
406 knowledge (Freitas Salgueiredo et al., 2017; Suyabodha, 2017), as well as the
407 observations carried out during the laboratory tests. However, a higher influence of the
408 load in the energy consumption was expected (Hernandez et al., 2017), but not observed
409 due to the limited variation of this parameter during the tests (as previously mentioned).

410 ANN model selected mean texture depth as the second most influencing parameter in the
411 energy consumption. In fact, it is recognized that the rolling resistance is influenced by
412 pavement macrotexture (Ejsmont et al., 2017), with higher energy consumption in smooth
413 than in rough surfaces. Both MTD and ETD values are related to texture, thus justifying
414 the strong correlation observed between these parameters ($R^2=0.981$). This fact may also
415 explain the low importance given by the ANN model to ETD variable, since texture
416 influence may be already considered in the model by MTD parameter. Although MTD
417 and ETD are well correlated, the first is used to measure the pavement surface texture
418 properties based on an area, while the latter infers the texture characteristics of the
419 corresponding area based on a single profile of the surface (which may be less accurate).
420 It is also clear that fuel consumption is not significantly affected by skid resistance (PTV
421 value).

422 The accumulated importance of speed, MTD, and load in the ANN model with five input
423 variables, used for energy consumption prediction, is greater than 95 percent. Therefore,
424 a second data mining analysis was carried out with only three input parameters (M3).
425 Table 2 already presented the metrics obtained in the cross-validation scheme of this

426 simplified model M3. The analysis of those metrics pointed out increased errors in the
427 M3 model in comparison with the DM analysis with five input parameters. However, the
428 results predicted with M3 model remain good, and the best results were obtained using
429 the ANN technique.

430 The ANN models with three input variables (M3) were fitted with the training set (Fig.
431 8a) and with the testing set (Fig. 8b). Both results confirm the high predictive capacity of
432 the ANN model M3 to predict the energy consumption. Although there is a negligible
433 decrease in the accuracy of this model in comparison with the ANN model with five input
434 variables, the simplified ANN model with three variables (M3) maintains an excellent
435 performance.

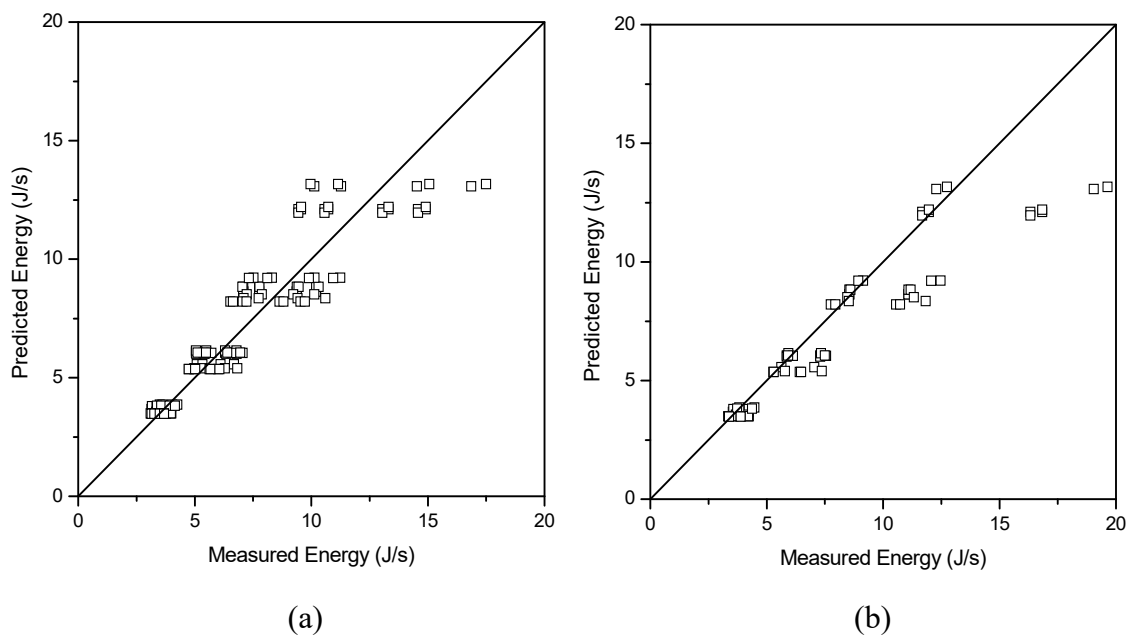


436
437 (a) (b)
438 **Fig. 8.** Performance of the ANN model with three input variables (M3) using (a) the
439 training dataset or (b) the testing dataset.

440 Finally, and taking into account the high influence of speed variable on the predicted
441 energy consumption, a data mining analysis using speed as the single input parameter

442 (M1) was also performed. The metrics obtained during the validation of this single
443 variable model M1 were presented previously in Table 2. Those metrics showed
444 unacceptable errors for this M1 model, significantly higher than those observed in the
445 DM analyses with five or three input parameters. Thus, the prediction performance of M1
446 models obtained with both DM techniques (ANN or SVM) cannot be considered
447 satisfactory.

448 Nevertheless, Figs. 9a and 9b present the ANN models fitted with a single input variable
449 (M1), respectively for the training set and the testing set.



450
451 (a) (b)
452 **Fig. 9.** Performance of ANN model with a single input variable (M1) using (a) the
453 training dataset or (b) the testing dataset.

454 The results obtained confirm the low predictive capacity of the ANN model with a single
455 input variable (M1), especially when using testing data unknown by the model. Thus,
456 although the DM models used for this specific work can be simplified using a lower

457 number of input variables, the accuracy of the models reduces and becomes unacceptable
458 for models with less than three input variables.

459 **4. Conclusions**

460 The results obtained in this work highlight the importance of the pavement characteristics
461 for the energy consumption estimation. In fact, the tire-pavement interaction influences
462 the vehicle energy consumption and should be considered to assess the sustainability of
463 pavement construction/maintenance techniques. The purpose-built laboratory prototype
464 and the use of data mining techniques were essential to understanding the relationships
465 between the measured variables and their relative importance for the energy consumption
466 estimation. The main conclusions to be drawn from this work are:

- 467 • A new laboratory test is proposed in this work to assess energy consumption on
468 the tire-pavement interaction, with the possibility of controlling the load and speed
469 conditions while measuring the surface characteristics (e.g., surface
470 profile/texture) and the energy consumed for operating the prototype.
- 471 • Two DM techniques were successfully used, namely ANN and SVM, and have
472 provided predictive models with meaningful results, while multiple regression
473 models were unable to capture the nonlinear relationships between variables.
- 474 • ANN proved to be the technique with the best performance for energy
475 consumption estimation.
- 476 • Data from a group of variables were collected during the experimental tests to
477 feed the database, and their variation proved to influence the results obtained for
478 energy consumption prediction with the developed data mining models.

- 479 • Models developed with five (PTV, ETD, MTD, speed, and load), three (MTD,
480 speed, and load) and a single input parameter (speed) were tested, to evaluate the
481 variables relative importance. The prediction performance decreased with a
482 reduction in the number of variables, although models with at least three input
483 parameters maintain the prediction quality.
- 484 • For the model with five input parameters, a sensitivity analysis was carried out,
485 showing that the main parameters controlling the energy consumption are speed
486 and surface texture (MTD).
- 487 • The purpose-built laboratory prototype presented in this paper is a new approach
488 that can prospectively contribute to study innovative materials for road surface
489 layers in their design phase, with clear advantages for developing sustainable
490 solutions for road paving.

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