

Parameter Estimation of the Linear Phase Correction Model by Mixed-Effects Models

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Abstract

The control of human motor timing is captured by cognitive models that make assumptions about the underlying information processing mechanisms. A paradigm for its inquiry is the Sensorimotor Synchronisation (SMS) task, in which an individual is required to synchronise the movements of an effector, like the finger, with repetitive appearing onsets of an oscillating external event. The Linear Phase Correction model (LPC) is a cognitive model that captures the asynchrony dynamics between the finger taps and the event onsets. It assumes cognitive processes that are modelled as independent random variables (perceptual delays, motor delays, timer intervals).

There exist methods that estimate the model parameters from the asynchronies recorded in SMS tasks. However, while many natural situations show only very short synchronisation periods, the previous methods require long asynchrony sequences to allow for unbiased estimations (see Jacoby, Tishby, Repp, Ahissar & Keller, 2015b). Also, depending on the task, long records may be hard to obtain experimentally. Moreover, in typical SMS tasks, records are repetitively taken to reduce biases. Yet, by averaging parameter estimates from multiple observations, the existing methods do not most appropriately exploit all available information.

Therefore, the present work is a new approach of parameter estimation to

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integrate multiple asynchrony sequences. Based on simulations from the LPC model, we first demonstrate that existing parameter estimation methods are prone to bias when the synchronisation periods become shorter. Second, we present an extended Linear Model (eLM) that integrates multiple sequences within a single model and estimates the model parameters of short sequences with a clear reduction of bias. Finally, by using Mixed-Effects Models (MEM), we show that parameters can also be retrieved robustly when there is between-sequence variability of their expected values.

Since such between-sequence variability is common in experimental and natural settings, we herewith propose a method that increases the applicability of the LPC model. This method is now able to reduce biases due to fatigue or attentional issues, for example, bringing an experimental control that previous methods are unable to perform.

Keywords: Linear Phase Correction Model, Sensorimotor Synchronisation, Parameter Estimation, Mixed-Effects Models

1. Introduction

Humans coordinate their movements with nearby moving objects in the environment with a remarkable ease. This requires a highly timed communication of the perception-action systems underpinning the movement control. In order to investigate the underlying timing mechanisms, employed by the Central Nervous System (CNS), researchers study participants' attempt to synchronise their movements concurrently with repetitively occurring environmental events. Synchronisation can be understood as a simplified type of coordination because it is constrained in space and time. It is particularly important in activities such as music, sports, and manufacturing. Synchronising movements with a partner was also shown to increase social aspects, such as social attachment and cooperation (Wiltermuth & Heath, 2009; Valdesolo et al., 2010; Reddish et al., 2013), rapport (Miles et al., 2009), and likability (Launay et al., 2014), and it was traditionally used as a means to enhance self-esteem and obedience

15 (Valturio, 1921).

The study of motor synchronisation is mostly focused on effectors like the fingers (Repp, 2005), the forearms (Mörzl et al., 2012), or the feet (van Ulzen et al., 2008) to be timed with external events like auditory metronomes, light displays, or interacting partner movements (Schmidt & Richardson, 2008; Noy
20 et al., 2017).

A successful synchronisation requires the individual to a) perceive the event onsets; b) perceive one's movement onset; c) compute the asynchrony between both onsets; d) compute the temporal progression of the repeated event series; e) follow all these steps to predict upcoming event onsets.

25 Based on these perceptual processes, appropriate motor commands can be computed so that the asynchrony—between the movement and the event—becomes reduced to a minimum (Grush, 2004; Van Der Steen & Keller, 2013). When the external event is presented with constant temporal intervals (these may also vary slightly), this paradigm is called Sensorimotor Synchronisation
30 (SMS) (Repp, 2005).

There are cognitive models accounting for the empirical findings obtained from SMS tasks. Cognitive models usually use a mathematical representation, formalised as a parametrised system of equations that receives input, for example, sensory cues about the onsets and previous asynchronies and intentions to
35 reduce the asynchrony (Wing & Kristofferson, 1973; Schulze & Vorberg, 2002; Jacoby et al., 2015b) and produce output, for example a motor response to reduce the next asynchrony or the actual asynchrony sequence. By solving (or approximating) such systems, its parameters are revealed.

These models can be challenged by comparing their analytical or simulated
40 output—for a given input and set of parameters—with experimental observations. By systematically manipulating the input, it can be validated whether such processes—as postulated by the particular model—in fact underpin the information processing of the CNS.

Because in experiments there are always variables that can neither be ma-
45 nipulated nor controlled—i.e., there is noise within and beyond the CNS—these

problems are usually approached in a probabilistic manner. Within the framework of probability theory, a model can be defined as a parametric family of probability distributions. The combination of probability distributions (indexed by parameters) determines the distribution of the input and associates a probability of occurrence to each output. Probabilistic models are used to model cognitive abilities. Usually, the challenge is to determine how the input and the output relate to the model parameters in question (Myung, 2003).

In cognitive models of motor synchronisation, the output are the asynchrony dynamics between the onsets of oscillating motion of an individual and the onsets of a repetitively appearing stimulus. The subject of inquiry is the relation of these asynchronies to the parameters of the underlying timing model.

Our scope is a) to give a brief overview of such models, b) present their current parameter estimation approaches and limitations, and c) to introduce a novel approach of parameter estimation. In the introduction, we present the synchronisation models of interest and the most recent parameter estimation method and illustrate that it is biased when certain experimental conditions are not met (i.e., when the asynchrony sequences become shorter). In the main section (methods and results), we present then an extended Linear Model (eLM) revealing superior estimation performance in such conditions. Finally, we present a Mixed-Effects Model, which is a further extension of the eLM, that also accounts for additional intergroup-specific variability. We show that it most accurately and efficiently estimates the model parameters. The main contribution of this work is the finding of robust parameter estimation methods that allow validating the LPC model on more complex empirical observations from movement synchronisation experiments.

1.1. Timing Models

1.1.1. Continuation Tapping

In order to account for human timing processes, Wing & Kristofferson (1973) developed a probabilistic cognitive model, which describes the timing behaviour of individuals who have to execute repetitive movements at constant temporal

intervals. When the intervals are determined by an external metronome that suddenly stops and the individual is required to continue executing the constant movement intervals, this method is called the synchronization-continuation paradigm. Based on the variability of the movement intervals (i.e., the time
80 between two successive taps), Wing & Kristofferson (1973) proposed the following model¹:

$$I_j = C_j - D_{j-1} + D_j, \quad (1)$$

where I_j is the movement interval j , C_j is the internal representation of the interval I_j (Time Keeper), and D_j comprises the perceptual and motor delays. I_j is the temporal response interval bounded by two successive taps, which are
85 determined by $C_{j-1} - D_{j-2} + D_{j-1}$ and $C_j - D_{j-1} + D_j$. In follow-up studies, this was changed to $C_j - D_{j-1} + D_j$ and $C_{j+1} - D_j + D_{j+1}$ (Schulze & Vorberg, 2002). C_j and D_j are defined as independent random variables with $C_j \sim NV(\mu_C, \sigma_C^2)$ and $D_j \sim NV(\mu_D, \sigma_D^2)$.

The model in Equation 1 suggests that $\gamma_I(1)$ is different of zero due to the
90 simultaneous presence of D_{j-1} and D_j at the j^{th} iteration. Assuming independent random variables, γ_I is supposed to be zero at larger lags (> 1). Taking into account that D_j comprises perceptual and motor delays, the serial dependence of I_j may reflect the degree of noise (variability) within their respective information processing pathways (Wing & Kristofferson, 1973).

95 1.1.2. Linear Phase Correction Model

Based on Wing & Kristofferson (1973)'s model, Schulze & Vorberg (2002) developed the Linear Phase Correction model (LPC)

¹For the introduction of the existing models and techniques, we used the notation of the original articles. For this reason, notations of the same variables and parameters can vary throughout this work.

$$A_{n+1} = (1 - \alpha)A_n + T_n + M_{n+1} - M_n - C, \quad (2)$$

where A_n is the asynchrony at iteration n , C is a constant metronome interval, M_n is the motor delay, and T_n is the Time Keeper interval. M_n and T_n are random variables with $M_n \sim NV(\mu_M, \sigma_M^2)$ and $T_n \sim NV(\mu_T, \sigma_T^2)$

Thus, the LPC describes the temporal behaviour of the observed asynchronies A_{n+1} as a linear combination of the preceding asynchronies A_n , a cognitive representation of the external event structure T_n , and the information processing delays within the CNS, M_n and M_{n+1} .

The LPC received empirical support for its validity (see e.g., Repp, 2005; Torre & Balasubramaniam, 2009; Zelaznik, Spencer & Ivry, 2002) and was extended to circumstances in which the base tempo of the metronome changed (i.e., C_n as a function of n) and therefore μ_T had to be adjusted (i.e., period correction) (Repp & Keller, 2004; Repp, 2001) or when the metronome adjusted its intervals as a function of the individuals' movement dynamics (Repp & Keller, 2008).

1.1.3. Parameter Estimation Method

Traditionally, the parameters of the LPC model in Equation 2 were estimated by the empirical auto-covariance function (acvf) (see Schulze & Vorberg, 2002). Yet, it was argued that this estimation is biased when the asynchrony sequences are obtained from SMS tasks with variable metronome intervals. When the temporal intervals changed or phase perturbations occurred—what is common in natural settings—the parameters had to be estimated by fitting the empirical acvf to computer simulations, which is slow and often no unique solution exists (Jacoby et al., 2015b,a). Therefore, Jacoby et al. (2015b) suggested an alternative method of parameters estimation, called the “bounded Generalized Least Squares method” (bGLS). The bGLS method formalises the serial dependence of asynchronies as a regression problem in which succeeding asynchronies linearly depend on previous asynchronies.

Jacoby et al. (2015b,a) rewrote the LPC in matrix form:

$$y = Bx + Z, \quad (3)$$

where

$$y = \begin{bmatrix} A_1 - E[A_k] \\ \vdots \\ A_N - E[A_k] \end{bmatrix}, B = \begin{bmatrix} A_0 - E[A_k] \\ \vdots \\ A_{N-1} - E[A_k] \end{bmatrix}, x = (1 - \alpha), Z = \begin{bmatrix} H_0 \\ \vdots \\ H_{N-1} \end{bmatrix},$$

and where A_k is the asynchrony at iteration $k = 1, \dots, N$, N is the length of the sequence, $E[A_k]$ is the expected value of A_k , α is the correction coefficient.

For this approach, N should be the same for all sequences.

Z follows a multivariate normal distribution with zero mean and $N \times N$ variance-covariance matrix Σ . Considering that $Z = [Z_0, Z_1, \dots, Z_{N-1}]^T$, where $Z_k = T_k + M_{k+1} - M_k - E[T_k]$, it can be specified by $\gamma_Z(j) = Cov[Z_k, Z_{k+j}]$ according to

$$\begin{aligned} \gamma_Z(1) &= Cov[(T_k + M_{k+1} - M_k), (T_{k+1} + M_{k+2} - M_{k+1})] \\ &= Cov[M_{k+1}, -M_{k+1}] \\ &= -\sigma_M^2, \\ \gamma_Z(0) &= Var[T_k + M_{k+1} - M_k] \\ &= \sigma_T^2 + 2\sigma_M^2, \end{aligned} \quad (4)$$

$$\gamma_Z(j) = 0, \quad j > 1,$$

so that

$$\begin{aligned} Z &\sim MVN(0, \Sigma), \quad \Sigma = \gamma_Z(0)I + \gamma_Z(1)\Delta, \\ \gamma_Z(0) &= 2\sigma_M^2 + \sigma_T^2, \quad \gamma_Z(1) = -\sigma_M^2, \quad \gamma_Z(j) = 0, \quad j > 1, \end{aligned}$$

$$\Delta = \begin{bmatrix} 0 & 1 & 0 & \cdots & 0 & 0 \\ 1 & 0 & 1 & \ddots & \vdots & 0 \\ 0 & 1 & 0 & \ddots & 0 & \vdots \\ \vdots & 0 & \ddots & 0 & 1 & 0 \\ 0 & \vdots & \ddots & 1 & 0 & 1 \\ 0 & 0 & \cdots & 0 & 1 & 0 \end{bmatrix}.$$

I is a $N \times N$ identity matrix and Δ is a $N \times N$ matrix determining non-zero
135 correlations.

The log-likelihood of x and $\Sigma(\sigma_T, \sigma_M)$ given Z ($Z = y - Bx$) is

$$l(x, \Sigma(\sigma_T, \sigma_M) | Z) = -\frac{N}{2} \log(2\pi) - \frac{1}{2} \log(|\Sigma|) - \frac{1}{2} (y - Bx)^T \Sigma^{-1} (y - Bx). \quad (5)$$

Since x and Σ (i.e., α , σ_T and σ_M) are unknown, their estimation requires
to iteratively estimate x and Σ referred to as feasible Generalized Least Squares
(see Jung, 1987 in Repp, Keller & Jacoby, 2012). This means that x was esti-
140 mated when Σ was fixed at a particular (estimated) value and Σ was estimated
when x was fixed at a particular (estimated) value. Because, the MLE estima-
tor coincides with the GLS estimator, as noted by Jacoby et al. (2015b), the
estimations could be performed by maximising $l(x, \Sigma | Z)$ of Equation 5.

This parameter estimation method (bGLS) could be used on observations
145 from experiments with variable metronomes and it was generally less biased,
more efficient, and faster than the traditional estimation techniques (Schulze &
Vorberg, 2002), for a wide range of settings (Jacoby et al., 2015b). In addition,
the bGLS method could capture the synchronisation dynamics of two or more
interacting individuals that coordinate in a group, as for instance when musical
150 orchestra elements had to be coordinated (see also Wing, Endo, Bradbury &
Vorberg, 2014).

Yet, we identified three limitations of the presented methods:

A) The presented methods require long asynchrony sequences. The more traditional methods used the acvf of the asynchrony sequence (Schulze & Vorberg, 2002). For a meaningful acvf, it was suggested that the length should be at least $\min(N) \geq 50$ (Murteira et al., 1993). Similarly, the bGLS method searches for an approximated MLE. This is only reliable and unbiased if the sequence is relatively large (Ljung, 1998 in Jacoby, Keller, Repp, Ahissar & Tishby, 2015a). As stated by Jacoby et al. (2015b), this should be at least $\min(N) \geq 30$.

But, in many natural situations that an experimenter might want to simulate, synchronisation can be observed for only very short time periods. In dance, partners alternately synchronise and eventually desynchronise their movements; in manufacturing work, the demand to coordinate with machines and other workers may be repetitive but short lasting; in a symphony orchestra, instruments such as cello, violin, piano, and celesta stand alone or together, and sometimes start and stop for very short time periods. A typical strategy in gait rehabilitation is that the patient synchronises the stepping pattern during walking with external cues (see e.g., Lim, van Wegen, de Goede, Deutekom, Nieuwboer, Willems, Jones, Rochester & Kwakkel, 2005), but only for a few steps, probably in order to avoid fatigue. Short lasting interactions that involve movement synchronisation also exist in sports and in everyday coordination. These activities have in common that the movements become synchronised very quickly and last only short periods of time. Up to now, there do not seem to exist appropriate estimation approaches within the framework of event-based timing models, presented above, that can deal with short-lasting synchronisation phenomena.

B) Another important limitation of the methods is that they disregard information due to averaging. In a typical experimental paradigm, one makes inferences about parameters of the model that is supposed to have generated the behaviour. To achieve this, behavioural records are usually obtained repeatedly, called trials or runs, and an average of these records, or their parameter estimates of each trial is taken.

Yet, by averaging, one may lose essential information, outliers can bias the

results, and if trials with different lengths are included, they are weighted equally
185 inducing further biases. It should be desirable to estimate the parameters with-
out applying such “mean-function”. This is particularly important when there
is little information on each trial, that is, when trials are short. Thus, appropri-
ate methods must be developed that can estimate the parameters from multiple
and short asynchrony sequences.

190 Models that may account for such patterns are known as longitudinal mod-
els, mixed effect models, multilevel regression models, extended linear models,
panel data models, growth curve models, etc. The repeated measurements of
asynchronies can be viewed as multilevel, where the lowest level are the asyn-
chronies nested within the sequence. At this lowest level, according to the LPC,
195 the asynchronies are not independent. The model parameters could then be
estimated based on the within-sequence correlation structure. In the following,
we adopted the terminology of Pinheiro & Bates (2000). A model that captures
within-sequence correlations is referred to as extended Linear Models (eLM).
This model allows for the inclusion of all sequences within a single model rather
200 than computing an average.

C) A third limitation is that there may be variability between the expected
asynchronies of each sequence that is neither captured by the LPC model nor by
the parameter estimation methods (we discuss this issue in Section 3.1). Such
between-sequence variability can be described by random intercepts, which are
205 associated with each individual sequence, sampled randomly from the popula-
tion of sequences. When the model incorporates a particular within-sequence
correlation structure (as the eLM) and random intercepts, it is referred to as
Mixed-Effects Model (see Pinheiro & Bates, 2000).

The remainder of this article is structured as followed: In the method section,
210 we present the eLM, its further extension, the MEM, and their computations.
Subsequently, in the results section, we demonstrate the superior performance
of the eLM to the bGLS in estimating parameters of the LPC model when the
sequences are short. Then, we demonstrate the superior performance of the
MEM to the eLM and the bGLS method, when estimating the parameters from

215 sequences with varying intercepts.

2. Methods

2.1. extended Linear Model (eLM)

We developed the eLM based on Pinheiro & Bates (2000). It is able to capture multiple sequences of asynchronies within a single model. Each asynchrony is denoted by a_{ij} , $i = 1, \dots, m$, $j = 1, \dots, n_i$, where i indexes the sequence and j indexes the j^{th} asynchrony within sequence i . The length of a_i is denoted by n_i and N denotes here the length of all sequences together $N = \sum_{i=1}^m n_i$.

2.1.1. Model

The model can be written for each sequence i as:

$$\begin{aligned} y_i &= x_i \beta + s_i, \\ s_i &\sim MVN(0, \Sigma_i), \end{aligned} \tag{6}$$

225 where y_i is a $(n_i - 1) \times 1$ column vector of asynchronies of sequence i , x_i is a $(n_i - 1) \times 1$ column vector of asynchronies of sequence i one iteration earlier than the asynchronies in vector y_i , s_i is a $(n_i - 1) \times 1$ column vector of the errors of sequence i , and Σ_i is a $(n_i - 1) \times (n_i - 1)$ variance-covariance matrix

$$\Sigma_i = \begin{bmatrix} \sigma_T^2 + 2\sigma_M^2 & -\sigma_M^2 & 0 & \cdots & 0 & 0 \\ -\sigma_M^2 & \sigma_T^2 + 2\sigma_M^2 & -\sigma_M^2 & \ddots & \vdots & 0 \\ 0 & -\sigma_M^2 & \sigma_T^2 + 2\sigma_M^2 & \ddots & 0 & \vdots \\ \vdots & 0 & \ddots & \sigma_T^2 + 2\sigma_M^2 & -\sigma_M^2 & 0 \\ 0 & \vdots & \ddots & -\sigma_M^2 & \sigma_T^2 + 2\sigma_M^2 & -\sigma_M^2 \\ 0 & 0 & \cdots & 0 & -\sigma_M^2 & \sigma_T^2 + 2\sigma_M^2 \end{bmatrix}.$$

The s_i corresponds to Z of the bGLS method: $s_i = [s_{i1}, s_{i2}, \dots, s_{in_i-1}]^T$, $s_{ij} = T_{ij} + M_{ij+1} - M_{ij} - E[T_{ij}]$. We changed its

notation to prevent confusion with the random effects column vector z , introduced later. The model including all sequences is then

$$Y = MVN(X\beta, \Sigma), \quad (7)$$

where Y and X are column vectors with dimension $(N - m) \times 1$, and Σ is a variance-covariance matrix with dimension $(N - m) \times (N - m)$

$$\begin{array}{c} Y \\ \left[\begin{array}{c} a_{12} - E[a_{1j}] \\ a_{13} - E[a_{1j}] \\ a_{14} - E[a_{1j}] \\ \vdots \\ a_{i2} - E[a_{ij}] \\ a_{i3} - E[a_{ij}] \\ a_{i4} - E[a_{ij}] \\ \vdots \\ a_{m2} - E[a_{mj}] \\ a_{m3} - E[a_{mj}] \\ a_{m4} - E[a_{mj}] \end{array} \right] \end{array} = \begin{array}{c} X \\ \left[\begin{array}{c} a_{11} - E[a_{1j}] \\ a_{12} - E[a_{1j}] \\ a_{13} - E[a_{1j}] \\ \vdots \\ a_{i1} - E[a_{ij}] \\ a_{i2} - E[a_{ij}] \\ a_{i3} - E[a_{ij}] \\ \vdots \\ a_{m1} - E[a_{mj}] \\ a_{m2} - E[a_{mj}] \\ a_{m3} - E[a_{mj}] \end{array} \right] \end{array} \beta \quad (1 - \alpha) + \begin{array}{c} S \\ \left[\begin{array}{c} s_{11} \\ s_{12} \\ s_{13} \\ \vdots \\ s_{i1} \\ s_{i2} \\ s_{i3} \\ \vdots \\ s_{m1} \\ s_{m2} \\ s_{m3} \end{array} \right] \end{array},$$

235 where $n_i = 4$, $\forall i$, $i = 1, \dots, m$, for illustration purpose only.

2.1.2. Computation

The LPC model parameters α , σ_T , and σ_M can be obtained from β and Σ . Based on the approach of Pinheiro & Bates (2000), a single β and Σ can be estimated by a model including all sequences.

240 For computational reasons, σ^2 was factored out of Σ_i :

$$\frac{\Sigma_i}{\sigma^2} = \Lambda_i. \quad (8)$$

Λ_i is parametrized by λ :

$$\Lambda_i = \begin{bmatrix} 1 & \lambda & 0 & \cdots & 0 & 0 \\ \lambda & 1 & \lambda & \ddots & \vdots & 0 \\ 0 & \lambda & 1 & \ddots & 0 & \vdots \\ \vdots & 0 & \ddots & 1 & \lambda & 0 \\ 0 & \vdots & \ddots & \lambda & 1 & \lambda \\ 0 & 0 & \cdots & 0 & \lambda & 1 \end{bmatrix}.$$

Because it is a positive-definite matrix, it has an invertible square root $\Lambda_i^{\frac{1}{2}}$ so that $\Lambda_i = (\Lambda_i^{\frac{1}{2}})^T \Lambda_i^{\frac{1}{2}}$. Then, $\Lambda_i^{-1} = \Lambda_i^{-\frac{1}{2}} (\Lambda_i^{-\frac{1}{2}})^T$, where $\Lambda_i^{-\frac{1}{2}}$ is the inverse of $\Lambda_i^{\frac{1}{2}}$. The transformation to a linear model is then achieved by

$$y_i^* = (\Lambda_i^{-\frac{1}{2}})^T y_i, \quad s_i^* = (\Lambda_i^{-\frac{1}{2}})^T s_i, \quad x_i^* = (\Lambda_i^{-\frac{1}{2}})^T x_i, \quad (9)$$

which provides the linear model

$$y_i^* = x_i^* \beta + s_i^*, \quad (10)$$

245 where $s_i^* \sim NV((\Lambda_i^{-\frac{1}{2}})^T 0, \sigma^2 (\Lambda_i^{-\frac{1}{2}})^T \Lambda_i \Lambda_i^{-\frac{1}{2}}) = NV(0, \sigma^2 I_i)$.

For a fixed λ , the conditional MLEs are

$$\begin{aligned} \hat{\beta}(\lambda) &= ((X^*)^T X^*)^{-1} (X^*)^T Y^*, \\ \hat{\sigma}^2(\lambda) &= \frac{(Y^* - X^* \hat{\beta})^T (Y^* - X^* \hat{\beta})}{(N - m)}, \end{aligned} \quad (11)$$

where $X = [X_1, \dots, X_m]^T$, $Y = [Y_1, \dots, Y_m]^T$, $\beta = (1 - \alpha)$, and $N = \sum_i^m n_i$.

In the so called ‘‘profiled log-likelihood’’, β can then be replaced by its conditional MLE so that β is expressed as a function of λ , $\beta(\lambda)$. Therefore, the profiled log-likelihood is solely a function of λ :

$$l(\lambda|y)^{profiled} = const - (N - m) \log \sqrt{(Y^* - X^* \hat{\beta})^T (Y^* - X^* \hat{\beta})} - \frac{1}{2} \sum_{i=1}^m \log |\Lambda_i|. \quad (12)$$

250 By optimising Equation 12 and using $\hat{\lambda}$ in Equation 11, the MLEs for $\hat{\beta}$ and $\hat{\sigma}^2$ can be computed. Subsequently, by using $\hat{\lambda}$, $\hat{\beta}$, and $\hat{\sigma}^2$, the final LPC model parameters are obtained by

$$\begin{aligned}\hat{\alpha} &= 1 - \hat{\beta}, \\ \hat{\sigma}_M &= \sqrt{-\hat{\sigma}^2 \hat{\lambda}}, \\ \hat{\sigma}_T &= \sqrt{\hat{\sigma}^2 - 2\hat{\sigma}_M^2}.\end{aligned}\tag{13}$$

2.2. Mixed-Effects Model (MEM)

The MEM is an extension of the eLM that also incorporates random in-
255 tercepts (Pinheiro & Bates, 2000). It is able to capture multiple sequences of asynchronies within a single model and accounts for the between-sequence variability of the expected asynchrony of each sequence.

2.2.1. Model

The MEM is denoted as

$$\begin{aligned}y_i &= x_i \beta + z_i b_i + s_i, \\ b_i &\sim NV(0, \sigma_b^2), \quad s_i \sim MVN(0, \sigma^2 \Lambda_i), \quad i = 1, \dots, m,\end{aligned}\tag{14}$$

260 where y_i , x_i , and s_i are defined as in Equation 6: y_i is a $(n_i - 1) \times 1$ column vector of asynchronies of sequence i , x_i is a $(n_i - 1) \times 1$ column vector of asynchronies of sequence i one iteration earlier than the asynchronies in vector y_i , s_i is a $(n_i - 1) \times 1$ column vector of the errors of sequence i , and Λ_i is a $(n_i - 1) \times (n_i - 1)$ covariance matrix.

265 The b_i is a $m \times 1$ column vector of random effects for sequence i and z_i is a $(n_i - 1) \times m$ design matrix, indexing b_i . The b_i is normally distributed with zero mean and (the scalar) standard deviation σ_b . It represents the variability between the expected asynchrony values $E[a_{ij}]$ among the sequences. The b_i and s_i are independent within and between sequences.

$$\begin{array}{c}
Y \\
\left[\begin{array}{c} a_{12} \\ a_{13} \\ a_{14} \\ \vdots \\ a_{i2} \\ a_{i3} \\ a_{i4} \\ \vdots \\ a_{m2} \\ a_{m3} \\ a_{m4} \end{array} \right] \\
\end{array}
=
\begin{array}{c}
X \\
\left[\begin{array}{c} a_{11} \\ a_{12} \\ a_{13} \\ \vdots \\ a_{i1} \\ a_{i2} \\ a_{i3} \\ \vdots \\ a_{m1} \\ a_{m2} \\ a_{m3} \end{array} \right]
\end{array}
(1 - \alpha)
+
\begin{array}{c}
\beta \\
\left[\begin{array}{cccc} 1 & \dots & 0 & \dots & 0 \\ 1 & \dots & 0 & \dots & 0 \\ 1 & \dots & 0 & \dots & 0 \\ \vdots & & \vdots & & \vdots \\ 0 & \dots & 1 & \dots & 0 \\ 0 & \dots & 1 & \dots & 0 \\ 0 & \dots & 1 & \dots & 0 \\ \vdots & & \vdots & & \vdots \\ 0 & \dots & 0 & \dots & 1 \\ 0 & \dots & 0 & \dots & 1 \\ 0 & \dots & 0 & \dots & 1 \end{array} \right]
\end{array}
+
\begin{array}{c}
b \\
\left[\begin{array}{c} b_1 \\ b_1 \\ b_1 \\ \vdots \\ b_i \\ b_i \\ b_i \\ \vdots \\ b_m \\ b_m \\ b_m \end{array} \right]
\end{array}
+
\begin{array}{c}
S \\
\left[\begin{array}{c} s_{11} \\ s_{12} \\ s_{13} \\ \vdots \\ s_{i1} \\ s_{i2} \\ s_{i3} \\ \vdots \\ s_{m1} \\ s_{m2} \\ s_{m3} \end{array} \right]
\end{array}
,$$

270 where $n_i = 4$, $\forall i$, $i = 1, \dots, m$, for illustration purpose only.

2.2.2. Computation

In order to obtain the parameters β , σ_b , and σ^2 , the following likelihood function can be maximised:

$$L(\beta, \delta, \sigma^2 | y) = \frac{1}{(2\pi\sigma^2)^{(N-m)/2}} \exp\left(\frac{-\sum_{i=1}^m \|\tilde{y}_i - \tilde{x}_i\beta - \tilde{z}_i\hat{b}_i\|^2}{2\sigma^2}\right) \prod_{i=1}^m \frac{|\delta|}{\sqrt{|\tilde{z}_i^T \tilde{z}_i|}}, \quad (15)$$

275 where δ parametrises the variance-covariance matrix of the random effects b_i (which is here a scalar σ_b), σ is the residual standard error of s_i , \tilde{y}_i , \tilde{x}_i , and \tilde{z}_i are the augmented data vectors

$$\tilde{y}_i = \begin{bmatrix} y_i \\ 0 \end{bmatrix}, \quad \tilde{x}_i = \begin{bmatrix} x_i \\ 0 \end{bmatrix}, \quad \tilde{z}_i = \begin{bmatrix} z_i \\ \delta \end{bmatrix}, \quad \delta = \sqrt{\frac{\sigma^2}{\sigma_b^2}}, \quad (16)$$

and \hat{b}_i is estimated by:

$$\hat{b}_i = (\tilde{z}_i^T \tilde{z}_i)^{-1} \tilde{z}_i^T (\tilde{y}_i - \tilde{x}_i\beta), \quad i = 1 \dots, m. \quad (17)$$

Since the OLS for \hat{b}_i depends on β , and the OLS for $\hat{\beta}$ depends on b_i , they must be estimated jointly (iteratively).

However, because the within-sequence errors are correlated, it was performed
 280 a linear transformation of the variables, as previously (see Equation 9):

$$y_i^* = (\Lambda_i^{-\frac{1}{2}})^T y_i, \quad s_i^* = (\Lambda_i^{-\frac{1}{2}})^T s_i, \quad x_i^* = (\Lambda_i^{-\frac{1}{2}})^T x_i, \quad z_i^* = (\Lambda_i^{-\frac{1}{2}})^T z_i, \quad (18)$$

which provided the linear Mixed-Effects Model

$$\begin{aligned} y_i^* &= x_i^* \beta + z_i^* b_i + s_i^*, \\ b_i &\sim NV(0, \sigma_b^2), \quad s_i^* \sim MVN(0, \sigma^2 I), \quad i = 1, \dots, m. \end{aligned} \quad (19)$$

Its profiled likelihood function can be expressed as

$$L(\beta, \delta, \sigma^2, \lambda | y)^{profiled} = L(\beta, \delta, \sigma^2, \lambda | y^*) \prod_{i=1}^m |\Lambda_i^{-1/2}|, \quad (20)$$

where λ parametrises Λ_i , as in Equation 12. By optimising Equation 20, its best fitting parameters can be obtained from which α , σ_T , and σ_M were computed. For a detailed description of the proof and most efficient computation of $L(\beta, \delta, \sigma^2, \lambda | y)^{profiled}$, see Pinheiro & Bates (2000).

285 3. Results & Discussion

In order to evaluate and compare the performance of different methods, we simulated asynchrony sequences that could be the output of an experiment using SMS tasks. This was done by running the LPC model in Equation 2. It received as input a) an initial asynchrony A_1 , sampled from $NV(0, 20)$, b) a constant
 290 number of metronome events n , and c) a set of parameters $(\sigma_T, \sigma_M, \alpha)$. So, this model was iterated n times. Parameter settings were held close to those of previous studies (Jacoby et al., 2015b). The output of a single simulation was a sequence of asynchronies of length n . Similar to Jacoby et al. (2015b), one parameter estimate was based on 15 of such sequences. For validation, we con-
 295 sidered 50 estimates. Therefore, the LPC model was simulated $15 \times 50 = 450$

times and its mean estimate and the 95% confidence interval were computed from the 50 estimates. The parameter estimation methods were evaluated by considering its accuracy and efficiency with which they recovered the set of parameters of the LPC model that had generated the data.

300 *3.1. eLM and sequence length*

In order to compare the eLM with the bGLS, the asynchrony sequences were simulated with different lengths ($n = 5$, $n = 10$, $n = 30$). Results revealed that the eLM is less biased for different sequences lengths compared to the bGLS method (see Figure 1). While the bias of the bGLS method increased with
305 decreasing sequence length and the size of the confidence intervals remained very similar, the eLM seems unbiased at any length but increased the confidence intervals.

This was expectable, considering that the bGLS method averaged estimates from single sequences. When estimating the parameters by approximating an
310 MLE from a short sequence, estimations can fail easily, which results in estimates that may consistently deviate from the theoretical mean. In addition, mean estimates are very susceptible to outliers. Thus, when there are few asynchronies, bGLS estimates can be biased.

In contrast, in the eLM method, a single parameter $\hat{\lambda}$ is estimated by maxi-
315 mizing a profiled MLE (see Equation 12) involving all indexed sequences. Afterwards, two single parameters $\hat{\beta}(\lambda)$ and $\hat{\sigma}(\lambda)$ can be estimated by the conditional MLEs (see Equation 11) and a simple transformation reveals then the final parameter estimates of the LPC model $\hat{\alpha}$, $\hat{\sigma}_M$, and $\hat{\sigma}_T$ (see Equation 13). This method employs every single sequence for parameter estimation while other
320 sequences provide additional information about “what is going on” in the particular sequence. This makes the eLM method more resistant to estimation biases. However, short sequences should still lead to less efficient estimations. This is here reflected by an increase of the confidence intervals.

Notwithstanding, the confidence intervals in the eLM are particularly large
325 at α around 1. Similar results were reported for the unbounded GLS method (see

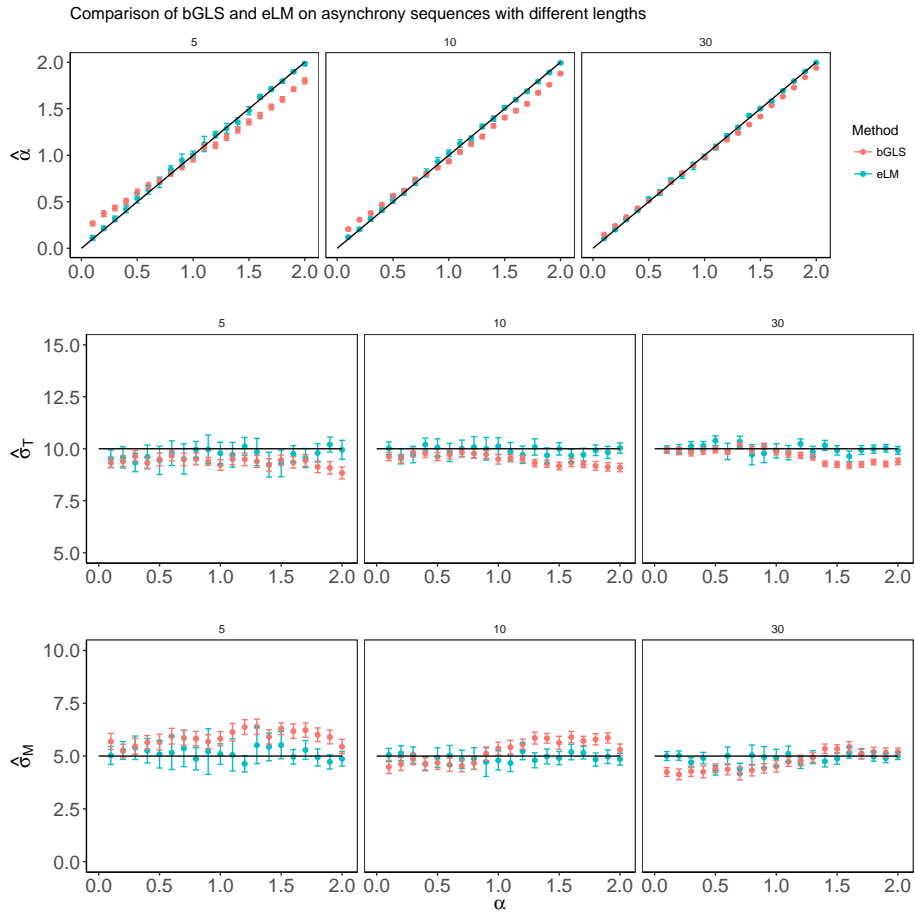


Figure 1: Comparison of the LPC model parameter estimation methods as a function of true α for different sequence lengths. The plots show the mean and the 95% confidence intervals of 50 estimates for each α . The estimates of the bGLS method are displayed in red and the estimates of the eLM method are displayed in magenta. Dots are the mean estimates. When lying on the black line, the estimates coincide with the true values. The sequences were obtained by simulating the LPC model with $\sigma_M = 5$, $\sigma_T = 10$ and α according to the ordinate. Each estimate was obtained from $m = 15$ asynchrony sequences with varying length ($n_i = 30$, $n_i = 10$, $n_i = 5$, $\forall i, i = 1, \dots, 15$).

Jacoby, Keller, Repp, Ahissar & Tishby, 2015a). In future studies, additional bounding conditions could be included and evaluated for the eLM method.

Concluding, the eLM method seems to exploit a trade-off between accuracy (mean deviation from the “true” parameter) and efficiency (variability of the estimations) in favour of the former. When uncertainty increases due to less information within the empirical observations, its efficiency decreased in order to hold a high accuracy.

A shortcoming of the eLM method and the bGLS method is that they presume the same parameter settings among all sequences. Apparently, proposing a general model, like the LPC, only has value if the process in question is stable in its parameter settings, as long as the environment is constant. However, when the asynchronies were obtained from experiments, there should be variability that is not related to the LPC model. For instances, in a repeated measurement design, identical experimental conditions among trials are impossible to achieve. When there are noise factors that are independent among all asynchronies, the variability is captured by the error term (ϵ) of the model. Yet, there may be factors that have a unique contribution on each trial and are hard to control. This leads to variability between trials that is neither accounted for by the LPC model nor by the introduced parameter estimation methods.

Concretely, we have shown that the eLM is appropriate if the mean asynchrony is expected to be constant among sequences. Jacoby et al. (2015b) normalised the asynchronies by the mean asynchrony obtained from so many asynchrony records as possible. However, if each sequence is exposed to factors that contribute uniquely to each sequence, such a normalisation is inappropriate. Another possibility is to normalise each sequence by the mean asynchrony of the respective sequence. This can be done when using the eLM and the bGLS method. Yet, for short sequences, such mean asynchrony might not be very representative.

One possible solution to account for the variability would be to incorporate another fixed effect in the eLM, with as many parameters as there are sample means. Considering that this value was sampled from a continuous distribution,

the number of parameters would equal the number of sequences $i = 1, \dots, m$.
But, we were not interested in making inferences about the specific effects of
these “noise factors” but must control them for an unbiased estimation of the
LPC model parameters. For this reason, a solution is to account for varying μ_{a_i}
among sequences i by incorporating random-effects (random intercepts) in the
eLM model, making it a single-level MEM. This requires the estimation of far
fewer parameters than when using fixed effects, and it seems theoretically more
plausible.

3.2. MEM and between-sequence variability

In order to compare the MEM with the eLM and the bGLS method, we sim-
ulated asynchrony sequences with different magnitudes of the between-sequence
variability of the intercept (i.e., the expected asynchronies varied between se-
quences). The results revealed that the bGLS method and the eLM method
deteriorated with increasing between-sequence variability. The here presented
eLM method normalised the sequences by the sample mean of each sequence
and the bGLS method computed the sample mean by all asynchronies from
all sequences. The biases increased with between-sequence variability and were
smaller for lower α . The patterns of these biases are quite complex and we do
yet not know how to interpret them.

In contrast, the MEM method, which modelled the between-sequence vari-
ability by random intercepts, led to unbiased estimates for different magnitudes
of variability (see Figure 2). We further benchmarked the functions showing
that the most complex MEM method is slightly faster than the bGLS method
(MEM = 15ns, bGLS = 20ns). We, therefore, suggest that the MEM method
is an appropriate alternative that can be used for single sequences when they
are sufficiently long and stationary, and for short and multiple sequences when
they are stationary.

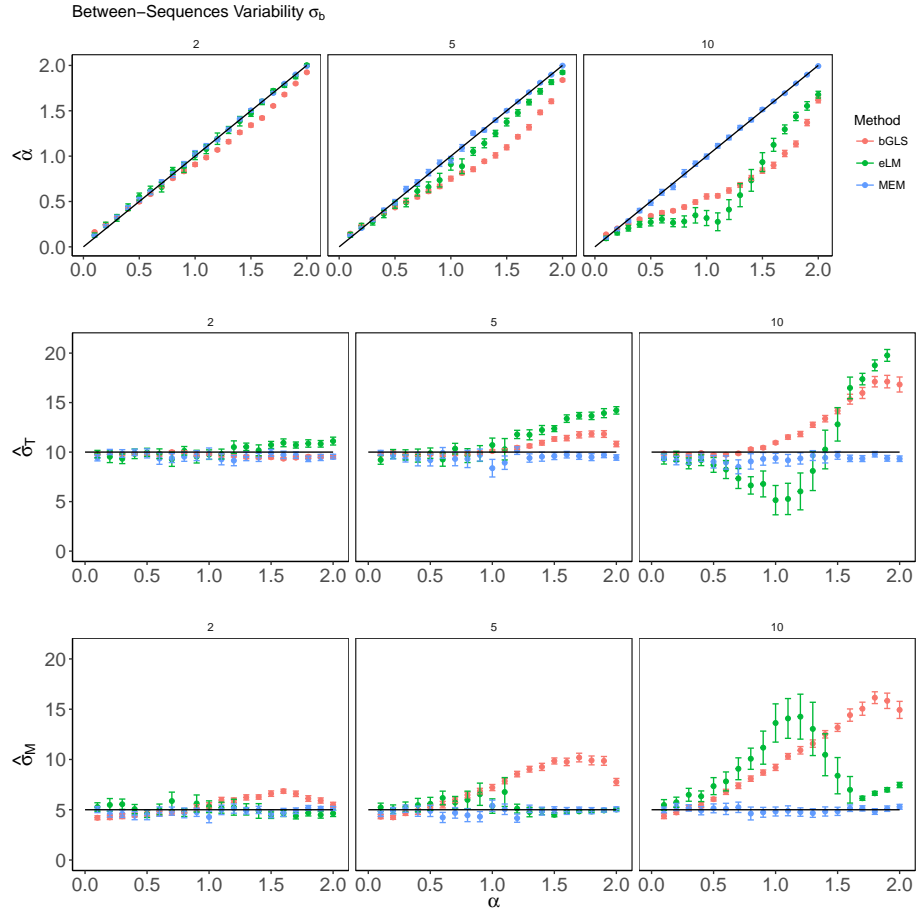


Figure 2: Comparison of the bGLS, eLM, and MEM when there is additional between-sequence variability of $E[a_{ij}]$ that is not incorporated in the LPC model. These random intercepts were sampled from $NV(0, \sigma_b^2)$, $\sigma_b = 2, 5, 10$, as indicated at the top of the plots. The parameters were estimated for different α from sequences of length $n_i = 30$. The sequences were obtained by simulating the LPC model with $\sigma_T = 10$ and $\sigma_M = 5$.

4. General Discussion

385 The main goal of this work was to introduce unbiased methods of parameter estimation of the LPC model. Therefore, we simulated asynchrony sequences from the LPC model, replicated the current “state of art” estimation method (bGLS), and compared this with two here-developed methods in conditions that often occur in experimental setups.

390 4.1. Contributions of the extended Linear Model (eLM)

We demonstrated that the bGLS is prone to bias when the asynchrony sequences become shorter. We suggest that this owes to the inefficient technique of averaging parameter estimates, particularly when there is little information on each sequence. For this reason, we presented eLM, which integrates multiple sequences into a single model. Our results revealed that eLM estimates the
395 model parameters of longer and shorter sequences with less bias than the bGLS.

Besides the simultaneous consideration of multiple sequences, another advantage of such an approach is that it can deal with balanced and unbalanced data. This implies that sequences of different lengths and/or missing values can
400 be included. In contrast to the bGLS method, the eLM weights stronger the sequences with greater lengths, what is appropriate because longer sequences include more information and lead to less biased estimates. As far as we know, the authors of the bGLS method did not address this issue. We assume that shorter sequences or sequences with missing values were disregarded.

405 4.2. Contributions of the Mixed-Effects Model (MEM)

The MEM is an extension of the eLM and also considers the between-sequence variability of the expected asynchrony within each sequence. By relating random effects to the asynchronies sharing the same sequence, the MEM could flexibly account for this variability. It provided unbiased estimates where
410 the bGLS and the eLM methods largely deteriorated.

For the simulation, we produced between-sequence variability by adding a value, sampled from $NV(0, \sigma_b^2)$, with different σ_b^2 , which was invariable within

a sequence but variable between sequences. How could one justify the validity of this manipulation?

415 When the different sequences result from repeated measurements on the same individual, such between-sequence variability might reside from an interplay of physiological factors—the properties of the individual sensory system—and psychological factors—for instances attentional focus and distraction. In order to achieve synchronisation, the asynchronies have to be perceived as such.
420 The perception of asynchrony depends on a complex interaction of a multitude of sensory cues from various modalities (e.g., tactile, auditory, & visual). Different sensory systems vary in propagation, transduction, transmission, and processing times, leading to different magnitudes of the physical (actual) asynchronies when an environmental event is represented by multiple modalities (see
425 e.g., Noy, 2018).

The attentional focus of the individual on a particular sensory cue determines the size of the asynchrony that is required to be perceived as synchronous. Thus, attention might affect the information processing delays represented by the parameter μ_M in the LPC model. Consequently, the individual might attempt to achieve and stabilise different asynchronies, resulting in different mean
430 asynchronies among sequences.

Yet, it is not clear why the attentional focus should vary between sequences and not within a sequence. In a typical synchronisation experiment, event sequences are presented visually on a computer screen or aurally through headphones and suddenly appear and disappear. Before a sequence is presented,
435 the participant’s attention is purposefully caught by the presentation of, for instance, a visual fixation cross or a beep sound. Individual sequences are usually separated by short time periods. During stimulus presentation within a trial (e.g., a sequence of 10 to 30 metronome clicks), an individual should be able to
440 stay focused and remains within a similar cognitive state. However, completing the task may require 15min to 120min; time enough for the individual’s mind to wander and to focus different stimulus attributes. Although these issues should be approached in further studies, we believe the presence of attentional shifts

during such paradigms can produce between-sequence variability by affecting
445 information processing delays.

When sequences are the performance of different individuals, then the
between-sequence variability could owe to factors specific to each individual.
This is, for example, the individual’s focus of attention. But also, the parame-
ter settings of the underlying LPC model (e.g., perceptual delays and motor
450 delays) should be different among individuals. Such individual differences pro-
duce very large between-sequence variations.

While the former parameter estimation methods cannot be used when each
sequence is the performance of a different participant, the MEM approach can be
implemented. A possible application would be to assess the effects of particular
455 experimental conditions—for example, some stimulus properties—on general
timing parameters. Then, one is not interested in making inferences about the
differences of the LPC parameters among individuals, but still, has to control
them in order to achieve unbiased estimates. This can be done by incorporating
random effects on the individual level, as illustrated by the present work.

460 Finally, another variability factor that could be controlled by the MEM is
methodological. Variability between sequences could result from the stimulus-
presenting or the performance-capturing systems.

Concluding, we presented several examples that emphasise that it is highly
relevant to include random intercept parameters into methods that estimate
465 the parameters of the LPC model from experimental data. Here, we simulated
sequences with between-sequence variability and assessed a model with a single
random intercept but such a model could also incorporate multiple and nested
random-effects.

4.3. *Limitations*

470 It must be mentioned that the eLM and the MEM approach estimated the
parameters by maximising likelihood functions. Alternatively, one could have
used restricted maximum likelihood functions, which are generally more robust
since they consider the number of degrees of freedom (Pinheiro & Bates, 2000).

In order to test this, we compared both functions by using the same observations
475 and we did not observe any significant differences. It is known that both can be
maximised to estimate the same fixed effects and that the likelihood estimate
is unbiased for large overall sample sizes (Pineiro & Bates, 2000). This is
usually the case in experimental setups. Nevertheless, future studies should
approach this question by estimating the parameters with both functions while
480 systematically manipulating the size of the sample.

A limitation might be that the presented methods require stationary asyn-
chronies. This is difficult to assure for short synchronisation periods taking
into account that synchronisation might be a highly transient process (see e.g.,
van Ulzen, Lamoth, Daffertshofer, Semin & Beek, 2008). Nevertheless, sta-
485 tionary asynchronies are an important requirement of the LPC model and we
suggest that non-stationarity should be prevented by cautiously designing the
experiments and preparing the data set for analysis, rather than being modelled
explicitly.

Another limitation of this work might be that between-sequence variabil-
490 ity values were chosen without being externally validated. For the LPC model
parameter settings, we could use settings similar to previous studies (Jacoby
et al., 2015b; Schulze & Vorberg, 2002). For the between-sequence variability,
however, we have chosen values based on several tests and theoretical plausi-
bility. Future studies should address this question and actually quantify the
495 between-sequence variability that occurs in SMS tasks.

Related to the previous limitations, the here developed methods were vali-
dated on simulated asynchrony sequences. The next step should be to validate
the methods on observations obtained from experiments.

Finally, our work was strictly concerned with the LPC model. The principal
500 assumption of the LPC model is that corrections are performed on the perceived
deviations from the participants' taps from the corresponding stimulus event
onset. Surely, this is a quite simplistic model of reality since it presumes that
even highly small asynchronies are registered by the individual. There are plenty
of studies showing that asynchronies falling into a temporal integration window

505 are actually not perceived as such and, consequently, might not be corrected
(see Vroomen & Keetels, 2010). It would be interesting to evaluate this model
regarding the inquiry of asynchrony thresholds for awareness, phase, and period
correction, etc. (see Repp, 2005).

Nevertheless, this work does not address the plausibility of the LPC model
510 but instead proposes a more flexible approach to parameter estimations, likely
to increase the applicability of the model to more complex settings. Moreover,
motivated by parsimony as a fundamental principle for developing models, the
LPC still finds great use in basic and applied research (see Jacoby, Tishby, Repp,
Ahissar & Keller, 2015b).

515 4.4. *Further Contributions*

One advantage of the approaches here developed is the existence of val-
idated software for fitting the eLM and the MEM, namely the “nlme” and
the “lmer” R-packages. Their use requires a different parametrization of the
variance-covariance matrix, but, besides being more robust, they are also quicker
520 than the bGLS method.

Moreover, in order to examine the different parameter estimation methods,
we translated the Matlab code provided by Jacoby et al. (2015b) into R code
and adjusted it for the particular question. We also implemented computational
methods presented in Pinheiro & Bates (2000), in order to flexibly modify the
525 Mixed-Effects model structure for the purpose of our study. All programs (R
codes) developed for this study are available on GitHub (2017).

4.5. *Conclusions*

In sum, we provided a general framework of Mixed-Effects Models to esti-
mate the parameters of the LPC model. We do not claim for the overall validity
530 of the LPC. A more profound exploration of the LPC applicability to a large
scope of natural settings is outside the scope of this work. Nevertheless, we
demonstrated that Mixed-Effects Models are highly useful for achieving unbi-
ased and efficient parameter estimations of the LPC from synchronisation per-
formances in SMS tasks. It remains to explore the extension of these methods,

535 to more complex and realistic models, incorporating period correction, phase
transition, and non-stationary asynchronies.

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