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Using *C. elegans* to Decipher the Cellular and Molecular Mechanisms Underlying Neurodevelopmental Disorders

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Abstract Neurodevelopmental disorders such as epilepsy, intellectual disability (ID), and autism spectrum disorders (ASDs) occur in over 2 % of the population, as the result of genetic mutations, environmental factors, or combination of both. In the last years, use of large-scale genomic techniques allowed important advances in the identification of genes/loci associated with these disorders. Nevertheless, following association of novel genes with a given disease, interpretation of findings is often difficult due to lack of information on gene function and effect of a given mutation in the corresponding protein. This brings the need to validate genetic associations from a functional perspective in model systems in a relatively fast but effective manner. In this context, the small nematode, *Caenorhabditis elegans*, presents a good compromise between the simplicity of cell models and the complexity of rodent nervous systems. In this article, we review the features that make *C. elegans* a good model for the study of neurodevelopmental diseases. We discuss its nervous system architecture and function as well as the molecular basis of behaviors that seem important in the context of different neurodevelopmental disorders. We review methodologies used to assess memory, learning, and social behavior as well as susceptibility to seizures in this organism. We will also discuss technological progresses applied in *C. elegans* neurobiology research, such as use of microfluidics and optogenetic tools. Finally, we will present some interesting examples of the functional analysis of

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genes associated with human neurodevelopmental disorders and how we can move from genes to therapies using this simple model organism.

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Keywords Neurodevelopment · *C. elegans* · Autism · Epilepsy · Intellectual disability

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Neurodevelopmental Disorders: Past, Present, and Future

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Development of a fully functional nervous system comprises many cellular and molecular events, which need to occur in a precise and ordered manner. These include cell proliferation; migration; programmed cell death; cell differentiation (involving morphological and biochemical specializations); establishment of contacts between neurons, synapses, and pruning of less efficient ones; and also establishment of specialized relationships between neurons and other cell types. Disturbances in any of these steps will lead to loss of viability, if severe, or to neurodevelopmental disorders, if more subtle. Neurodevelopmental disorders as a group occur in over 2 % of the population and comprise intellectual disability (ID), epilepsy, autism spectrum disorders (ASDs), specific reading or writing impairments, hyperactivity, and attention deficit disorder, among others. Schizophrenia is also often seen as a neurodevelopmental disturbance manifesting only in adulthood. These disorders have an important impact in society, affecting not only the patients but whole families, especially when the care network is not well structured. They may result from genetic factors or from environmental interference with normal development process, as occurs, for instance, in the case of fetal alcoholic syndrome. Some of the effects of the environment may even be potentiated by a susceptible genetic background.

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72 Recently, important advances in our knowledge of genetic
 73 causes of neurodevelopmental diseases have emerged as a
 74 result of application of novel genomic analysis technologies
 75 (reviewed in [1]). To illustrate this, the genetic basis of disease
 76 can now be identified in up to 80 % of patients with ID, when
 77 applying array comparative genomic hybridization and whole
 78 exome sequencing techniques. Additionally, many gene vari-
 79 ants putatively associated with more complex, multifactorial
 80 neurodevelopmental disturbances have also been identified in
 81 the last years using genetic linkage and association analyses.
 82 Nevertheless, following identification of novel gene variants
 83 potentially causing the disease of interest, difficulty is often
 84 the interpretation of findings, namely, lack of information on
 85 gene function and on the effect of a given mutation in the
 86 corresponding protein. This brings the need for model systems
 87 that can be used to study genes and mutations of interest in a
 88 relatively fast but effective manner.

89 **Studies of Human Neurodevelopmental Genes in Lower**
 90 **Organisms**

91 Geneticists have harnessed the power of model organisms
 92 for understanding of human gene function for many years
 93 now, with flies, yeast, and mouse leading the way. In a
 94 simpler perspective, human neuronal cell lines can be a very
 95 interesting model to study the function of genes identified as
 96 associated with human neurodevelopmental diseases, given
 97 presence of majority of molecular components. However,
 98 given their lack of integration in functional circuits; lack of
 99 interaction with other cell types, also relevant for function of
 100 the nervous system; and absence of a behavioral output that
 101 allows assessment of effectiveness of the circuits, for many
 102 studies, there is the need to use a whole organism approach.
 103 Mice have been used for this purpose with very encouraging
 104 results: globally, the structure of the human and murine
 105 nervous systems bears significant resemblance and even at
 106 the behavioral level, paradigms have been developed to
 107 analyze traits that are thought to be parallel between these
 108 two species. Rat models are even more advantageous
 109 (particularly in cognitive and social studies), but the tools
 110 for genetic manipulation have lagged behind. Disadvantages
 111 of the use of rodents are their relatively high maintenance
 112 costs and difficulty/cost/time consumption of their genetic
 113 manipulation. On the other hand, the complex structure of
 114 their nervous system, which is certainly advantageous for
 115 some studies, also presents serious constraints when trying
 116 to dissect molecular events leading to disease. In this
 117 perspective, organisms with simpler nervous systems and
 118 genetic amenability provide an elegant framework for the
 119 study of gene function and malfunction.

120 A simpler species in which neurobiology of memory has
 121 been widely studied, but in which genetic manipulation has

not been so developed, is *Aplysia californica*. *Aplysia* has a
 relatively small number of neurons, and many of them are
 enormous, allowing electrophysiological studies, individual
 neuronal manipulation, and observation of their neuronal
 architecture. Moreover, its neurons are able to form and
 store memories, have plasticity, and for several of them, a
 functional role has been determined [2]. In contrast, *Dro-*
sophila melanogaster is a model in which genetic tools are
 highly developed and which has been increasingly used in
 behavioral genetic studies, the advantage being that it has a
 brainlike structure and complex behaviors that can be
 analyzed. Moreover, identification of specific neuronal
 populations and neuron-to-behavior output has advanced
 greatly in recent years [3]. Zebrafish is also a simple model
 that has the main advantage of being a vertebrate with a high
 degree of genetic homology with mammals. Because it has a
 brain, zebrafish is often envisaged as the bridge between
Drosophila/worms and murine models. This animal model
 has been widely used to study human neurological disorders
 because of its low maintenance cost, rapid life cycle, rapid
 external embryonic development, and optical clarity of em-
 bryos and larvae, which allows observation of the nervous
 system in vivo. In addition, both gain- (overexpression of
 mutated proteins) and loss-of-function (morpholinos; zinc
 finger nuclease deletions, etc....) approaches can be con-
 sidered to study gene function [4]. Finally, for very simple
 functional genomic studies, yeast and other fungi can also
 be used, with the advantage of simplicity and ease of genetic
 manipulation but with clear limitations when it comes to
 understanding function of a gene within the nervous system.

152 ***Caenorhabditis elegans* as a Simple Model to Study**
 153 **Complex Neuronal Phenomena**

154 *C. elegans* provides a good compromise between complex-
 155 ity of vertebrates like mouse and extreme simplicity of yeast
 156 and is a reference model in studying function and malfunc-
 157 tion of the nervous system. This animal presents key advan-
 158 tages that make it unique in the field of neurosciences: first,
 159 the well-described neuronal lineage and interconnectivity
 160 provides an exceptional set up for the study of neuronal
 161 mechanisms. Second, amenability to genetic manipulation
 162 allows identification of genes important for neuronal forma-
 163 tion, migration, and activity. Third, its transparency in com-
 164 bination with existence of specific transgenic reporter
 165 strains allows in vivo monitoring of particular neuronal
 166 events, with possibility of correlating temporal patterns of
 167 neuronal activity with behavioral outcomes. Herein, we
 168 will describe the model, tools available in the field, and
 169 some of the remarkable contributions of this nematode
 170 for the understanding of nervous system function and
 171 dysfunction, and its underlying genetics, with particular

172 focus on neurodevelopmental disorders such as epilepsy,
173 ASDs, and ID.

174 **C. elegans Nervous System**

175 While neuronal wiring diagrams in higher species such as
176 rodents often present ambiguities and misinterpretations inher-
177 ent to their complexity, simplicity of the *C. elegans* nervous
178 system and its well-described anatomy and interconnectivity
179 make this model an attractive and complementary tool in the
180 field of neuroscience. The hermaphrodite *C. elegans* has 302
181 neurons divided in surprising 118 distinct neuronal classes and
182 56 glial cells, altogether comprising 37 % of all the somatic
183 cells in the worm [5, 6]. Neuronal classes include 39 classes of
184 predicted sensory neurons, 27 of motor neurons, and the
185 remainder as interneurons [7]. Lineage and morphology have
186 been described in detail [6], and there are fluorescent reporter
187 genes for almost every neuron with exquisite specificity
188 (some examples are in Table 1 and Fig. 1) [5, 8, 9].

189 Worm synapses (around 7,000) occur en passant, i.e.,
190 synaptic boutons are formed along the axon shaft [10, 11].
191 The presynaptic site bears much resemblance to those of
192 vertebrate nervous system, but the postsynaptic region ap-
193 pears to be simpler. The number of synapses between each
194 partner can go up to 19 but normally is around five synapses
195 [10, 11]. It is also possible to observe synapses in vivo by
196 using fluorescent reporter molecules such as synaptobrevin
197 (SNB-1) [12], an integral membrane protein of synaptic
198 vesicles. Importantly, this marker not only allows determina-
199 tion of synaptic density because synaptobrevin puncta corre-
200 lates with the number of synaptic vesicles in ultrastructural
201 studies but also is a measure of steady-state rates of vesicles
202 exocytosis and endocytosis (intensity of synaptobrevin in
203 axons) [13, 14]. In an elegant RNA interference (RNAi)
204 screening study that aimed to identify genes regulating GABA

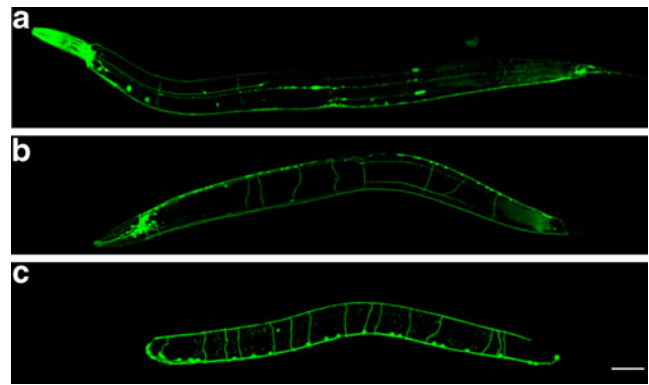


Fig. 1 Confocal pictures of commonly used *C. elegans* strains that express GFP in specific neurons. **a** Pan-neuronal expression of GFP observed in OH441 strain. This strain expresses GFP under the control of *unc-119* promoter. The function of UNC-119 is still unknown, but this protein is necessary for neuronal formation and migration, is expressed since early embryonic stages until adulthood, and is present in nearly all neurons. **b** Strain LX929 expressing GFP in all cholinergic neurons. The fluorescent protein is in frame with UNC-17, a synaptic vesicle acetylcholine transporter. **c** Expression of GFP in all GABAergic neurons in strain EG1285. The fluorescent marker is expressed under the control of *unc-47* promoter; UNC-47 is a transmembranar vesicular GABA transporter. Scale 50 μm

synapses, use of SNB-1::GFP marker allowed researchers to 205
obtain insight on the nature of different neuronal defects 206
[15]. By changing the promoter that controlled the marker, 207
one could assess synaptic condition in either inhibitory 208
(GABAergic) or excitatory (cholinergic) inputs of the neuro- 209
muscular junction (NMJ). Use of additional markers such as 210
the postsynaptic UNC-49 GABAA receptor even allowed 211
researchers to distinguish pre- from postsynaptic defects 212
[15]. Moreover, specific markers of the active zone (special- 213
ized synaptic structures that mediate neurotransmitter release) 214
were developed, such as SYD-2::GFP, which allows their 215
direct visualization [16] and isolation of mutants with 216
defective active zone morphology [17, 18]. 217

t1.1 **Table 1** Some examples of *C. elegans* strains expressing a fluorescent marker in a specific group of neurons. All referred strains are available at the Caenorhabditis Genetics Center (CGC)

t1.2	Strain	Genotype	Description	Expression pattern
t1.3	OH441	<i>otIs45 V</i>	<i>Integrated Ex[unc-119::GFP]</i>	Pan-neuronal marker
t1.4	NM440	<i>unc-104(e1265); jsIs1</i>	<i>jsIs1[pSB120 (snb-1::GFP); pRF4 (rol-6(su1006))]</i>	Nerve ring, ventral cord, dorsal cord
t1.5	SK4005	<i>zdIs5</i>	<i>zdIs5 [mec-4::GFP + lin-15(+)] (pSK1)]</i>	Touch neurons
t1.6	LX929	<i>vsIs48</i>	<i>vsIs48[unc-17::GFP]</i>	All cholinergic neurons
t1.7	EG1285	<i>lin-15B(n765); oxIs12</i>	<i>oxIs12 [unc-47p::GFP + lin-15(+)]</i>	All GABAergic neurons
t1.8	CZ333	<i>julIs1</i>	<i>julIs1 [unc-25p::snb-1::GFP + lin-15(+)]</i>	Presynaptic terminals of GABAergic DD and VD motor neurons and RME neurons
t1.9	NM306	<i>jsIs1</i>	<i>jsIs1[pSB120(snb-1::GFP) + pRF4(rol-4(su1006))]</i>	Nerve ring, ventral cord and dorsal cord
t1.10	OH7547	<i>otIs199</i>	<i>otIs199 [cat-2::GFP + rgef-1(F25B3.3)::dsRed + rol-6(su1006)]</i>	Dopaminergic neurons and dsRed expressed pan-neuronally
t1.11	BZ555	<i>egIs1</i>	<i>egIs1[Pdat-1::GFP]</i>	Dopaminergic neuronal soma and processes

218 *C. elegans* presents a stereotyped synaptic positioning,
219 both the number and type of synaptic connections formed
220 being similar between individuals (75 % reproducibility) [6,
221 10, 11, 19]. Yet, recent studies demonstrate that, as in
222 mammals, synaptic activity may play a decisive role in
223 shaping synaptic patterns after the initial pattern is established.
224 As an example, mutants with reduced cholinergic synaptic
225 transmission present enhanced sprouting of cholinergic
226 SAB neurons [20].

227 Considering its simplicity, invariant neuronal network,
228 and all the markers available, it is fairly easy to score
229 (neuro)developmental defects in *C. elegans*, making this
230 model a powerful tool to identify genes involved in neuronal
231 formation and maturation and axonal outgrowth and migra-
232 tion. Despite its simplicity, *C. elegans* neurons use an array
233 of classical neurotransmitters similar to those of mammals
234 such as acetylcholine, dopamine, serotonin, GABA, and
235 glutamate, whereas histamine, epinephrine, and norepineph-
236 rine seem to be absent [5].

237 Acetylcholine is the major excitatory neurotransmitter at
238 nematode NMJs, and more than a third of the cells release
239 acetylcholine, which is important for locomotion, egg
240 laying, feeding, and male mating [21]. Aldicarb inhibits
241 acetylcholinesterase, the enzyme responsible for hydrolysis
242 of acetylcholine, culminating in buildup of this neurotrans-
243 mitter, causing paralysis. Thus, several genes involved in
244 biosynthesis and metabolism of acetylcholine have been
245 identified by presence of the “Ric” phenotype (for resistance
246 to inhibitors of cholinesterase) in response to aldicarb or
247 other similar compounds [22].

248 As in mammals, fast excitatory neurotransmission in *C.*
249 *elegans* is mainly glutamatergic, and both excitatory and
250 inhibitory ionotropic glutamate receptors (iGluR) exist
251 [23–25]. Glutamate-gated chloride channels are also pres-
252 ent, though less studied and well understood [26]. iGluR are
253 important for locomotion, feeding, defecation, and recently,
254 were shown to be a determinant for learning and memory
255 formation. For example, *eat-4* encodes a vesicular glutamate
256 transporter highly expressed in sensory neurons that respond
257 to tapping [27–29], and deletion of this gene induces a more
258 rapid habituation to tap [29, 30], suggesting a crucial role for
259 glutamate in this type of learning. Interestingly, complemen-
260 tation with the human counterpart reverts the impairment,
261 suggesting a common functional role [31]. Furthermore, in
262 certain paradigms, worms can learn to associate paired
263 stimuli and this is dependent on *glr-1* [32].

264 Bioamines, such as canonical dopamine and serotonin
265 and the invertebrate-specific octopamine and tyramine, act
266 in both neurons and muscles to affect egg laying, pharyngeal
267 pumping, locomotion, and learning [33]. Such as in mam-
268 mals, dopamine D1 and D2 receptors (*dop-1* and *dop-3*,
269 respectively) can act antagonistically, and their balance in
270 specific dopaminergic neurons tightly controls response to

271 food [33, 34]. Similar to vertebrates and in further support of
272 common neurotransmitter systems, in *C. elegans*, exposure
273 to 6-OHDA induces programmed cell death in dopaminer-
274 gic neurons [35–37].

275 GABA is an important inhibitory neurotransmitter in *C.*
276 *elegans*, but in contrast to vertebrates where it acts at syn-
277 apses of the central nervous system, in nematodes, GABA
278 acts primarily at neuromuscular synapses, being important
279 for locomotion, defecation, and foraging [38]. GABA is
280 expressed in 26 of the 302 neurons present in *C. elegans*,
281 and the proteins involved in GABA biosynthesis and transport
282 are remarkably conserved (Fig. 2). Such as in mammals, there
283 are two types of receptors, GABA_A and GABA_B, based on
284 sequence similarity [39–42].

285 In addition to conventional neurotransmitter molecules,
286 to date, 113 genes encoding over 250 distinct neuropeptides
287 have been identified in worms [43]. These neuropeptides are
288 involved in a wide range of worm behaviors such as loco-
289 motion, egg laying, social behavior, and ethanol response
290 [43] and are expressed in both nervous and non-nervous
291 tissues. Of these, 40 encode insulinlike peptides (*ins* family),
292 31 encode FMRFamide-related peptides (FLPs), and 42
293 encode other types of peptides (neuropeptidlike peptides,
294 NLPs). Neuropeptides are short amino acid sequences that
295 act directly (as primary neurotransmitters) or indirectly to
296 modulate synaptic function. Identification of neuropeptides
297 and their receptors is a complicated task since peptides may
298 functionally overlap and are able to bind to various receptors,
299 depending on the physiological condition of the animal.
300 Among the most studied neuropeptides are members of the
301 insulinlike family, such as *ins-1*, highly expressed in neuronal
302 tissues and that have been shown to regulate reproductive
303 growth and longevity [44]. Under harsh environmental con-
304 ditions, *C. elegans* undergoes an alternative life stage, called
305 dauer, and this decision is dependent on the activity of an
306 insulinlike receptor, *daf-2*, and *daf-28*, a beta-type insulin
307 [45]. Involvement of this signaling pathway in longevity,
308 discovered in *C. elegans*, has also been identified in
309 *Drosophila* and mammals [46–48].

310 While it is undeniable that neurotransmitter systems and
311 neuropeptides are significantly conserved in *C. elegans*, we
312 cannot overlook the fact that worm findings do not always
313 mimic the human picture nor are easily translatable. As an
314 example, fluoxetine, a serotonin reuptake inhibitor, is an
315 antidepressant in humans and other mammals, while in
316 worms, it is a potent stimulator of egg-laying [49–51]; these
317 two apparently unlike phenotypes are the result of similar
318 neuronal control by serotonin. In mammals, cocaine primar-
319 ily exerts its behavioral effects by inhibiting dopamine re-
320 uptake, leading to a stimulant effect. In contrast, in worms,
321 cocaine leads to hypolocomotion and its effects are not
322 dependent on dopamine, being mediated by the ionotropic
323 serotonin receptor MOD-1 [52]. These pitfalls cannot be

GABA Signaling






	Synthesis	Receptors	Mutations	Phenotype	
	GAD1/2	GABA _A ;B GABR/A1-6, /B1-3, /G1-3, /R1-3, GABR/D/E/P/Q; GABBR1/2	GABRA1 (GABA _A) GAD1	Juvenile Myoclonic Epilepsy CPSQ1, includes seizures;	
	GAD1/2	GABA _A ;B GABAR/A1-6, /B1-3, /G1-3, /R1-3; GABBR1/2	GABRB3 (GABA _A) GABAB1 (GABA _B) GAD1 GAD2	Seizures Seizures Neonatal lethality PTZ and PTX susceptibility	Large scale drug/genetic screening 
	GAD1	GABA _A ;B RDL, LCCH3, GRH; GABABR1/3	RDL (GABA _A) GAD1	Resistance to PTX induced seizures Embryonic lethality	
	UNC-25	GABA _A ;B UNC49, GAB1; GBB1/2	UNC49 (GABA _A); UNC25	susceptibility to PTZ induced seizures	

Fig. 2 Evolutionary conserved GABAergic signaling. The proteins involved in the metabolism of GABA and its receptors are remarkably conserved in humans, mice, *Drosophila*, and *C. elegans*. Mutations in *GAD1* have been associated with recessive cerebral palsy, a condition in which patients often present seizures. Murine knockout models for *Gad1* and *Gad2* also display seizures. Wild type worms are resistant to proconvulsing effects of pentylenetetrazol (PTZ); however, knockout

animals for *unc-25* (*GAD1/2* ortholog) present PTZ-induced convulsions. *Drosophila* deletion of *Gad1* gene is lethal. Mutations in GABA_A receptors have been associated with epilepsy in humans. In mice, deletion of both GABA_A and GABA_B increases seizure susceptibility. Worm mutants for GABA_A receptor *unc-49* also present severe PTZ-induced convulsions. On the contrary, *Drosophila Rdl* knockouts are resistant to picrotoxin (PTX)-induced seizures. [137, 143, 277, 293–298]

324 neglected but this nematode is still an attractive and comple-
 325 mentary model to study cellular and molecular mecha-
 326 nisms underlying neuronal phenomena. Furthermore, its
 327 tractability, genetic amenability, and feasibility of doing
 328 large-scale analysis have led to substantial use of this model
 329 in drug and/or genetic screenings. Among all the models, *C.*
 330 *elegans* is the most cost-effective to use in high-throughput
 331 analysis and still offers the advantage of being a multicellular
 332 organism in comparison with cell culture systems or yeast
 333 (reviewed in [53]).

334 A Simple Organism Presenting Complex Behaviors

335 In contrast to its simplicity, in terms of neuronal architec-
 336 ture, *C. elegans* presents a repertoire of relatively complex
 337 behaviors. Worms can sense hundreds of different odors
 338 even at a very low concentration, discriminate among them,
 339 and generate behavioral responses that are appropriate to the
 340 cue. Similarly, *C. elegans* is able to sense a variety of
 341 noxious stimuli, including low pH, heavy metals, deter-
 342 gents, and high osmolarity [54–58], using specific sensory
 343 neurons identified by laser ablation studies (reviewed in [7]).
 344 Simplicity of the neuronal circuit allowed identification of
 345 neurons (and genes) involved in sensing and discrimination
 346 of several of these compounds. Interestingly, worms present
 347 some degree of olfactory adaptation given that naïve ani-
 348 mals will respond more than preexposed animals to a variety
 349 of signals. Moreover, *C. elegans* is capable of learning the
 350 odors of different bacteria and avoid strains that make them
 351 ill [59]; these learned olfactory behaviors are associated
 352 with neurochemical changes that induce behavioral (re)

modeling. Curiously, *C. elegans* sensory perception is also
 able to regulate its longevity, suggesting that in nature,
 lifespan may be regulated by environmental cues rather than
 being determined solely genetically [60], a finding later
 confirmed in *Drosophila* [61].

Pioneering studies have proven that worms are able to
 learn and present both short- and long-term memory under-
 lying the nonassociative form of learning—habituation [29,
 30, 62, 63]. Later, evidence showed that worms also present
 classical conditioning/associative learning using different
 types of stimuli (chemosensory and thermosensory) [32,
 64, 65]. As an example, worms chemotax to NaCl if previ-
 ously associated with food [66, 67]. Similarly, in a temper-
 ature gradient plate, worms will migrate to the food-
 associated temperature with remarkable accuracy [64, 68].
 Conversely, animals can also make a negative association if
 the attractant was previously associated with an aversive
 stimulus such as starvation [69]. In mammals, learning is
 strongly dependent on experience-dependent synaptic
 changes in glutamatergic synapses. Likewise, glutamatergic
 transmission is important for behavioral plasticity and
 learning in *C. elegans*. For example, *glr-1* (AMPA-type
 glutamate receptor) mutations block olfactory associative
 and nonassociative learning in *C. elegans* [30, 32, 70]. As
 mentioned before, *eat-4*, encoding a vesicular glutamate
 transporter, is crucial for tap habituation learning [30].

Similar to other species, distributed training (blocks of
 stimuli separated by longer resting periods) appears to
 be fundamental for long-term memory formation in *C.*
elegans, in contrast with massed training (similar num-
 ber of stimuli in just one block) [71]. *C. elegans* goes
 beyond simple learning and memory, presenting context

385 conditioning that is sensitive to latent inhibition and
386 extinction (reviewed in [7]).

387 *C. elegans* also presents some degree of social interac-
388 tion, and this is controlled by the neuropeptide Y (NPY)
389 receptor (NPR-1). Some strains, upon encountering
390 food/bacteria, reduce locomotion and disperse in the bacte-
391 ria lawn and feed individually, whereas other strains move
392 fast across the lawn and aggregate [72]. A single nucleotide
393 substitution in the receptor was shown to be sufficient to
394 transform the isolated strains to become social. In mammals,
395 NPY and its receptors are involved in regulation of food
396 consumption, anxiety, and stress resilience (reviewed in
397 [73]), a somewhat different role from that in nematodes.
398 Yet, recent work suggests that social isolation can induce
399 expression changes in NPY in mammals [74] and that
400 administration of an antagonist of NPY receptor subtype 2
401 (Y2R) can revert nicotine-induced social anxiety [75],
402 suggesting that NPY can also play a key role in social
403 behavior in higher species.

404 Cutting Edge Tools in the Field

405 Due to its size and easy and unexpensive maintenance and
406 tractability, *C. elegans* is suitable to large genetic and drug
407 screenings. This is a noteworthy benefit of using this model
408 in the initial study of several disorders, including those of
409 the nervous system. Generation of knockout and transgenic
410 strains is a relatively straightforward process and certainly
411 less time- and money-consuming than in other species.
412 Apart from classical mutagenesis (chemically induced or
413 by radiation), we can also take advantage of RNAi, a tech-
414 nique that is well established in worms and works for most
415 genes. However, systemic delivery of RNAi (usually by
416 feeding worms with bacteria expressing the interest dsRNA)
417 occasionally masks pertinent neuronal phenotypes and com-
418 monly neurons are refractory to classic RNAi [76]. Since
419 RNAi is a powerful tool to ascertain gene function, several
420 groups have tried to overcome difficulty of achieving effi-
421 cient neuronal RNAi silencing in *C. elegans* either by using
422 specific RNAi-sensitive strains [77] or simply based on the
423 expression under neuronal specific promoters of sense and
424 antisense RNAs corresponding to the gene of interest [78].
425 Others have developed a knockdown technique based on the
426 in vivo expression of heritable inverted-repeat genes. This
427 approach allows effective gene inactivation in the nervous
428 system in a time-specific manner using inducible promoters,
429 for example. Moreover, stable lines harboring the transgene
430 can be easily maintained [79]. Besides deletion/knockdown
431 of specific genes, increasing evidence suggests that several
432 neurodevelopmental disorders present a dosage defect rather
433 than a loss-of-function mutation. In this perspective, *C.*
434 *elegans* is still a very attractive model, since it is fairly easy

435 to create transgenic animals and control expression of genes
436 with temporal and cellular specificity by use of specific
437 promoters.

438 In the last years, several technical improvements have
439 been implemented in the study of *C. elegans* nervous sys-
440 tem, some of them simple to set up and some other requiring
441 a significant optimization process. Live imaging is particu-
442 larly attractive and simple to use in *C. elegans* considering
443 its transparency and well-described anatomy. In addition to
444 fluorescent markers that tag specific neuronal populations,
445 one can monitor neuronal excitability in vivo and in freely
446 moving animals by live calcium imaging [80]. For example,
447 calcium imaging studies determined that the AWC neuron
448 responds to temperature changes and that response thresh-
449 olds differ depending on previously experienced tempera-
450 ture [81]. Using the same technique, others have shown that
451 the AFD neuron transmits both stimulatory and inhibitory
452 temperature signals and that the activity of this neuron is
453 compromised in animals depleted for CREB, a protein nec-
454 essary for memory and learning [82]. This technique allows
455 multiple neuronal recording and temporal correlation of
456 neuronal activity but it is always dependent on imaging
457 methods and is often inadequate to detect subthreshold
458 membrane potential changes [83]. Other precise but drasti-
459 cally more invasive methods have been adopted, such as
460 electrophysiological measurements, though several con-
461 straints exist considering the highly pressurized *C. elegans*
462 body and the small size of its neuronal cell bodies (reviewed
463 in [84]). Nevertheless, with careful dissection and some
464 training, it is possible to obtain reliable data using this
465 technique. Patch clamping was initially performed in the
466 pharynx, in which the contraction (as in other fast muscles)
467 is controlled by changes in membrane electrical potential
468 because it was easier to identify and access. By recording
469 pharyngeal activity, several studies have identified muta-
470 tions in presynaptic proteins [85] and ion channels [86].
471 Later studies were performed in exposed neurons from
472 dissected animals [87] and some were even able to record
473 touch response currents from PLM mechanosensory neu-
474 rons [88]. Electrophysiological recording of both endoge-
475 nous excitatory and inhibitory postsynaptic currents of the
476 NMJ was an excellent tool to identify important genes in the
477 control of GABA or acetylcholine release [89]. An adapta-
478 tion of this technique was also applied to record currents
479 from head neurons with success [90–92].

480 Besides genetic manipulation of selected neuronal sub-
481 types, it is possible to perform specific neuronal laser abla-
482 tion in *C. elegans* in order to better dissect the function of a
483 particular neuron or group of cells. This technique was used
484 with success to scrutinize the neural circuit underlying ha-
485 bituation [93, 94], thermotaxis [95, 96], and head-touch-
486 mediated backward movements [93, 97]. Manipulations of
487 the timing of laser ablation during the training process of the

488 animals even allowed researchers to understand the kinetics
 489 of habituation [94]. Genetically induced cell death is also
 490 possible in worms through, for example, ectopic expression
 491 of a dominant version of the *mec-4* allele, which encodes a
 492 subunit of a candidate mechanotransducing channel.
 493 Overexpression of *mec-4*-dominant allele is thought to ele-
 494 vate ion influx through the channel, leading to vacuolation
 495 of several cell types, including neurons and muscular cells
 496 [98]. Another example is use of light-inducible and tissue-
 497 selective expression of mini singlet oxygen generator
 498 (miniSOG), a newly engineered protein that generates sin-
 499 glet oxygen upon blue light excitation, leading to cellular
 500 death without detectable damages to surrounding tissues
 501 [99]. More recently, laser ablation has emerged as an excel-
 502 lent tool to study the process of neuronal regeneration.
 503 Using high-energy pulses, it is possible to sever axons
 504 (axotomy) and then perform subsequent regeneration
 505 studies [100, 101].

506 Pioneering techniques such as optogenetics have also
 507 been employed in worms with great success [102–104].
 508 First, by manipulating the release of acetylcholine or GABA
 509 at the NMJ using targeted expression of channel rhodopsin-
 510 2, researchers were capable of analyzing neurotransmission
 511 with high temporal precision [105, 106]. Later, researchers
 512 developed a new system that allows manipulation of neural
 513 activity with high spatial and temporal resolution, enabling
 514 control of locomotion in real time [107]. Further expansion
 515 of this technique by combination with microfluidics tech-
 516 nology and computer automation was developed in order to
 517 reach higher throughput and improve standardization and
 518 consistency in data gathering. In addition, it is possible to
 519 infuse drugs during optogenetic manipulations using
 520 microfluidics, providing a significant contribution for the
 521 study of synaptic function, for example [106]. Other opsins,
 522 namely, archaerhodopsin-3, a neuronal silencer, were
 523 recently applied in the study of the *C. elegans* nervous
 524 system [108].

525 Recently, a high-throughput microfluidic approach has
 526 been used for automatic identification and sorting of *C.*
 527 *elegans* mutants with possible neurodevelopmental or
 528 neurodegenerative phenotypes by using a GFP marker for
 529 GABAergic motor neurons, with impressive speed and
 530 efficacy [109].

531 *C. elegans* in the Study of Human Disorders

532 Despite its evolutionary distance from mammals, *C. elegans*
 533 possesses thousands of genes orthologous to humans [110].
 534 Worms have allowed insight into molecular mechanisms
 535 underlying neurodegenerative disorders such as tauopathies
 536 [111, 112], Alzheimer's disease [113–115], Parkinson's
 537 disease [116, 117], polyglutamine disorders [118–122],

juvenile neurolipofuscinosis [123], and amyotrophic lateral 538
 sclerosis [124], among others [125]. Most of the models 539
 involve transgenic expression of the human protein 540
 containing the mutation in specific tissues/neurons. For 541
 example, overexpression of an expanded polyglutamine 542
 tract in *C. elegans* neurons induces protein aggregation in 543
 vivo, and selective neuronal toxicity and motility defects 544
 [118, 126], equivalent to humans and mouse models. Pan- 545
 neuronal expression of mutated tau caused progressive 546
 motor uncoordination and accumulation of insoluble 547
 hyperphosphorylated tau in *C. elegans*. These animals 548
 presented substantial neurodegeneration, with axonal dis- 549
 ruption and presynaptic defects [112, 126]. This model 550
 was later used to mechanistically dissect tau-induced 551
 neurodegeneration and to identify drugs/genes that inhibit 552
 tau toxicity [111, 127–130]. 553

554 Reverse genetics is another way of dissecting the biolog-
 555 ical role of a given gene and to better understand how loss of
 556 function mutations originates specific neuronal deficits.
 557 Mutations in presenilin genes cause one form of aggressive
 558 familial Alzheimer's disease. The two worm orthologous
 559 genes, *sel-12* and *hop-1*, are required for correct morphology
 560 and function of two cholinergic neurons involved in temper-
 561 ature memory formation [115]. Interestingly, insertion of the
 562 wild type human gene, but not a mutated form, is able to revert
 563 neuronal deficits and memory impairment, suggesting an
 564 overlapping function between worm and human coun-
 565 terparts [115].

566 However, this remarkable resemblance of the model with
 567 the human picture is not always obvious. In humans, muta-
 568 tions in either *PKD1* or *PKD2* genes cause almost indistin-
 569 guishable clinical symptoms, leading to polycystic kidney
 570 disease (PKD). Mice PKD models develop cysts in the
 571 kidney and other organs, similarly to humans. Deletion of
 572 worm orthologous genes, *lov-1* and *pkd-2*, provided appar-
 573 ently discrepant and unrelated outcomes. No differences
 574 were found in the very rudimentary excretory system of *C.*
 575 *elegans* mutants; rather, *lov-1* mutation affected mating be-
 576 havior in male worms [131]. This mating defect was due to
 577 dysfunctional cilia, and amazingly, later findings have
 578 shown that PKD proteins were expressed in cilia of kidney
 579 cells and that ciliary dysfunction could be responsible for the
 580 formation of cysts [132]. Whereas at first glance the findings
 581 in worms were odd, they certainly contributed to the under-
 582 standing of the biological process underlying PKD. These
 583 results are quite interesting in the light of recent evidence
 584 suggesting that many human neurodevelopmental problems
 585 are linked to mutations in primary cilia formation (ciliopathies)
 586 [133], making *C. elegans* an appealing model to study the
 587 molecular basis of these disorders.

588 In fact and apart from being a great model to study the
 589 mechanisms of neurodegeneration, worms are very appeal-
 590 ing in the study of neurodevelopment disorders such as 590

591 epilepsy, ID, and ASDs, considering the existing know-how
592 of neuronal connectivity in this animal. Whereas environ-
593 ment can play a fundamental role in development of these
594 disorders, numerous studies have shown that they have a
595 strong genetic basis, with either monogenic or polygenic
596 etiology. However, though various genetic studies
597 pinpointed specific regions associated with these disorders,
598 the functional validation of the findings has often been
599 neglected. In fact, for a large proportion of the genes found
600 to be associated with epilepsy, ID, and ASDs, nothing is
601 known about their function or consequences of their muta-
602 tion in the nervous system. *C. elegans* could be envisaged as
603 an appealing biological platform, and the argument that *C.*
604 *elegans* is too simple and limited in the behavioral repertoire
605 to study these complex disorders is being abandoned in the
606 light of evidence previously discussed. First, *C. elegans*
607 displays complex behaviors such as learning and habit for-
608 mation and even presents some degree of social interaction;
609 second, the neurotransmitters/receptors and the basis of
610 neuronal mechanisms are remarkably conserved, and thus,
611 the neurobiological basis of human disease can be explored
612 in detail in this model. In the next section, we will give
613 some insights on emerging worm models in the study of
614 the molecular mechanisms underlying epilepsy, ASDs,
615 and ID.

616 *C. elegans* as a Model to Study Epilepsy

617 Epilepsy is estimated to affect 1–2 % of the population
618 worldwide, and around 40 % of the cases are thought to
619 have a genetic basis. Epilepsy is characterized by repeated
620 seizures (or convulsions), which are episodes of disturbed
621 brain activity, i.e., abnormal, excessive, or hypersynchronous
622 neuronal activity in the brain. Mutations in several genes have
623 been linked to different types of epilepsy, including many
624 genes that code for protein subunits of either voltage-gated
625 or ligand-gated ion channels [134–136]. Numerous genetically
626 engineered mice/rats have been developed to study epilepsy
627 and to better understand the contribution of specific genetic
628 mutations for the development of the disease [137–142].

629 Other cases of idiopathic-generalized epilepsy are com-
630 patible with a multigenic mode of inheritance and are most
631 likely the result of additive interaction of multiple suscepti-
632 bility genes contributing to disease. However and although
633 every year several genetic associations are reported, most
634 lack biological/functional validation. This flaw is a conse-
635 quence of the high cost, in terms of money and time, of
636 creating novel genetically modifiable murine mutant models
637 for each gene. In this context, simpler and genetically ame-
638 nable animal models such as worms are essential tools in
639 dissection of gene function and contribute to the understand-
640 ing of phenotype(s)/genotype relationships (Table 2).

Seizures are caused by an unbalance in either the excit- 641
atory and/or inhibitory input. In this context, simplicity of 642
the *C. elegans* locomotor circuit may be crucial in studying 643
seizure susceptibility. Whereas cholinergic innervation ex- 644
cites muscles to contract alternately on the ventral or dorsal 645
side, it simultaneously activates GABAergic inhibition to 646
relax muscles on the opposite side. Wild type worms do not 647
naturally display seizures, but null mutants for *unc-43*, a 648
calcium/calmodulin-dependent serine/threonine kinase II 649
(CaMKII) that regulates synaptic plasticity, were reported 650
to present spontaneous convulsions [143]. 651

Somehow contradictory to the evidence in rodents, re- 652
searchers found that wild type animals are resistant to 653
GABA(A) receptor antagonist Pentylentetrazol (PTZ), a 654
potent compound that induces seizures in mammals. How- 655
ever, in specific sensitized genetic backgrounds, PTZ can 656
produce different types of convulsions, depending on mol- 657
ecules and circuits affected. For example, *unc-25* (GABA 658
synthesis) mutants display repetitive contractions in the 659
head, while *unc-43* mutants present full-body convulsions 660
(Fig. 2) [143]. The definitive proof of concept for the use of 661
C. elegans to study epilepsy is the confirmation that the 662
epilepticlike phenotype was a result of the abnormal syn- 663
chronous activity of specific neurons. Using calcium imag- 664
ing, researchers found that *unc-43* animals displayed 665
aberrant intestinal calcium oscillations that were reflected 666
in abnormal defecation rhythm [144], raising the hypothesis 667
that the same could occur in neurons, increasing suscepti- 668
bility to seizures. 669

A mutation (gain of function) in a neuronal acetylcholine 670
receptor, *acr-2*, causes spontaneous muscle convulsions in 671
C. elegans due to cholinergic overexcitation accompanied 672
with a decreased GABAergic inhibition in the locomotor 673
circuit [145]. Mutations in human acetylcholine receptors 674
have also been associated with epilepsy [146]. Additional 675
studies have shown that this epilepsylike phenotype is de- 676
pendent on the activity of the TRPM nonselective cation 677
channel *glt-2*, which plays a role in ion homeostasis. Re- 678
searchers have suggested that the convulsions were the 679
result of a local ionic imbalance [145] and that *glt-2* loss 680
of function could counterweigh the excitation–inhibition 681
imbalance caused by *acr-2* (rather than affecting basal syn- 682
aptic transmission), probably through ion level modifica- 683
tion. In further support of this hypothesis, they show that 684
altering Zn^{2+} homeostasis (but no Mg^{2+}), had an anticon- 685
vulsant effect, analogously to *glt-2* loss of function. These 686
promising and groundbreaking results may be translated 687
into the human picture, since: (1) TRPM channels from 688
other species also show permeability to divalent cations, 689
including Zn^{2+} [147], and (2) manipulation of Zn^{2+} can 690
activate acetylcholine receptors while inhibiting some 691
GABA receptors [148, 149]. This study revealed a new role 692
for ion homeostasis in seizure susceptibility and highlighted 693

Table 2 Epilepsy-related genes. Studies in *C. elegans* that added important value to our understanding of the function and malfunction of human genes associated with epilepsy

	Gene	Function	<i>C. elegans</i> findings	Disease association
t2.1				
t2.2				
t2.3	<i>CAMK2D</i>	Isoform delta 4 of calcium/calmodulin-dependent protein kinase type II; regulation of Ca ²⁺ homeostasis; synaptic plasticity	<i>unc-43</i> is required for locomotion, neuronal cell fate specification and regulation of synaptic density, among others [275] <i>Unc-43</i> mutants present full-body convulsions [143]	Polymorphism in <i>CAMK2D</i> gene is associated with seizure susceptibility of Sprague-Dawley rats [276]. No information about its association with human neurodevelopmental disorders
t2.4				
t2.5	<i>GADI</i>	GABA neurotransmitter biosynthetic enzyme, glutamic acid decarboxylase (GAD)	<i>unc-25</i> encoder is required for GABA synthesis and GABA-mediated behaviors <i>unc-25</i> mutants present head-bobbing convulsions [143]	Mutation in this gene associated with autosomal recessive spastic cerebral palsy-1, which includes seizures [277]
t2.6				
t2.7	<i>CHRNA7</i>	Nicotinic acetylcholine receptors (nAChRs); ligand-gated ion channels that mediate fast signal transmission at synapses	<i>acr-16</i> is required for the major fast cholinergic excitatory current at NMJ. ACR-16 localizes to postsynaptic regions and is regulated by a Wnt signaling pathway [275] <i>acr-16</i> mutants present reduced synaptic depression at the NMJ; imbalance in excitatory-inhibitory input [278]	Is within the frequent 15q13.3 microdeletion that is associated with idiopathic generalized epilepsy [151, 152]
t2.8				
t2.9	<i>CHRNA3</i>	Nicotinic acetylcholine receptors (nAChR)	<i>acr-2</i> mutants present spontaneous muscle convulsions due to cholinergic excitation and decreased GABAergic inhibition [145]	Associated with lung cancer [279]. Genes encoding similar proteins have been linked with epilepsy (CHRNA2, CHRNA4, and CHRNA2) [153]. No information about its association with human neurodevelopmental disorders
t2.10	<i>STXBP1</i>	Syntaxin-binding protein; plays a role in release of neurotransmitters via regulation of syntaxin; regulation of synaptic vesicle docking and fusion	<i>unc-18</i> functions as a chaperone for UNC-64/syntaxin; it enables vesicle docking in synaptic regions before vesicle priming and fusion; it promotes synaptic vesicle exocytosis [275] <i>unc-18</i> mutants present reduced vesicle docking and are resistant to aldicarb (acetylcholinesterase inhibitor) [163]	Associated with intellectual disability and epilepsy [161] and early infantile epileptic encephalopathy [280]
t2.11				
t2.12	<i>LISI</i>	<i>LISI</i> : microtubule association protein that interacts with dynein, doublecortin, and NudE (nuclear distribution E (NudE) family of proteins) members	<i>lis-1</i> mutants are sensitive to PTZ, displaying full-body convulsions [143]. Deletion of genes of the “ <i>lis-1</i> pathway” also seems to increase susceptibility to seizures, eventually by decreasing GABA threshold [175]. Several of these genes are important for GABAergic synaptic vesicle location [175]	<i>LISI</i> is a key gene underlying lissencephaly [168, 171]
t2.13	<i>DCX</i>	Doublecortin; directs neuronal migration by regulating the organization and stability of microtubules	<i>zyg-8</i> is a microtubule organizer in worm neurons; controls cell body shape/polarity and process outgrowth and morphology of the six touch receptor neurons and motor neurons as well as other neuronal and non-neuronal cells [281, 282]	Mutations in <i>doublecortin</i> cause abnormal migration of neurons during development leading to epilepsy, mental retardation, and lissencephaly in males [172, 283, 284]
t2.14	<i>NudE</i> family	<i>NDE1</i> : interacts with other centrosome components as part of a complex that regulates dynein function; essential role in microtubule organization, mitosis, and neuronal migration	NudE homologs— <i>nud-2</i> and <i>nud-1</i> mutants present PTZ-induced tonic-clonic convulsions [175]	Mutations in <i>NDE1</i> gene causes lissencephaly [285]
t2.15	<i>NDELI</i>	<i>NDELI</i> : required for microtubule organization and anchoring at the centrosome; also positively regulates the activity of dynein and neurite outgrowth		No information about disease-associated mutations in <i>NDELI</i>

Table 2 (continued)

Gene	Function	<i>C. elegans</i> findings	Disease association
t2.18 <i>DYNC1H1</i>	Dynein heavy chain; microtubule-activated ATPases that have been implicated in a variety of intracellular motility, including retrograde axonal transport, among others	Dynein heavy chain homologs: <i>dhc-1</i> mutants display convulsions [175]	Mutations in <i>DYNC1H1</i> have been found in individuals suffering from severe intellectual disability and that present seizures [286]
t2.19 <i>CDK5</i> and <i>p35</i>	<i>CDK5</i> : phosphorylation of both high molecular weight neurofilaments and microtubule-associated protein tau <i>p35</i> : neuron-specific activator of <i>CDK5</i> . The complex <i>p35/CDK5</i> is required for neurite outgrowth and cortical lamination; dendritic spine morphogenesis	<i>CDK5</i> and <i>p35</i> homologs: <i>cdk-5</i> and <i>cdka-1</i> mutants present PTZ-induced convulsions	<i>CDK5</i> is necessary for neuronal formation and differentiation [287] Mice knockout for <i>p35</i> present cortical defects and seizures [288] No information about its association with human neurodevelopmental disorders
t2.21			
t2.22 <i>RAC1</i>	RAS superfamily of small GTP-binding proteins; regulation of diverse cellular events, including the control of cell growth and cytoskeletal reorganization	<i>RAC-1</i> homologs: <i>ced-10</i> and <i>mig-2</i> mutants present PTZ-induced convulsions [176]	No information about its association with human neurodevelopmental disorders
t2.23 <i>TRIO</i>	Promotes the exchange of GDP by GTP	Worm homolog, <i>unc-73</i> , presents PTZ-induced seizures [176]	No information about its association with human neurodevelopmental disorders

TRPM channels as new players in this process, which can now be further explored in higher organisms and eventually used to develop novel pharmacological approaches.

Another study has shown that increasing temperature in combination with exposure to higher levels of salts (NaCl and MgCl₂) triggers abnormal neuronal bursts in *C. elegans*. Baccoside A, a molecule found in extracts of the plant *Bacopa monnieri*, which has been shown to inhibit excitatory neurotransmission by blockade of calcium channels, significantly reduced seizure/convulsion at higher temperatures, eventually by modulating calcium entry in the cells [150]. Moreover, T-type Ca²⁺ channel mutant *cca-1* does not present seizures at any stage, suggesting that additional studies are required to dissect how this molecule works and the contribution of these channels for epilepsy.

The *CHRNA7* gene encodes the subunit alpha 7 of nicotinic acetylcholine receptors (nAChRs), members of a superfamily of ligand-gated ions mediating fast signal transmission at synapses. *CHRNA7* has been associated with several neurodevelopmental disorders, namely, epilepsy, ID, and schizophrenia [151, 152]. *CHRNA7* is a very strong candidate gene for epilepsy involvement, as genes encoding other subunits of nAChRs, e.g., *CHRNA2*, *CHRNA4*, and *CHRNB2*, are known to be associated with autosomal-dominant nocturnal frontal lobe epilepsy [153]. *C. elegans* possesses one of the largest nAChR families known for any organism and a combination of genetic, microarray, physiological, and reporter gene expression studies has added greatly to our understanding of the components of nematode muscle and neuronal nAChR subtype [154]. The *C. elegans* ortholog of *CHRNA7* is *acr-16* [155, 156], which encodes a similar subunit and works as a ligand-gated ion channel that is required for the major fast cholinergic excitatory current at *C. elegans* NMJ [157]. One elegant study has shown that in the NJM, one single stimulus is able to induce prominent long-lasting depression in acetylcholine motor neurons. This phenomenon is highly dependent on desensitization of the postsynaptic acetylcholine nicotinic receptor ACR-16 but not on its counterpart acetylcholine levamisole receptor UNC-38 [158]. *Acr-16* mutants presented slower synaptic depression in comparison with wild type animals, suggesting that *acr-16* plays a key role in the balance of excitatory and inhibitory inputs. Interestingly, similarities between worm and human nAChRs go beyond receptor function. The conserved Wnt pathway seems to be crucial for correct translocation of some types of nAChR into the pre- or postsynaptic membranes. In mammals, Wnt7a regulates presynaptic localization of α7-nAChRs [158]. Likewise, in worms, Wnt ligand CWN-2 binds to CAM-1/LIN-17 (Ror receptor tyrosine kinase/Frizzled) heteromeric receptors, activating downstream effector DSH-1 (dishevelled), which regulates ACR-16 translocation into the postsynaptic membrane [159]. Mutants of all of these players present

747 accumulation of nonsynaptic ACR-16 and a significant reduction in synaptic current (Fig. 3b). However and despite this
 748 evidence suggesting altered excitatory–inhibitory synaptic
 749 balance, it remains to be determined if these mutants present
 750 enhanced seizure susceptibility.
 751

752 *STXPB1* (syntaxin-binding protein 1) encodes a neuronal
 753 specific syntaxin-binding protein, the mammalian homolog
 754 of the *C. elegans unc-18* gene [160]. Mutations in *STXPB1*
 755 have been found to lead to autosomal dominant epilepsy and
 756 ID [161]. The *C. elegans unc-18* gene was first identified as
 757 being required for maintenance of acetylcholine levels
 758 [162]. *Unc-18* is required for neurotransmitter release and
 759 regulation of vesicle exocytosis via SNARE interaction
 760 (Fig. 3a) [163, 164]. Accordingly, worms lacking functional
 761 *unc-18* show resistance to paralysis induced by aldicarb, an
 762 acetylcholinesterase inhibitor [15]. This mechanism is evo-
 763 lutionarily conserved, and as has been shown for *unc-18*,

STXPB1 also binds to syntaxin-1, a SNARE protein involved in synaptic vesicle docking and fusion, and seems to act in the control of vesicle docking as well as the regulation of the vesicle fusion rate [165, 166]. In addition, it has previously been shown that mice lacking *Munc18-1* suffer from complete loss of neurotransmitter release from synaptic vesicles throughout development [167]. Aldicarb resistance can suggest a different neuronal excitability in these mutants, which would be interesting to explore in the context of seizure susceptibility.

Lissencephaly is a nervous system disorder characterized by a “smooth brain,” lacking convolutions or gyri due to abnormal neuronal migration and poor survival of cortical neurons during development. Lissencephaly can be caused by mutations in the *TUBA1A*, *LISI*, *ARX*, *DCX*, and *RELN* genes [168–174], among others, and several point mutations in these genes have been identified; importantly, patients

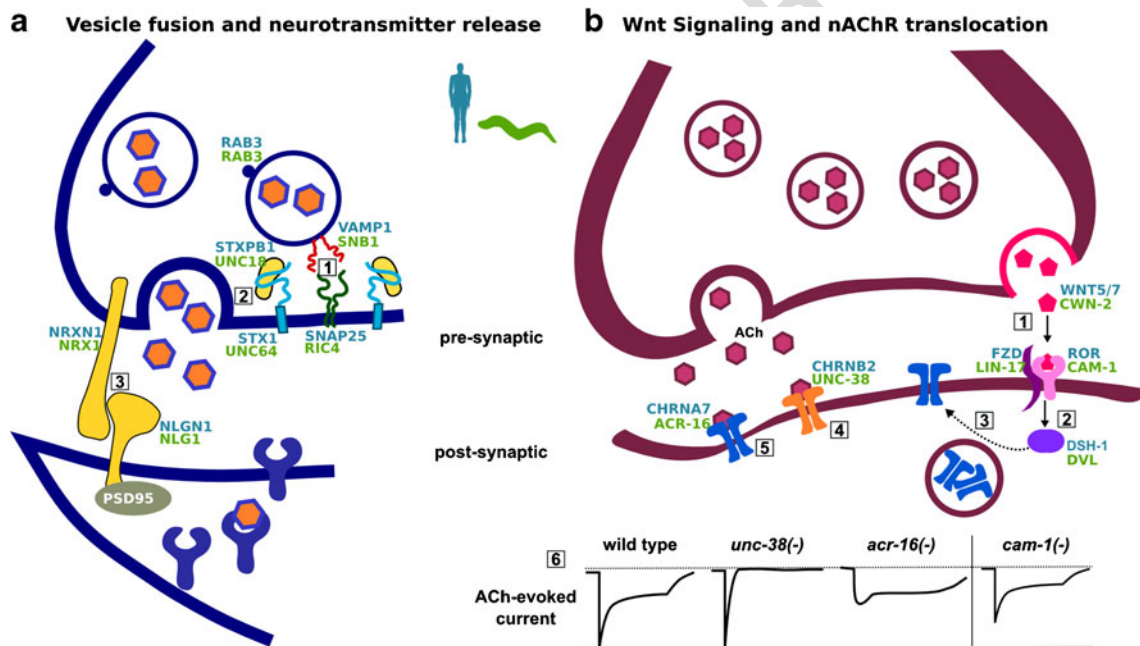


Fig. 3 Conserved neuronal pathways between *C. elegans* and humans, which are relevant in the context of different neurodevelopmental disorders. **a** At the presynaptic site, the conserved SNARE complex mediates vesicle fusion and neurotransmitter release to the synaptic cleft (1). *STXPB1* (*UNC-18* ortholog) binds to the SNARE protein *STX1* (*UNC-64* ortholog) (2) regulating this process. Mutations in *STXPB1* are associated with epilepsy and intellectual disability. *NLG-1* and *NRX-1* are the *C. elegans* orthologs of neuroligins and neurexins, which are conserved cell adhesion proteins essential for synapse formation, maturation, and stability (3), and have been implicated in autism spectrum disorders. **b** Representative scheme of a cholinergic synapse between a motor neuron and a muscle cell in *C. elegans*. The translocation of nicotinic acetylcholine (ACh) receptors (nAChRs) may require conserved members of the Wnt signaling pathway in worms and humans. In *C. elegans*, *CWN-2* (*Wnt* ligand; *Wnt5* ortholog) binds to *CAM-1/LIN-17* heteromeric receptors (1) (*CAM-1*: Ror receptor tyrosine kinase ortholog; *LIN-17*: frizzled ortholog), which activate downstream signal transduction molecule *DSH-1*

(disheveled (*DVL*) ortholog) (2). This pathway is necessary for correct translocation of nAChR *ACR-16* to the postsynaptic synapse (3). Mutants for all of these genes present a reduction in synaptic current (6) (an example of an ACh-evoked current in *cam-1(-)* is shown). This Wnt-dependent translocation pathway seems to be conserved in humans, since *Wnt7* is required for presynaptic localization of nAChRs in hippocampal neurons. ACh binds to either levamisole-type receptor *UNC-38* (4) (ortholog of *CHRNB2*) or to nicotinic-type receptor *ACR-16* (5) (ortholog of *CHRNB7*). 6 ACh induces rapid and complete desensitization of nicotinic *ACR-16* receptors (*unc-38(-); acr-16(+)*), whereas its effect in levamisole *UNC-38* receptors is less pronounced (*acr-16(-); unc-38(+)*). This is translated into faster and slower synaptic depression in *unc-38* and *acr-16* mutants, respectively. This disturbance of excitatory–inhibitory balance may increase seizure susceptibility in worms. In humans, several mutations in different nAChRs have been associated with epilepsy. Human genes are depicted in blue and worm orthologs in green. [151–153, 158, 159, 161, 182–184, 186, 278, 280]

781 often suffer from intractable epilepsy. *C. elegans lis-1* mutants
782 present more than 70 % of lethality, and the survivors
783 present marked seizure susceptibility when exposed to PTZ
784 [143], which works by lowering a threshold of GABAergic
785 response, revealing sensitized neuronal states, which would
786 otherwise not manifest in normal conditions. No major
787 defects were observed in neuronal architecture, but severe
788 presynaptic defects in GABAergic vesicle distribution
789 were found in these mutants [143]. Later studies have
790 analyzed mutants for other genes of the *lis-1* pathway
791 and identified further “seizure-sensitive” genetic backgrounds
792 [175].

793 LIS-1 interacts with dynein, a well-characterized motor
794 protein, regulator of microtubules and involved in vesicle
795 and organelle transport. Considering the fact that integrity of
796 neural cytoskeleton is essential for regulation of intrinsic
797 neuronal activity, it is not so surprising that dynein mutants
798 also present enhanced PTZ sensitivity [175]. Likewise, mu-
799 tants for Rac GTPases, actin polymerization regulators,
800 demonstrated a robust behavioral response to PTZ and also
801 exhibited hypersensitivity to aldicarb (an acetylcholinester-
802 ase inhibitor), suggesting a deficit in inhibitory neurotrans-
803 mission [176]. Aldicarb causes body paralysis, resulting
804 from accumulation of acetylcholine at the NMJ; hence,
805 mutations that reduce synaptic transmission cause resistance
806 to aldicarb and vice versa. Another study has identified
807 several endocrine molecules and kinases that regulate
808 GABA transmission in worms, which inactivation increased
809 activity of body muscles, which is directly controlled by
810 GABAergic neurons [15]. Of the 90 positive candidate
811 genes, 21 had previously been associated with seizures,
812 reflecting the value of this model in the study of seizure
813 susceptibility [15].

814 Treating seizure-susceptible strains with antiepileptic
815 compounds would go in further support of the use of *C.*
816 *elegans* in the study of epilepsy. However, pharmacological
817 results in *C. elegans* in this regard are not so straightforward
818 to interpret. Anticonvulsants such as valproic acid,
819 ethosuximide, or trimethadione, significantly extend the life
820 span of *C. elegans* [177, 178], a peculiar phenotype that is
821 not easily translatable to the human context. Interestingly,
822 combined treatment of animals with valproic acid and
823 trimethadione produced an additive effect in longevity,
824 suggesting different signaling pathways, and suggested that
825 modulation of neuronal activity may control longevity sig-
826 nals [177]. Indeed, these compounds modulate neuronal
827 activity in worms, since it was found that trimethadione
828 treatment caused hypersensitivity to aldicarb, indicative of
829 neuromuscular activity stimulation [178]. We believe that
830 the studies about the effects of these drugs in *C. elegans* can
831 go beyond behavioral evaluation. For example, valproic
832 acid functions as a histone deacetylase inhibitor and has
833 been exploited in the context of several pathologies,

including cancer. By doing a cross-species functional genomic
approach and in an attempt to improve therapeutic efficacy of
this drug, Forthun et al. have identified novel conserved
sensitizers and synthetic lethal interactors of valproic acid
[179]. A similar approach could be employed to identify
seizure susceptibility/resilience pathways.

Studies with proconvulsant drugs have originated find-
ings that are more straightforward to analyze. PTZ is able to
elicit seizures in genetically sensitive backgrounds. More-
over, levamisole, known to activate neuronal nAChRs,
which is able to provoke seizures in mammals [180, 181],
induces hypercontracted paralysis of wild type nematodes,
usually followed by relaxation and death.

Worms and Social Behavior: Relevance for the Study of Autism Spectrum Disorders

ASDs comprise a range of conditions, sometimes classified
as pervasive developmental disorders, which involve one or
more of the following characteristics: (1) abnormal social
behavior, (2) deficits in communication, and (3) presence of
stereotyped and repetitive behaviors and obsession with
routines (DSM-IV). Due to inherent complexity of ASD
symptoms, the use of *C. elegans* as a model system to study
this group of disorders is controversial. However, since
altered neuronal migration/connectivity or deficits in
synaptic transmission has been proposed to be at the basis
of etiology of numerous cases of ASDs, even if *C. elegans*
does not fully recapitulate core symptoms of ASDs, it can
still be very useful to dissect neuronal events leading to
these conditions (Table 3).

Mutations in genes encoding neuroligin, neurexin, and
shank proteins alter synaptic function and have been
reported to underpin ID and ASDs [182–186]. Neuroligins
are postsynaptic cell adhesion proteins that bind specifically
to presynaptic proteins called neurexins (Fig. 3a). Both are
present in excitatory and inhibitory synapses and are crucial
for correct neuronal network formation and synapse
maturation, stability, and transmission. *C. elegans nrx-1*
and *nlg-1* genes are orthologous to human *NRXN1* and
NLGN1 genes, respectively, with the corresponding proteins
presenting similar functional domains [187, 188]. NGL-1 is
expressed in a subset of neurons, and neuroligin-deficient
mutants are viable, with no overt phenotype. However, these
animals are defective in a subset of sensory behaviors and
sensory processing and are hypersensitive to oxidative stress
and mercury compounds [188–190]. Difficulties with pro-
cessing and/or integration of sensory inputs are often part of
the presentation of ASDs, though no sensory deficits have
been recognized officially [191]. In this context, it is partic-
ularly interesting that *nlg-1* mutants have deficits in the
processing of conflicting sensory inputs, as measured in an

Table 3 Intellectual disability (ID)-related genes. Studies in *C. elegans* that added important value to our understanding of the function and malfunction of human genes associated with ID

Gene	Function	<i>C. elegans</i> findings	Disease association
<i>ASPM</i>	Asp (abnormal spindle) homolog; may play a role in mitotic spindle regulation and coordination of mitosis	ASPM-1 binds to LIN-15 and is required for its correct localization in the spindle poles. <i>aspm-1</i> mutants present pleiotropic phenotypes suggesting that this gene is required for other cell types besides neurons [229]	Associated with autosomal recessive primary microcephaly [227]
<i>PHF8</i>	Histone lysine demethylase; plays a key role in cell cycle progression, DNA transcription, and brain development	F29B9.2 has a similar function as the human counterpart and its knockdown leads to uncoordination [238]	Associated with X-linked ID and cleft lip/palate [235]
<i>ARX</i>	Aristaless-related homeobox; transcription factor required for normal brain development and maintenance of specific neuronal subtypes in the cerebral cortex	<i>alr-1</i> mutants present deficits in the differentiation of a GABAergic neuron; <i>alr-1</i> acts through LIM1 homolog lin-11 pathway. It controls the expression of target genes such as <i>mec-3</i> to ensure touch receptor neuron differentiation [240, 289, 290]	Associated with epilepsy and ID [239]
<i>TUBA1A</i>	Tubulin A; major constituent of microtubules; crucial for microtubule formation and organization	<i>tba-1</i> mutants are viable: compensatory mechanism, since this mutation is lethal in combination with other tubulin gene mutations. Animals display neuronal synaptic deficits and axonal misguidance [242]	Associated with lissencephaly and polymicrogyria [173, 241]
<i>DOPEY2</i>	May be involved in protein traffic between late Golgi and early endosomes	<i>pad-1</i> suppression showed embryonic lethality. Most of the tissues of the embryo failed to undergo proper patterning during gastrulation; incomplete morphogenesis did not occur [244]	Present in the Down syndrome critical region [243]
<i>DYRK1A</i>	Dual-specificity tyrosine (Y) phosphorylation-regulated kinase 1A; suggested role in signaling pathways regulating cell proliferation and eventually brain development	<i>mbk-1</i> nulls are viable, contrary to mammals. However, overexpression of this gene leads to dose-dependent olfactory defects [250]. These defects were reverted by normalizing <i>mbk-1</i> expression, highlighting a possible therapeutic possibility	Present in the Down syndrome critical region [243]. Clinical trials with the aim of normalizing <i>DYRK1A</i> function are underway
<i>DSCRI/RCAN1</i>	Regulator of calcineurin 1; inhibits calcineurin-dependent transcription by binding to the catalytic domain of calcineurin A. Could play a role during central nervous system development	RCN-1 has a similar function as the human counterpart. <i>rcn-1</i> deletion or overexpression leads to similar phenotype, including defects in growth, fertility, cuticle development, and egg laying. Importantly, normalization of <i>rcn-1</i> expression rescues the deficits [258]	Present in the Down syndrome critical region [243]
<i>PQBP1</i>	Polyglutamine-binding protein 1; activation of transcription directly or via association with the transcription machinery	<i>pqbp-1.1</i> is necessary for lipid metabolism. No major neuronal phenotype [262]	Mutations in this gene have been found in patients with Renpenning's syndrome 1 and other syndromes with X-linked mental retardation [260, 261]. Patients present a lean body, which can be related to worm alterations in lipid metabolism
<i>ATRX</i>	Alpha-thalassemia/mental retardation syndrome X-linked; contains an ATPase/helicase domain; SWI/SNF family of chromatin-remodeling proteins. Potential involvement in the gene regulation at interphase and chromosomal segregation in mitosis	No report regarding <i>xnp-1</i> mutant neurological phenotype. <i>xnp-1</i> is vital for gonadal development [267, 268]	Associated with alpha-thalassemia/mental retardation syndrome X-linked [264–266]. Patients present gonadal abnormalities, similar to worm mutant strains

884 approach–avoidance paradigm. *nlg-1* mutants respond nor- 888
 885 mally to the volatile attractant diacetyl and the repellent 889
 886 cupric acetate; however, their response to simultaneous pre- 890
 887 sentation of these two cues is clearly defective [190].

In further support of a similar role of mammalian and 888
 nematode proteins were the results showing that expression 889
 of human or rat neuroigin in *nlg-1* mutants rescues 890
 osmotic avoidance and gentle touch response phenotypes. 891

892 Remarkably, expression of mutant human proteins (with
893 previously identified mutations in ASDs patients) did not
894 revert behavioral impairments nor did expression of wild
895 type NGL-1 under the control of muscular promoter [188],
896 suggesting a key role for this protein in neuronal function.

897 One unexpected result in *C. elegans* was the fact that loss
898 of neuroligin was not merely correlated with increased sen-
899 sitivity to oxidative stress but actually caused oxidative
900 stress [190]. Though there is no concluding evidence that
901 oxidative stress may be involved in neurobiology of ASDs,
902 some recent evidence shows that autistic patients present a
903 significant elevation in oxidative stress biomarkers and re-
904 duced serum antioxidants such as transferrin and ceruloplas-
905 min [192]. *C. elegans* NRX-1, ortholog of neurexin, is
906 expressed in most of the neurons and localizes to presynaptic
907 specializations [193]. Contrary to *ngl-1* mutants, *nrx-1* nulls
908 do not present any major phenotype or deficits in osmotic
909 avoidance, but interestingly, mutations in this gene suppress
910 neuroligin deficits [187].

911 The shank gene family encodes postsynaptic proteins that
912 function as part of the NMDA receptor-associated PSD-95
913 complex (Fig. 3a) [194]. In mammals, Shank cooperates
914 with Homer protein to induce accumulation of inositol-
915 1,4,5-trisphosphate (IP3) receptors in dendritic spines and
916 formation of putative multisynapse spines [195]. Recently,
917 mutations in *Shank* genes have been implicated in ASDs
918 [185], suggesting an important role in normal cognitive
919 development. Overexpression of *Shank1B* and *Homer1b* in
920 hippocampal neurons induces spine maturation, including
921 translocation of the intracellular Ca^{2+} channel inositol tri-
922 phosphate receptor (IP3R) [196]. The nematode *shn-1* gene
923 is the ortholog of vertebrate *Shank1*. RNAi of *shn-1* did not
924 cause lethality or major developmental abnormalities. How-
925 ever and in the same line of evidence of mammalian data,
926 suppression of *shn-1* in a defective IP3R background
927 resulted in animals with altered defecation rhythm [197],
928 suggesting a possible role of this protein in affecting func-
929 tion of IP3R. Additional characterization of two different
930 mutant alleles for *shn-1* revealed a crucial role for the ANK-
931 repeat domain in Ca^{2+} signaling with IP3R [198]. It would
932 be interesting to analyze these strains regarding Ca^{2+} signal-
933 ing and size and strength of synapses considering the fact
934 that *Shank1* knockout mice present reduced size of dendritic
935 spines and weaker basal synaptic transmission [199].

936 *Neurobeachin* (*NBEA*) has been identified as an autism
937 candidate gene in a patient with a de novo chromosomal
938 translocation [200]. This multidomain scaffolding protein
939 has been suggested to be involved in neuronal post-Golgi
940 membrane traffic with a role in neurotransmitter release and
941 synaptic functioning [201–203]. Cellular knockdown of
942 *NBEA* suggested that this protein is a negative regulator of
943 secretion of large dense-core vesicles [203]. Study of the *C.*
944 *elegans* ortholog, *sel-2*, further supported this role in vesicle

transport. *Sel-2* was identified as a negative regulator of 945
LIN-12/Notch activity [204], and members of the Notch 946
pathway have also been shown to be modifiers of the *NBEA* 947
homolog in *Drosophila* [205]. Deeper analysis of this inter- 948
action may contribute to better understanding of molecular 949
events leading to a subset of ASDs due to deficits in vesicle 950
formation. 951

L1CAMs are transmembranar cell adhesion receptors 952
belonging to the immunoglobulin superfamily and are con- 953
served in *C. elegans*. The mammalian L1CAM family is 954
composed of four proteins: L1, CHL1, NrCAM, and 955
neurofascin [206]. Mutations in *L1* can originate the X- 956
linked neurological disorder, corpus callosum hypoplasia 957
(CRASH, mental retardation, adducted thumbs, spastic 958
paraplegia, and hydrocephalus) [207–209]. Latest evidence 959
implicated a protein of this family, NrCAM, in autism [210]. 960
C. elegans has two L1CAM homologs, *lad-2* and *lad-1* (or 961
sax-7) [211–214], which have distinct biological roles. 962
While *lad-2* expression is restricted to a few neurons, *sax- 963*
7 is widely expressed since embryonic stages [212, 213, 964
215]. LAD-2 is important for axon migration by anchoring 965
MAB-20 (ortolog of semaphorin 2) to PLX-2 (ortolog of 966
plexin) [215]. Concordantly, mammalian proteins also func- 967
tion as coreceptors for semaphorin-mediated axon pathfind- 968
ing [216–218]. Despite involvement in the same pathway, 969
lad-2 mutants have significantly more axonal defects than 970
mab-20 or *plx-2* mutants [215], suggesting that *lad-2* may 971
mediate axonal migration through another independent 972
pathway, which could be interesting to ascertain in mam- 973
mals. On the other hand, *sax-7* mutants present a “normal” 974
development of the nervous system but display deficits in 975
neuronal positioning [211–214], similarly to what is ob- 976
served in *L1* and *CHL1* knockout mice [216, 218–221]. It 977
is important to refer that L1CAMs are essential in mammals 978
and flies but not in worms, providing a unique framework 979
for the study of the biological role of these proteins. 980

981 Contrary to what was initially assumed, *C. elegans* ex- 982
hibit a broad variety of social behaviors, including mutual 983
attraction and aggregation, mating, population density sens- 984
ing, and solitary- vs. group-feeding strategies. Variation in 985
feeding strategy is solely due to a single amino acid substi- 986
tution in NPY receptor, *npr-1* [72]. Solitary strains present 987
high *npr-1* activity, whereas social strains display low ac- 988
tivity. This receptor is particularly expressed in the RMG 989
inter/motor neuron, the hub of a finely tuned pathway that 990
controls aggregation and related behaviors [222]. No reports 991
have directly implicated NPY receptors in ASDs, yet, there 992
are some data that may corroborate this hypothesis. First, 993
Drosophila NPY (dNFP) is involved in regulation of larval 994
foraging and social behavior [223]. Second, NPY Y2 995
receptor-deficient male mice display an increase in social 996
interaction [224]. Third, although not conclusive since sev- 997
eral genes are within the affected region, there is at least one

998 reported case of an autistic child with a deletion leading to
999 hemizyosity for genes encoding neuropeptide receptors
1000 NPY1R and NPY5R and for glutamine and glycine
1001 neurotransmitter receptor subunits (AMPA-2, GLRA3, and
1002 GLRB) [225]. Overall, these results seem to pinpoint NPY
1003 as an important modulator of social behavior in higher
1004 species as well, though more studies need to be performed
1005 to validate this theory.

1006 **Memory and Learning in *C. elegans*: Insights** 1007 **into Intellectual Disability**

1008 ID is one of the most frequent neurological impairments and
1009 is a very heterogeneous group of disorders. Increasing num-
1010 ber of genes identified over the last years associated with ID
1011 suggests that this phenotype can emerge as the final common
1012 pathway of many different types of abnormal cellular processes.
1013 Overall, it is considered that ID can stem from two broad
1014 mechanistic themes: dysfunction of neurodevelopmental pro-
1015 grams and alterations in synaptic organization and plasticity
1016 [226], both including cellular processes and molecular players
1017 present in *C. elegans* (Table 4).

1018 Autosomal recessive primary microcephaly (MCPH) is
1019 characterized by a severe ID and is known to be associated
1020 with mutations in several genes, among which is the *ASPM*
1021 [227]. In MCPH patient cells, *ASPM* has been shown to be
1022 required for correct organization and orientation of the mit-
1023 otic spindle and cytokinesis [228]. The *C. elegans* ortholog
1024 of this protein is *ASPM-1*, which binds to LIN-5 and is
1025 required for correct location of LIN-5 to meiotic and mitotic
1026 spindle poles [229]. LIN-5 is the ortholog of human *NuMA*
1027 and belongs to the conserved pathway controlling spindle
1028 position [230]. Large-scale *C. elegans* RNAi experiments
1029 also indicate that *aspm-1* is necessary for embryonic and
1030 larval viability, germline maintenance, vulval morphogene-
1031 sis, and locomotion [231–233], which may indicate that
1032 *ASPM* may be relevant for other types of cells. Indeed, very
1033 recent work showed that lack of functional *ASPM* was
1034 associated with loss of germ cells, both in testis and
1035 ovaries [234].

1036 The *PHF8* gene, which encodes a histone demethylase,
1037 has been found to be mutated in several patients with X-
1038 linked ID and cleft lip/palate [235, 236]. The zebrafish
1039 ortholog has been shown to regulate cell survival in the
1040 developing brain and to be involved in jaw development
1041 [237]. In *C. elegans*, the most closely related homolog is
1042 F29B9.2, which is expressed mainly in neuronal cells. Such
1043 as the human counterpart, F29B9.2 catalyzes demethylation
1044 of di- and monomethylated lysine 9 of histone H3 in vivo.
1045 F29B9.2 inactivation leads to a relatively mild phenotype
1046 in the form of uncoordinated locomotion [238], and
1047 reexpression of the gene in mutant background under a

pan-neuronal promoter, but not under a muscle promoter, 1048
rescued the phenotype associated with loss of F29B9.2. 1049

The aristaless-related homeodomain protein ARX has 1050
been shown to underlie multiple forms of X-linked ID 1051
[239]. *Arx* knockout mice exhibit thinner cerebral cortices 1052
because of decreased neural precursor proliferation and 1053
also exhibit defects in differentiation and migration of 1054
GABAergic interneurons [169]. *C. elegans* ortholog, *alr-1*, 1055
acts in a pathway with the LIM1 ortholog *lin-11* to regulate 1056
development of a subset of chemosensory neurons. More- 1057
over, *alr-1* mutants present deficits in differentiation of a 1058
GABAergic motoneuron, suggesting parallels with *ARX* 1059
functions in vertebrates [240]. 1060

Mutations in *TUBA1A* gene have been associated with 1061
cortical dysgenesis such as lissencephaly and bilateral asym- 1062
metrical polymicrogyria [173, 241]. In *C. elegans*, null 1063
alleles of the orthologous gene, *tba-1*, do not present any 1064
major locomotor or neuronal defect, probably due to com- 1065
pensatory mechanisms, since this mutation is lethal in com- 1066
bination with other tubulin mutations [242]. However, 1067
interestingly, a gain-of-function mutation in *tba-1* leads to 1068
motor neuron synapse disruption and axonal defects 1069
[242], which is concordant with a role of this gene in 1070
the correct development of the nervous system. Analogously, 1071
(putatively) dominant mutations in human *TUBA1A* are 1072
associated with neuronal migration deficits and axonal 1073
malformation [170, 173]. 1074

Down syndrome is the most common form of ID world- 1075
wide, caused by a triplication of all or just a critical region of 1076
chromosome 21, which leads to a very specific and well- 1077
defined phenotype. *C21ORF5/DOPEY2* is one of the genes 1078
within the “Down Syndrome Critical Region,” which are 1079
hypothesized to be responsible for majority of the pheno- 1080
type [243]. Of notice, the first attempt to study Down 1081
syndrome-associated genes in *C. elegans* involved the 1082
DOPEY2 ortholog *pad-1* [244]. *Pad-1* was found to be 1083
necessary for proper patterning during gastrulation and mor- 1084
phogenesis. In the same line of evidence, overexpression of 1085
human *DOPEY2* in mice leads to alterations in cortical 1086
layers together with behavioral impairment [245, 246]. 1087

Another gene putatively involved in Down syndrome is 1088
DYRK1A, a member of the dual-specificity tyrosine 1089
phosphorylation-regulated kinase [247]. *DYRK1A* involve- 1090
ment in critical neuronal processes such as neurogenesis and 1091
neuronal differentiation has been widely studied using mice 1092
but also simpler organisms such as *Drosophila* and *C.* 1093
elegans [248, 249]. The *C. elegans* ortholog is *mbk-1*, but 1094
in contrast to vertebrate *DYRK1A* orthologs and the fly 1095
minibrain ortholog, lack of *mbk-1* does not lead to any 1096
neuronal proliferation defects [250]. However, increased 1097
mbk-1 expression was shown to lead to dose-sensible spe- 1098
cific functional olfactory defects. Remarkably, these defects 1099
were reversible by normalizing *mbk-1* expression [250], 1100

t4.1 **Table 4** Autism spectrum disorder (ASD)-related genes. Studies in *C. elegans* that added important value to our understanding of the function and malfunction of human genes associated with ASDs

t4.2	Gene	Function	<i>C. elegans</i> findings	Disease association
t4.3	<i>Neurexins</i> <i>NLGN3 NLGN4</i>	Neurexin family; cell adhesion molecules present at the postsynaptic side of the synapse and may be essential for the formation of functional synapses	<i>nlg-1</i> mutants have sensory processing deficits; hypersensitive to oxidative stress and mercury. These animals also present osmotic avoidance deficits and touch response phenotype [188, 190]. Recent evidence suggests that <i>nlg-1</i> and <i>nrx-1</i> mediate a retrograde synaptic signal that inhibits neurotransmitter release at NMJ	Mutations in these genes have been associated with ASDs [182–184]
t4.4	<i>Neurexins</i> <i>NRX1 NRX2 NRX3</i>	Bind neurexins and form complex that is required for efficient neurotransmission; involved in the formation of synaptic contacts	<i>nrx-1</i> mutants do not present observable phenotype; however, mutations in this gene suppress neurexin mutations [187]	Mutations in neurexin genes have been associated with ASDs [186]
t4.5	<i>SHANK1</i>	Adapter protein in the postsynaptic density (PSD) of excitatory synapses; interconnects receptors including NMDA-type and metabotropic glutamate receptors via complexes with PSD-95 and Homer. Plays a role in the structural and functional organizations of the dendritic spine and synaptic junction	<i>shn-1</i> strain presents no overt phenotype; however, suppression of <i>shn-1</i> in a defective inositol-1,4,5-trisphosphate (IP3) receptor background alters defecation rhythm [197]. A key role for ANK repeat domain and PDZ in regulating Ca ²⁺ -signaling with the IP3 receptor [198]	Mutations in <i>SHANK</i> have been associated with ASDs [185]
t4.6	<i>NBEA</i>	Neurobeachin; binds to type II regulatory subunits of protein kinase A and anchors/targets them to the membrane	<i>sel-2</i> is a negative regulator of LIN-12/Notch activity; involved in vesicle secretion (?) [204]	Mutations associated with autism [200]
t4.7	<i>LICAM</i>	Transmembrane cell adhesion molecule with an important role in the development of the nervous system; involved in neuron–neuron adhesion, neurite fasciculation, and outgrowth of neurites	LAD-2 is required for axonal migration, since it anchors MAB-20 (semaphorin) to PLX-2 (plexin) [215]. <i>lad-2</i> mutants present severe axonal defects, which can partially be independent on the semaphorin/plexin pathway.	Mutations associated with CRASH [207–209]
t4.8			<i>lad-1</i> (<i>sax-7</i>) strain presents pleiotropic phenotypes that include uncoordination, embryonic lethality, and deficits in neuronal positioning and axonal-misguided trajectories [212]	
t4.9	<i>NPY1R NPY2R</i>	Neuropeptide Y receptor; family of G _i /o-protein-coupled receptors that mediate food intake, anxiety and stress response, and control of pituitary hormone release	Activity of <i>npr-1</i> is correlated with the degree of “socialization” [72, 291, 292]. Social and solitary strains differ naturally in the levels of NPR-1 protein	No information about its association with human neurodevelopmental disorders. Yet, <i>Drosophila</i> NPY is involved in social behavior as well [223], and NPY2 receptor knockout mice present social abnormalities [224]

1101 which provided the first hint that *DYRK1* induced deficits
 1102 could be reversed in fully differentiated neurons. This
 1103 possibility has been confirmed later in higher model
 1104 organisms [251–253] and has set off several therapeutic
 1105 approaches that are now being evaluated in human
 1106 clinical trials (ClinicalTrials.gov identifier: NCT01394796;
 1107 NCT01699711).

1108 *DSCR1* is another gene residing in the Down Syndrome
 1109 Critical Region [254]. *DSCR1* is a known inhibitor of
 1110 calcineurin-mediated signaling pathways [255], which are
 1111 involved in multiple processes including neuronal plasticity
 1112 [256] and neuronal development via NFAT signaling [257].
 1113 *DSCR1*, along with *DYRK1A*, is thought to downregulate

NFAT-mediated gene activation [247]. Calcineurin regula- 1114
 tors seem to be evolutionarily conserved, and *C. elegans* 1115
 possesses a sole *DSCR1* homolog, *rcn-1* [254, 258]. Anal- 1116
 ogous to *DSCR1*, *rcn-1* also inhibits calcineurin phosphatase 1117
 activity via calcineurin A interaction. Moreover, worms 1118
 overexpressing *rcn-1* could reproduce multiple phenotypes 1119
 of calcineurin loss-of-function mutants [258] providing an 1120
 in vivo proof of *rcn-1*/calcineurin regulation and giving 1121
 further support as to the relation between *DSCR1* overdos- 1122
 age and the phenotypes observed in DS. 1123

Often, simple organisms do not replicate the complete 1124
 phenotype spectra of human disorders. Still, they may allow 1125
 studying and focusing on specific pathological features and 1126

1127 better understanding of protein function. This is the case of
 1128 *PQBPI* gene that when mutated is associated with a com-
 1129 plex X-linked disorder, Renpenning's syndrome [259–261],
 1130 characterized by ID and lean body build (OMIM #309500).
 1131 The *C. elegans* ortholog is *pqbp-1.1* that, such as the human
 1132 counterpart, encodes a protein with a polyglutamine-binding
 1133 region in polar amino acid-rich domain, a WW domain
 1134 also involved in regulation of transcription activity, and
 1135 a C-terminal domain involved in the interaction with a
 1136 spliceosome component [262]. Although *pqbp-1.1* is
 1137 expressed in few neurons, no neuronal phenotype was ob-
 1138 served in *pqbp-1.1*-functional mutants. However, it was
 1139 observed that lack of *pqbp-1.1* leads to alterations in lipid
 1140 metabolism shown by a reduction of triglycerides [262],
 1141 which could be somehow related to lean body observed in
 1142 human patients. Considering that the lipidic metabolic path-
 1143 ways are fundamentally conserved between species, *C.*
 1144 *elegans* could be a good model to study *PQBPI*-induced
 1145 lipidic dysfunction and its effects in neurons.
 1146 Another example is the *ATRX* gene, which is associated
 1147 with a complex X-linked ID syndrome, alpha-thalassemia
 1148 mental retardation, X linked [263]. Patients exhibit severe
 1149 ID and genital abnormalities, among other clinical features
 1150 (reviewed in [264]). The *ATRX* gene encodes a member of a
 1151 transcription regulator family of proteins, Swi2/Snf2 [265].
 1152 In mice, *ATRX* is suggested to interact with MeCP2 and
 1153 cohesin (also involved in ID) to regulate gene expression
 1154 during brain development [266]. Worm ortholog is *xnp-1*,
 1155 and although no neurological phenotype has been reported,
 1156 *xnp-1* has been shown to be required for correct embryo-
 1157 genesis. In parallel with what has been observed in humans,
 1158 *xnp-1* is also necessary for normal gonad development [267,
 1159 268]. As at least the gonad development-related function of
 1160 *xnp-1/ATRX* seems to be conserved, *C. elegans* could be a
 1161 good model to identify additional interacting partners and
 1162 developmental signaling pathways involved in the disorder
 1163 and perhaps phenotype-modifying compounds.

1164 **Insights on Other Neurodevelopmental Disorders**

1165 *Disrupted-in-Schizophrenia 1 (DISC1)* is a very well-
 1166 established susceptibility gene for schizophrenia that also
 1167 seems to be involved in other disorders such as ASD,
 1168 depression, and bipolar disorder [269]. *DISC1* protein has
 1169 been thoroughly studied and is known to act as a scaffold
 1170 protein, with multiple and diverse interacting partners, in-
 1171 volved in neurodevelopmental and neurosignaling processes
 1172 [270]. The *C. elegans* genome does not contain a *DISC1*
 1173 ortholog. However, a heterologous strain expressing
 1174 *mDISC1* was useful to dissect the pathway by which *DISC1*
 1175 may regulate axonal connections. Studies using this
 1176 model showed that in motor neurons, *DISC1* interacts

with *UNC-73/TRIO* and activates RAC–PAK signaling 1177
 to regulate axon guidance [271]. Interestingly, these 1178
 pathways are conserved, and in mammals, it is known 1179
 that *TRIO* regulates axon growth and guidance via RAC 1180
 [272]. Furthermore, this heterologous *C. elegans* model 1181
 may represent a good tool to identify new small molecules 1182
 with therapeutic effects in modulating the *TRIO*–RAC 1183
 pathway such as those that regulate axonal connectivity. 1184

From Genes to Therapies 1185

C. elegans represents a powerful tool to dissect cellular and 1186
 molecular processes of human disorders and has emerged as 1187
 an attractive platform in the context of large drug or genetic 1188
 screenings due to its simplicity, low cost of cultivation, and 1189
 small size that allows their growth on microtiter plates. 1190
 Moreover, ease of genetic manipulation and commonality 1191
 of several biological processes are both valuable in the gene- 1192
 to-drug and drug-to-gene discovery (nicely reviewed in 1193
 [53]). If on one side, random mutagenesis can help in 1194
 identification of novel gene targets conferring susceptibility 1195
 or resistance to a specific group of drugs, large-scale drug 1196
 screenings in specific genetic backgrounds may help dissect 1197
 the mechanisms of drug action in normal and pathological 1198
 conditions. 1199

An elegant example is the identification of 185 aldicarb- 1200
 resistant mutants, among which were 132 genes that had not 1201
 been previously associated with synaptic transmission. Of 1202
 these, 24 encoded proteins that were localized to presynaptic 1203
 specializations, and loss-of-function mutations in 12 genes 1204
 caused defects in presynaptic structure [22]. 1205

Others have used transgenic worm models expressing the 1206
 mutated human protein to perform both genetic and drug 1207
 screenings. For example, Kraemer's lab has used a worm 1208
 model of tauopathy to screen a drug library containing 1,120 1209
 molecules. They identified azaperone, a typical antipsychotic 1210
 drug, as a robust modifier of motor deficits and levels of 1211
 insoluble tau [128]. Suggesting common drug-acting path- 1212
 ways in worms and humans, azaperone was also effective in 1213
 reducing tau aggregation in a human cell line. Remarkably, 1214
 other drugs acting on dopamine receptor D2 such as 1215
 flupenthixol, perphenazine, and zotepine were also effective 1216
 in ameliorating tau-induced dysfunction in both models, 1217
 suggesting D2 antagonism as a promising therapeutic strategy 1218
 for tau neurotoxicity [128], a pathway that without *C. elegans* 1219
 contribution would be unlikely to be discovered. 1220

In another study, four different chemical libraries com- 1221
 prising 14,100 small membrane-permeable compounds 1222
 were screened for induction of behavioral/morphological 1223
 defects in wild type worms [273]. Three hundred eight 1224
 molecules led to a variety of phenotypes, from simple mo- 1225
 tility deficits to severe morphological problems. However, 1226

1227 despite this high-hit result for bioactivity of new drugs in *C.*
 1228 *elegans*, an important consideration is the gap between
 1229 worm and human mechanisms of drug absorption, distribu-
 1230 tion, metabolism, excretion, or toxicity. Nevertheless, in this
 1231 screen, researchers also discovered that a novel compound,
 1232 which they named nemadipine-A, resembling a class of
 1233 antihypertension drugs called the 1,4-dihydropyridines that
 1234 antagonize the alpha 1-subunit of L-type calcium channels,
 1235 induced robust defects in morphology and egg laying. They
 1236 identified *egl-19*, the only L-type calcium channel alpha 1-
 1237 subunit in *C. elegans*, as the target gene in a genetic sup-
 1238 pressor screening. Interestingly, the compound could also
 1239 antagonize vertebrate L-type calcium channels, demonstrating
 1240 that worms and mammals share a common target, despite
 1241 originating divergent phenotypical outcomes.

1242 Another example is the “hypothesis-free approach”
 1243 screening of 900,000 small molecules that allowed identifi-
 1244 cation of new classes of proteostasis regulators important in
 1245 treatment of several conformational diseases such as
 1246 polyglutamine disorders and Alzheimer's and Parkinson's
 1247 diseases. Though some of these molecules acted via
 1248 “canonical pathways” such as via HSF-1, FOXO, and
 1249 NRF-2 and the chaperone machinery, the underlying mech-
 1250 anisms were distinct from previously identified small-
 1251 molecule activators of the heat shock response [274].

1252 Not much has been done in the context of neuro-
 1253 developmental disorders regarding large-scale genetic
 1254 and/or drug screening approaches. Several factors may
 1255 contribute to this: first, neurodevelopmental disorders
 1256 frequently encompass complex and difficult “scorable” phe-
 1257 notypes (e.g., neuronal migration defects or abnormal
 1258 synaptic transmission) that restrain large-scale analysis
 1259 methodology. Second, for several neurodevelopmental dis-
 1260 orders, there is no unique drug or gene that modifies the
 1261 phenotype satisfactorily due to their inherent complexity.
 1262 Nevertheless, considering all pros and cons of using *C.*
 1263 *elegans* in this type of screenings, we still believe that the
 1264 strategy of using this model as the first line of research may
 1265 lead to identification of novel and implausible drugs and/or
 1266 cellular/molecular pathways of drug action that otherwise
 1267 would be difficult to pinpoint. Yet, once a drug (gene?) is
 1268 identified as potentially relevant in the context of a specific
 1269 disorder in worms, additional studies need to be performed
 1270 in higher organisms to fully validate it and exclude all side
 1271 effects that it may have in the context of a more complex
 1272 organism.

1273 **Final Remarks**

1274 The transparent worm *C. elegans* is one of the most power-
 1275 ful and versatile model organisms, enabling elucidation of
 1276 several cellular and molecular mechanisms underlying

neuronal function and dysfunction. Due to easiness of ge- 1277
 netic manipulation and similarity with vertebrate neuronal 1278
 molecular pathways, this organism can be used to functionally 1279
 validate genetic associations identified in neurodevelopmental 1280
 disorders. Moreover, since *C. elegans* is amenable to high- 1281
 throughput genetic and drug screenings, it is an excellent 1282
 biological platform for drug identification and clarifica- 1283
 tion of signaling pathways involved in novel therapeutic 1284
 interventions. 1285
 1286

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