

1     **A model for the simultaneous prediction of the flexural and shear deflections of statically**  
2                                     **determinate and indeterminate RC structures**

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4  
5     **ABSTRACT:**

6     The deformability of the major part of reinforced concrete (RC) structures is the result of the flexural and shear  
7     deformations mainly caused by bending and shear diagonal cracking, respectively. However, the evaluation of the  
8     shear deformation contribution is relatively difficult due to the complexities involving the shear behavior of cracked  
9     RC elements. These complexities are even more complicated when structures are statically indeterminate, since the  
10    external and internal forces cannot be determined from direct application of the equilibrium equations. To address  
11    these issues, the current study aims to develop a novel simplified analytical model based on the flexibility (force)  
12    method to predict the deflections of statically indeterminate RC structures up to their failure, which can be in  
13    bending or in shear. This analytical model considers the influence of flexural cracks on the shear stiffness  
14    degradation of a RC structure after concrete cracking initiation, and has a format adjusted for design practice. The  
15    good predictive performance of the analytical model is demonstrated by simulating experimental tests with RC  
16    elements where shear deformation has different level of contribution for the total deflection registered in these tests.

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18    Keyword: Flexural and shear deflection, analytical model, flexibility method, flexural and shear stiffness,  
19    determinate and indeterminate RC structure.

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## 22 1. Introduction

23 Concerning the design and strengthening of reinforced concrete (RC) structures, an appropriate performance level  
24 should be provided for the RC structures in serviceability limit state (SLS) conditions [1, 2, 3]. For this purpose, the  
25 total deflection of a structure, resulting from flexural and shear deformations, should be limited to cover the  
26 requirements of SLS due to deflection [1, 4]. In fact, after initiation of flexural cracks in a RC structure, in addition  
27 of the reduction of flexural stiffness, the capability in transferring the shear forces of the structure is decreased,  
28 which means that the shear stiffness is no longer in the elastic range after the generation of flexural cracks [5]. In  
29 this regard, an analytical methodology, with a framework for being used by designers, considering the influence of  
30 flexural cracking on the shear stiffness degradation before the occurrence of diagonal shear cracks, does not still  
31 exist according to the knowledge of the authors. In general, after the occurrence of flexural cracks, the reduction of  
32 the element's stiffness consists of the flexural and shear stiffness degradations. In this context, the flexural stiffness  
33 degradation is more noticeable than the corresponding shear stiffness degradation, since developing the flexural  
34 cracks along the cross section causes more reduction on the flexural stiffness (determined using the relevant moment  
35 of inertia of cross section ( $\text{mm}^4$ )) than the shear stiffness (determined using the relevant cross sectional area ( $\text{mm}^2$ ))  
36 [6]. This fact is prevalent up to the initiation of diagonal shear cracks, since after occurring these shear cracks, the  
37 shear stiffness is reduced significantly. Therefore, neglecting the shear deformation when the diagonal shear cracks  
38 are propagating, leads to the significant underestimation of the total deflections of RC elements [7].

39 “Extensive research was carried out to analytically estimate the deflection of RC structures failing in bending, by  
40 taking into account the distribution of curvature along the length of the structure or by using the Technical Bending  
41 Theory (TB) that enables to determine the state of strain of cross-sections considering nonlinear material properties  
42 [8, 9, 10, 11].”

43 However, research efforts to analytically predict the contribution of shear deformation for total deflection of  
44 cracked RC structures are very limited, and consequently, developing a simplified model in this regard for designers  
45 and engineers is still a task not yet comprehensively addressed [12,13]. In this context, Hansapinyo et al. [5]  
46 proposed an empirical formulation analyzing the relevant experimental data to estimate the reduced effective shear  
47 modulus of cross section after concrete cracking initiation, considering the axial longitudinal strain distribution  
48 along the cross section. Pan et al. [14] developed a theoretical calculation method for determining the effective shear

49 stiffness of diagonally cracked RC beams based on the Truss Model (TM) considering the tension stiffening effect.  
50 In other words, after diagonal cracking, this effective shear stiffness is defined to consider the shear stiffness  
51 degradation due to the presence of more shear cracks as the shear force increases. In fact, by developing the diagonal  
52 cracks, the shear transfer mechanism is correspondingly altered, and the fully diagonal cracked response is analyzed  
53 using TM analogies in the proposed model. The value of the effective shear stiffness is between the elastic shear  
54 stiffness and fully diagonal cracked shear stiffness..

55 Regarding the prediction of maximum capacity of RC structures failing in shear, there are two prominent models:  
56 Truss Model (TM) and Modified Compression Field Theory (MCFT) [15, 16]. The TM is physically based on the  
57 interpretation of the crack patterns formed during the loading process of a RC beam. However, this approach ignores  
58 any contribution of the concrete in tension, resulting in conservative estimates of shear strength for RC concrete  
59 members [17]. To take into account this resisting contribution of cracked concrete in tension, the MCFT was  
60 developed to better predict the shear capacity of RC beams [18].

61 On the other hand, besides the available methods for the prediction of deflections of statically determinate  
62 structures, developing the analytical methodologies, capable of predicting the deflections of statically indeterminate  
63 structures, is rarely carried out by researchers due to the relevant complexities in this regard. In these statically  
64 indeterminate structures, the number of redundant supports exceeds the number of static equilibrium equations  
65 causing complexities to determine the external and internal forces of these types of structures by direct application  
66 of the equilibrium equations. Furthermore, indeterminate structures are the most current in real practice since they  
67 are more economic, safer and develop more ductile behavior than statically determinate structures [19].

68 Accordingly, the current study aims to develop a novel simplified analytical model using the force method (also  
69 known as flexibility method) to predict the response of RC structures in terms of total deflections by considering the  
70 contribution of flexural and shear deformations up to the failure of these structures. According to the proposed  
71 model, the flexural deflections of a RC structure (due to bending moment) are estimated considering the tangential  
72 flexural stiffness of the cross section obtained from the corresponding moment-curvature relationship of the section.  
73 The shear deflections of a RC structure (due to shear force) is determined by considering the tangential shear  
74 stiffness of the cross section during the loading process. For this purpose, the shear behavior of a RC structure is  
75 assumed to be simulated by a three stage diagram representing the pre-cracking, post-cracking and post-diagonal

76 cracking stages, delimited by the following points: concrete crack initiation; diagonal crack initiation; and ultimate  
77 shear capacity. In this regard, the current study proposes a new strategy to evaluate the influence of flexural cracks  
78 on the shear stiffness degradation of RC structure during the post-cracking stage. The applicability of the developed  
79 analytical model is not limited to statically determinate RC elements, since the force method principles were used to  
80 extend its use to statically indeterminate RC structures. The good predictive performance of the proposed model is  
81 appraised by predicting the force-deflection response registered in the experimental programs composed of  
82 determinate and indeterminate RC beams and slabs.

83

## 84 **2. Analytical model**

85 The following assumptions were adopted in the proposed analytical model:

- 86 a) Plane section orthogonal to the axis of the beam before deformation remains plane after deformation, and  
87 consequently the strain distribution along the depth of the cross section is directly proportional to the  
88 distance from the neutral axis;
- 89 b) There is no slip between steel reinforcement and surrounding concrete;
- 90 c) The maximum compressive strain in concrete is 0.003.

91 For statically determinate structures, the external and internal forces can be entirely determined from the static  
92 equilibrium equations, while in the case of statically indeterminate structures; the number of redundant supports  
93 exceeds the number of static equilibrium equations, Displacement compatibility equations are established in order to  
94 derive a system of equations capable of determining the unknowns [19].

95 There are, mainly, two methods for the analysis of statically indeterminate structures namely, force method (also  
96 known as flexibility method) and displacement method (known as stiffness matrix method) [19]. In this study, an  
97 analytical model based on the flexibility method is proposed for the prediction of the material nonlinear behavior of  
98 determinate and indeterminate RC structures up to their collapse, considering the relevant mechanisms of flexural  
99 and shear stiffness degradation due to cracking formation and propagation.

### 100 **2.1.-Flexibility Method**

101 Fig. 1 schematically represents the loading and support configurations of the two span element adopted for assisting  
 102 in the description of the present analytical study. In this regard, a displacement compatibility equation corresponding  
 103 to the unknown reaction support should be established to determine the value of this reaction force. In case of  
 104 aiming not only to determine the reaction, but also the displacements in the two loaded sections (in case  $\Delta F_1$  and  
 105  $\Delta F_2$  are known – force control test), or the force values in the loaded sections (in case  $\Delta u_1$  and  $\Delta u_2$  are known –  
 106 displacement control test), three compatibility equations must be established.

107 It is assumed that the principle of superposition can be applied to the behavior of the element in each small load  
 108 increment ( $\Delta F$ ), even in the nonlinear phase response of the structure. Using this assumption, the structure is  
 109 decomposed into a number of equilibrium configurations (each one is isostatic and determinate structure known as a  
 110 released structure). In the present case, three displacement compatibility equations are established, two  
 111 corresponding to the loaded sections, and the other to the intermediate support, in order to obtain the incremental  
 112 forces ( $\Delta F_1$  and  $\Delta F_2$ , assuming a displacement control test, where  $\Delta u_1$  and  $\Delta u_2$  are the imposed known  
 113 displacements) and the corresponding incremental reaction ( $\Delta R$ ) (Fig. 2). For each equilibrium configuration, the  
 114 incremental forces ( $\Delta F_1$  and  $\Delta F_2$ ) corresponding to the imposed incremental displacements ( $\Delta u_1$  and  $\Delta u_2$ ) and  
 115 the relevant reaction  $\Delta R$  are determined (Fig. 2). Regarding the determination of these forces using the flexibility  
 116 method, the terms of the flexibility matrix,  $f_{\Delta F_1 \Delta F_1}$ ,  $f_{\Delta F_2 \Delta F_1}$ ,  $f_{\Delta R \Delta F_1}$ ,  $f_{\Delta F_1 \Delta F_2}$ ,  $f_{\Delta F_2 \Delta F_2}$ ,  $f_{\Delta R \Delta F_2}$ ,  $f_{\Delta F_1 \Delta R}$ ,  $f_{\Delta F_2 \Delta R}$ ,  
 117 and  $f_{\Delta R \Delta R}$  (with a generic representation of  $f_{ij}$ ) should be calculated [20]. Each term of flexibility matrix ( $f_{ij}$ ) is  
 118 obtained by applying the principal of virtual work resulting:

$$119 \quad f_{ij} = \int_0^L \frac{N_i N_j}{EA} dl + \int_0^L \frac{M_i M_j}{EI} dl + \int_0^L \frac{V_i V_j}{GA^*} dl + \int_0^L \frac{T_i T_j}{GJ} dl \quad (1)$$

(a)                      (a')                      (a'')                      (a''')

120 where  $f_{ij}$  is the displacement at coordinate  $i$  (in the direction of  $F_i$ ) due to the application of a real unit load at  
 121 coordinate  $j$  ( $F_j = 1$ ) on the released structure (see Fig. 2). By applying  $F_j = 1$ ,  $N_j$ ,  $M_j$ ,  $V_j$ , and  $T_j$  are the  
 122 internal axial force, bending moment, shear force and torsional moment, respectively. Besides, by applying a unit  
 123 virtual load  $F_i = 1$  at coordinate  $i$  on the released structure, following internal forces  $N_i$ ,  $M_i$ ,  $V_i$ ,  $T_i$  are  
 124 produced at any section. In Eq. (1)  $EA$ ,  $EI$ ,  $GA^*$ ,  $GJ$  are the axial, flexural, shear and torsional stiffnesses,

125 respectively. Also,  $E$  is the modulus of elasticity,  $I$  is the moment of inertia,  $A$  and  $A^*$  are the entire and  
 126 reduced, respectively, cross sectional area,  $G$  is shear modulus and  $J$  is the polar moment of inertia of the member.  
 127 In a 3D frame bar, two bending moments and two shear forces can develop in correspondence to the principal axis  
 128 of the cross section, but for the present version of the proposed model, a 2D bar is assumed, so the torsional term is  
 129 not considered, and only one bending component and one shear force is considered for the flexibility terms of  
 130 bending and shear. Furthermore the axial deformation is also neglected (term (a) in Eq. (1)), since the target type of  
 131 RC elements are those mainly submitted to bending and shear forces.  
 132 According to the principle of superposition effects, as represented in Fig. 2, the following three equations of  
 133 displacements compatibility can be established:

$$\begin{aligned}
 \Delta u_1 &= f_{\Delta F_1 \Delta F_1} \times \Delta F_1 + f_{\Delta F_1 \Delta F_2} \times \Delta F_2 + f_{\Delta F_1 \Delta R} \times \Delta R \\
 \Delta u_2 &= f_{\Delta F_2 \Delta F_1} \times \Delta F_1 + f_{\Delta F_2 \Delta F_2} \times \Delta F_2 + f_{\Delta F_2 \Delta R} \times \Delta R \\
 0 &= f_{\Delta R \Delta F_1} \times \Delta F_1 + f_{\Delta R \Delta F_2} \times \Delta F_2 + f_{\Delta R \Delta R} \times \Delta R
 \end{aligned} \tag{2}$$

135 and this equation can be rewritten in matrix format as:

$$\begin{bmatrix} f_{\Delta F_1 \Delta F_1} & f_{\Delta F_1 \Delta F_2} & f_{\Delta F_1 \Delta R} \\ f_{\Delta F_2 \Delta F_1} & f_{\Delta F_2 \Delta F_2} & f_{\Delta F_2 \Delta R} \\ f_{\Delta R \Delta F_1} & f_{\Delta R \Delta F_2} & f_{\Delta R \Delta R} \end{bmatrix} \begin{bmatrix} \Delta F_1 \\ \Delta F_2 \\ \Delta R \end{bmatrix} = \begin{bmatrix} \Delta u_1 \\ \Delta u_2 \\ 0 \end{bmatrix} \tag{3}$$

137

138 or more concisely:

$$\underline{f} \underline{\Delta F} = \underline{\Delta u} \tag{4}$$

140 where  $\underline{f}$  is the flexibility matrix;  $\underline{\Delta F}$  is the vector of unknown applied forces ( $\Delta F_1$  and  $\Delta F_2$ ) and reaction support  
 141 ( $\Delta R$ ); and  $\underline{\Delta u}$  is the vector of the imposed incremental displacements in the directions of  $\Delta F_1$ ,  $\Delta F_2$  and  $\Delta R$  (the  
 142 displacement corresponding to  $\Delta R$  is null). By solving Eq. (4) in terms of the vector of the unknown incremental  
 143 forces,  $\underline{\Delta F}$  is obtained:

$$\begin{bmatrix} \Delta F_1 \\ \Delta F_2 \\ \Delta R \end{bmatrix} = \begin{bmatrix} f_{\Delta F_1 \Delta F_1} & f_{\Delta F_1 \Delta F_2} & f_{\Delta F_1 \Delta R} \\ f_{\Delta F_2 \Delta F_1} & f_{\Delta F_2 \Delta F_2} & f_{\Delta F_2 \Delta R} \\ f_{\Delta R \Delta F_1} & f_{\Delta R \Delta F_2} & f_{\Delta R \Delta R} \end{bmatrix}^{-1} \begin{bmatrix} \Delta u_1 \\ \Delta u_2 \\ 0 \end{bmatrix} \rightarrow \underline{\Delta F} = \underline{f}^{-1} \underline{\Delta u} \tag{5}$$

144

145 The implementation of the proposed model to predict the total deflection (including the flexural and shear  
146 deformations) of statically determinate and indeterminate RC structures using the flexibility method is described in  
147 the flowchart exposed in Fig. 3. In this algorithm, in the first block, the initial values of the accumulative variables  
148 of the formulations are defined where these initial values are represented by subscript “0”, e.g., it is assumed that  
149 the initial value of the total force vector is null ( $\underline{F}_0 = 0$ , block (1)). After the definition of initial values, a loop of  
150 displacement increments ( $\Delta \underline{u}^n$ ) is executed up to an assumed maximum deflection ( $\underline{u}_{\max}$ ). In each increment of the  
151 displacement, the bending moments and shear forces are updated in block (2). Then, each term of the flexibility  
152 matrix is determined in block (3) by evaluating the contribution of all the elements the structure is decomposed  
153 ( $nel$ ), and considering the flexural and shear deformations according to Eq. (6) and to Eq. (7), respectively. In the  
154 next step, the incremental force vector is obtained in block (4) by applying Eq. (5). Then, the total force vector is  
155 updated in block (5) ( $\underline{F}^n = \underline{F}^{n-1} + \Delta \underline{F}^n$ ). After updating the total deflection in block (6) by  $\underline{u}^n = \underline{u}^{n-1} + \Delta \underline{u}^n$ , a  
156 new step of incremental deflections is executed if the maximum deflections ( $\underline{u}_{\max}$ ) were not yet attained, otherwise  
157 this incremental loading process is ended.

### 158 2.1.1. Flexural Part of the Flexibility Matrix

159 The objective of this section is to describe the flexural part of the flexibility matrix ( $a'$  in Eq. (1)). The diagrams of  
160 bending moments for the three equilibrium configurations of structure according to the superposition effects (see  
161 Fig. 2) are represented in Fig. 4. Each term of the flexibility matrix considering the internal work due to bending is  
162 obtained by:

$$163 \quad f_{ij}^{a'} = \int_0^L \frac{M_i M_j}{EI} dl = \sum_{k=1}^{nel} \left[ \frac{M_{i,k} M_{j,k}}{(EI)_k} dl_k \right] \quad (6)$$

164 where  $L$  is the total length of structure;  $EI$  is the cross section flexural stiffness of an element of length  $dl$ ;  $M_i$   
165 and  $M_j$  are the bending moments in the released structure corresponding to the equilibrium configurations due to  
166 applying the unit load at coordinates  $i$  ( $F_i = 1$ ) and  $j$  ( $F_j = 1$ ), respectively. Due to the possible variation of the  
167 structure in terms of geometry, bending moments or flexural stiffness, the structure is decomposed in a set of

168 elements ( $nel$ ) of length  $dl_k$ , where the bending moments ( $M_{i,k}$ ,  $M_{j,k}$ ) and the flexural stiffness  $(EI)_k$  is  
169 calculated in the center of each element [19]. The bending moments for each  $k$  element of the structure,  $M_{i,k}$  and  
170  $M_{j,k}$ , are obtained in the step (2) of the algorithm represented in Fig. 3, where  $M_{\Delta F_1}$ ,  $M_{\Delta F_2}$  and  $M_{\Delta R}$  are the  
171 bending moments in the structure due to the loading configurations  $\Delta F_1$ ,  $\Delta F_2$  and  $\Delta R$  represented in Fig. 4. In Eq.  
172 (6)  $(EI)_k$  is the tangent to the moment-curvature relationship of the cross section of the generic element  $k$ ,  
173  $(M - \chi)_k$ , for the updated applied moment  $M_k^n$  at the loading step  $n$ , as represented in Fig. 5. In this context, in the  
174 case of structures with different longitudinal reinforcement arrangement along the length, the structure length should  
175 be discretized into the several elements considering an equal reinforcement arrangement along each element length  
176 to determine the relevant  $(EI)_k$  term.

177 In the current analytical study, the moment-curvature relationship  $((M - \chi))$  of a cross section representative of a  
178 generic element was determined using the sectional analysis software DOCROS (Design Of CROSS Sections [21]).  
179 It is assumed that a plane section remains plane after deformation and perfect bond exists between distinct materials.  
180 “According to this sectional analysis software, a cross section is divided in layers. The thickness and the width of  
181 each layer depend on the cross section geometry and are defined by the user. Strain is considered the externally  
182 applied load by selecting a layer to control the loading process. By applying the predefined strain on control layer  
183 and assuming linear strain distribution along the depth of the section, curvature of the cross section is estimated  
184 iteratively. Imposing incremental strain up to a definite limit, internal strain should arise in diverse layers,  
185 consequently giving rise to internal forces that should balance the external loading conditions. Using constitutive  
186 laws, the stresses corresponding to the strains in different layer are calculated. The depth of neutral axis is changing  
187 iteratively until the force equilibrium is reached. Once the equilibrium is guaranteed, the bending moment is  
188 determined”.

189 DOCROS can analyze sections of irregular shape and size, subjected to constant axial load and variable curvature.  
190 In addition of the moment-curvature relationship  $(M - \chi)$  of element, DOCROS provide the neutral axis depth and  
191 the tensile strain and stress in each layer of the cross section during the loading process. More detailed information  
192 about the actual version of DOCROS can be found in [22].



193 2.1.2. Shear Part of the Flexibility Matrix

194 This section aims to describe how the shear term of the flexibility matrix (see  $a''$  in Eq. (1)) is evaluated. Fig. 6  
 195 indicates the shear force diagrams for the three equilibrium configurations of structure according to the  
 196 superposition effects represented in Fig. 2. Accordingly, considering the internal work due to shear, the shear  
 197 deformation contribution for the flexibility matrix is determined as follows:

198 
$$f_{ij}^{a''} = \int_0^L \frac{V_i V_j}{GA^*} dl = \sum_{k=1}^{nel} \left[ \frac{V_{i,k} V_{j,k}}{(GA^*)_k} dl_k \right] \quad (7)$$

199 where  $GA^*$  is the shear stiffness of an element with a length  $dl$ ;  $V_i$  and  $V_j$  are the shear forces in the released  
 200 structure corresponding to the equilibrium configurations due to applying the unit load at coordinates  $i$  ( $F_i = 1$ ) and  $j$   
 201 ( $F_j = 1$ ), respectively. When shear deformation is being considered, the discretization of a structure in elements  
 202 should not only consider the characteristics that influence the flexure part of the flexibility matrix, but also the shear  
 203 part, by considering the variation of cross section geometry, shear force or shear reinforcement arrangement along  
 204 the structure length. Accordingly, the shear deformation is evaluated in the center of each of the  $nel$  elements the  
 205 structure is decomposed, where the shear forces ( $V_{i,k}$ ,  $V_{j,k}$ ) and the tangential shear stiffness  $(GA^*)_k$  is calculated.  
 206 The shear forces for each  $k$  element of the structure,  $V_{i,k}$  and  $V_{j,k}$ , are obtained in the step (2) of the algorithm  
 207 represented in Fig. 3, where  $V_{\Delta F_1}$ ,  $V_{\Delta F_2}$  and  $V_{\Delta R}$  are the shear forces in the structure due to the loading  
 208 configurations  $\Delta F_1$ ,  $\Delta F_2$  and  $\Delta R$  represented in Fig. 6.

209 In RC elements subjected to bending moments and shear forces, the formation of flexural and shear cracks decrease  
 210 the flexural and shear stiffness. In fact, the crack opening decreases the aggregate interlock shear mechanism,  
 211 reducing the crack shear stress transfer capacity. On the other hand, the irregularities in the crack surface due to the  
 212 presence of aggregates promote the occurrence of crack opening during the crack shear sliding [23, 24], therefore  
 213 cracking process in a zone of shear forces and bending moments is governed by an interdependence of fracture  
 214 mode I and fracture mode II model parameters.

215 For taking into account the effect of cracks (composed of flexural and shear cracks) on the shear stiffness  
216 degradation, the tangential shear stiffness ( $GA^*$ ) of the cross section of each element during the loading process is  
217 determined assuming the corresponding shear force versus shear deformation ( $V-\gamma$ ) approach of the cross section  
218 schematically represented in Fig. 7. According to this approach, the  $V-\gamma$  response can be regarded as formed by  
219 the pre-cracking, post-cracking, and post-diagonal cracking stages, delimited by the following points (Fig. 7):  
220 concrete crack initiation (point (cr)); diagonal crack initiation (point (dcr)); and ultimate shear capacity (point (us)).

221 According to the experimental evidence, prior to flexural cracking (pre-cracking stage) the shear force applied on  
222 the cross section is carried exclusively by the uncracked concrete,  $V_{cz}$  (Fig. 8). Since the flexural cracking and the  
223 initiation of the diagonal cracking, the external shear force is resisted by the uncracked concrete ( $V_{cz}$ ), the vertical  
224 component ( $V_{ay}$ ) of the crack shear stress transfer capacity ( $V_a$ , also known as aggregate interlock shear resisting  
225 mechanism) and the dowel shear effect carried by the tensile longitudinal steel reinforcement ( $V_d$ ) (Fig. 8). After  
226 diagonal cracking and before the yield initiation of stirrups (post-diagonal cracking stage), a portion of the applied  
227 shear force is resisted by the web reinforcement ( $V_s$ ) (see Fig. 8). Following the yielding of steel stirrups, the  
228 external shear force can only increase if the additional contribution of  $V_{cz}$ ,  $V_d$ , and  $V_{ay}$  is favorable in this respect,  
229 since  $V_s$  no longer increases (in case of assuming perfectly plastic behavior for the steel of this reinforcement). In  
230 other words, after the steel stirrup yielding and before shear failure, as the inclined diagonal crack widens at an  
231 increasing rate, the  $V_{ay}$  decreases quickly, resulting in an increase of  $V_{cz}$  and  $V_d$ . Eventually, shear failure occurs  
232 due to either splitting (dowel) failure or compression zone failure due to combined shear and compression [25].

233 In next sections the shear stiffness for these stages is evaluated.

#### 234 2.1.2.1. *Pre-cracking Stage*

235 The pre-cracking stage corresponds to the linear elastic behavior of structure, where the flexural cracks still do not  
236 appear. In other words, this pre-cracking stage is delimited by an instant when the tensile strain at the extreme  
237 bottom fiber of concrete reaches its flexural tensile strength. During this stage, the shear stress along the depth of the  
238 cross section is linearly related to the shear strain ( $\gamma$ ) considering the shear modulus of concrete ( $G_e$ ) obtained by:

239 
$$G_e = \frac{E_c}{2(1+\nu)} \quad (8)$$

240 where  $E_c$  and  $\nu$  are the Young modulus and Poisson coefficient of concrete, respectively. Accordingly, the cross  
241 sectional shear stiffness ( $GA^*$ ) can be accurately estimated by multiplying the shear modulus of concrete ( $G_e$ ) with  
242 shear resistance surface ( $A^*$ ) obtained from:

243 
$$A^* = \frac{b \cdot d}{f_s} \quad (9)$$

244 where  $b$  and  $d$  are the width and height of cross section, and  $f_s$  is the shear correction factor according to the  
245 Timoshenko theory. In fact, this shear correction factor is defined to accurately consider the shear deformation  
246 effects caused by non-uniform distribution of the shear stresses over the cross-section of the beam [6, 26].  
247 According to the Timoshenko theory, the shear correction factor depends on Poisson's ratio as follows:

248 
$$f_s = \frac{1}{k} \rightarrow k = \frac{5+5\nu}{6+5\nu} \quad (10)$$

249 In rectangular cross section elements the most used factor is given as  $f_s = 6/5$ , which is obtained by assuming a  
250 parabolic shear stress distribution over the cross-section [6, 26]

251

#### 252 2.1.2.2. Post-cracking Stage

253 By increasing the load over the concrete crack initiation and subsequent generation of flexural cracks in shear span,  
254 this concrete cracking stage is followed by initiating the shear diagonal cracking in the shear span of structure,  
255 where the load carrying capacity of RC structure corresponding to this shear diagonal cracking stage ( $V_{der}$ ) is  
256 obtained according to the recommendation of ACI-318 [1, 27] design guideline considering the shear strength of the  
257 cross section provided by concrete as follows:

258 
$$V_{der} = V_c = 0.17\sqrt{f_c} b_w d_s \quad (11)$$

259 where  $f_c$  is the compressive strength of concrete;  $b_w$  and  $d_s$  are the web thickness of cross section and internal  
260 arm of longitudinal tensile steel bars, respectively.

261 During this stage (after the concrete crack initiation up to the shear diagonal crack initiation which is known as post-  
 262 cracking stage), the extension of the flexural cracks reduces not only the flexural stiffness but also decreases the  
 263 capability in transferring the shear forces of member [5]. Accordingly, the shear stiffness is no longer in the elastic  
 264 range after the generation of flexural cracks. In this regard, the objective of the current section is to propose a  
 265 methodology to take into account the influence of flexural cracking during this stage on the shear stiffness  
 266 degradation.

267 For this purpose, the behavior of concrete in the compression zone was assumed to be linear during this post-  
 268 cracking stage and, consequently, the corresponding shear stiffness ( $GA_{cc}^*$ ) is obtained considering the equations  
 269 represented for the pre-cracking stage (Eqs. (8) and (9)).

270 However, the contribution of concrete in the cracked tension zone for determining the shear stiffness was considered  
 271 using a shear retention factor ( $\beta$ ), which reduces the elastic shear modulus ( $\beta G_e$ ) [28]. This shear retention factor  
 272 ( $\beta$ ) physically depends on the aggregate interlock and dowel action effects [29].

273 In order to determine the shear retention factor ( $\beta$ ), considering the aggregate interlock and longitudinal  
 274 reinforcement effects, the following equations were proposed by [30] based on the experimental results conducted  
 275 by [31, 32, 33]:

$$276 \quad \beta = -\frac{\ln\left(\frac{\varepsilon_{ct}}{C_1}\right)}{C_2} \quad (12a)$$

277 where

$$278 \quad C_1 = 7 + 5 \frac{\rho_{eq,ef}^e - 0.005}{0.015} \quad (12b)$$

279 and

$$280 \quad C_2 = 10 - 2.5 \frac{\rho_{eq,ef}^e - 0.005}{0.015} \quad (12c)$$

281 where  $\rho_{eq,ef}^e$  is the effective longitudinal reinforcement ratio according to the Eurocode recommendations [34].  
 282 Since  $\beta$  should be less than the unit value, it should be respected the following condition:

$$283 \quad \varepsilon_{ct} (\%) > C_1 \exp(-C_2) \quad (12d)$$

284 An approach for defining  $\beta$  simpler than the previous one has also been used, where  $\beta$  is function of the axial  
 285 tensile strain of concrete ( $\varepsilon_{ct}$ ) and the ultimate concrete tensile strain ( $\varepsilon_{ctu}$ ), as follows:

$$286 \quad \beta = \left( 1 - \frac{\varepsilon_{ct}}{\varepsilon_{ctu}} \right)^P \quad (13)$$

287 In this equation,  $P$  is a parameter that determines the shape of reduction of concrete shear modulus by increasing  
 288 the concrete tensile strain, and can adopt the value of 1, 2, or 3 [35].

289 In order to estimate the shear modulus retention factor ( $\beta$ ) with a higher accuracy (Eq. (13)), the cross section in  
 290 tension zone is divided in layers of relatively small thickness (no more than 10% of the cross section depth). Hence,  
 291 assuming a linear proportionality of strain distribution along the depth of the cross section with regard to the neutral  
 292 axis level, the mean strain in each layer is taken as a representative concrete tensile strain to calculate the  
 293 corresponding value of the shear modulus retention factor ( $\beta_a$ ) of the layer. Accordingly, during the post-cracking  
 294 stage, the sectional shear stiffness ( $GA^*$ ) is obtained using Eq. (14) considering the corresponding shear stiffness of  
 295 compression zone ( $GA_{cc}^*$ ) and tension zone ( $GA_{ct}^*$ ) of the cross section (represented in Fig. 9):

$$296 \quad GA^* = GA_{cc}^* + GA_{ct}^* = \frac{G_e \cdot b \cdot c}{f_s} + \frac{G_e \cdot b}{f_s} \sum_{a=1}^m \beta_a \cdot h_a \quad (14)$$

297 where  $c$  is the neutral axis depth of the element cross section obtained in the current study by performing sectional  
 298 analysis using DOCROS software.  $h_a$  is the thickness of each layer determining via dividing the tension zone depth  
 299 (which is obtain by deducting neutral axis depth from the total element depth) by chosen number of layers ( $m$ ) in  
 300 concrete tension zone.

301 On the other hand, adopting the neutral axis depth of cross section during the loading process for determining the  
 302 shear stiffness of compression zone ( $GA_{cc}^*$ ) and tension zone ( $GA_{ct}^*$ , in terms of calculating  $\beta$  parameter),  
 303 highlights the potential of the proposed analytical model to take into account the influence of the flexural stiffness  
 304 degradation during the post-cracking stage on the shear stiffness ( $GA^*$ ) of the cross section. In other words, by  
 305 increasing the applied load during this stage, the decrease of the neutral axis depth of cross section results in a  
 306 reduction of sectional shear stiffness ( $GA^*$ ) considering Eq. (14).

307

308 *2.1.2.3. Post-diagonal cracking stage*

309 The post-diagonal cracking corresponds to the stage where the internal shear force of cross section exceeds the  
310 corresponding diagonal shear strength (obtained by Eq. (11)). On the other hand, in the present section, the ultimate  
311 load carrying capacity of the cross section is controlled by adopting the shear failure [2]. Concerning the shear  
312 failure, the ultimate load carrying capacity of the cross section is determined using a simplified analytical model  
313 according to the modified compression field theory (MCFT) proposed by Bentz et al. [17]. According to this model,  
314 the shear strength of a section is a function of two parameters of  $\beta_s$  and  $\theta_s$ . These two parameters are a factor for  
315 tensile stresses in the cracked concrete ( $\beta_s$ ) and the inclination of the diagonal compressive stresses in the web of  
316 cross section ( $\theta_s$ ). Moreover, both of these parameters are functions of longitudinal strain  $\varepsilon_x$  and the equivalent  
317 crack spacing  $s_{xe}$ . Accordingly, the shear strength of the web of cross section ( $v$ ) is determined using this  
318 simplified MCFT procedure as follows:

319 
$$v = v_c + v_s = \beta_s \sqrt{f_c} + \rho_w f_y \cot \theta_s \quad (15a)$$

320 Where

321 
$$\beta_s = \frac{0.4}{1 + 1500 \varepsilon_x} \cdot \frac{1300}{1000 + s_{xe}} \quad (15b)$$

322 
$$\theta_s = \left( 29^\circ + 7000 \varepsilon_x \right) \left( 0.88 + \frac{s_{xe}}{2500} \right) \leq 75^\circ \quad (15c)$$

323 
$$s_{xe} = \frac{35 s_x}{a_g + 16} \quad (15d)$$

324 
$$\varepsilon_x = \frac{f_{sx}}{E_s} = \frac{v \cot \theta - v_c / \cot \theta}{E_s \rho_x} \quad (15e)$$

325 In Eq. (15a)  $v_c$  and  $v_s$  are the concrete and steel stirrups shear contributions, respectively, and  $\rho_w$  is the ratio of  
326 stirrup area ( $A_v$ ) to the web area ( $b_w \cdot s$ , where  $s$  is the distance between steel stirrups). In Eqs. (15b) and (15c),  $\varepsilon_x$   
327 is longitudinal strain of the web (tensile positive, compressive negative) obtained by iterative procedure. In this  
328 regard, the calculations start by assuming an initial value of  $1.0 \times 10^{-3}$  for  $\varepsilon_x$  [17]. For solving Eqs. (15b) and (15c),

329 it is needed to obtain  $s_{xe}$  by Eq. (15d), where the term  $a_g$  is equal to the maximum coarse aggregate size and  $s_x$  is  
 330 the vertical distance between longitudinal bars in the x-direction (element axis) considered as the greater of  $0.9d_s$   
 331 or  $0.72h$  (Fig. 10a). By using these values ( $s_{xe}$ ,  $\varepsilon_x$ ) for solving Eqs. (15b) and (15c),  $\beta_s$  and  $\theta_s$  are determined.  
 332 By substituting these values ( $\beta_s$  and  $\theta_s$ ) in Eq. (15a), the shear strength of the web of cross section ( $v$ ) is  
 333 determined. By applying  $v$  in Eq. (15e), the new value of  $\varepsilon_x$  is obtained. If the difference between the assumed  
 334 value and this new obtained value of  $\varepsilon_x$  is higher than an assumed tolerance (0.01%), a new estimation of  $\varepsilon_x$   
 335 requires to be made and the calculations should be repeated, otherwise, convergence is reached and the shear  
 336 strength of the cross section ( $v$ ) is determined by using this value of longitudinal strain.

337 To simulate the shear stiffness degradation of cross section during the post-diagonal cracking stage, two boundary  
 338 states corresponding to the diagonal shear crack initiation and fully developed diagonal shear crack are considered  
 339 (Fig. 10b). The proposed mean value of shear strain ( $\gamma_m$ ) between these two boundaries is defined according to the  
 340 shear strains corresponding to the initiation of diagonal shear cracking ( $\gamma_{dcr}$ ) and full development of diagonal  
 341 shear cracking ( $\gamma_{us}$ ) (Fig 10b). In this context,  $\gamma_{dcr}$  is determined dividing the applied shear load ( $V$ ) in the  
 342 element when is equal to the  $V_{dcr}$  (determined from Eq. (11)) by corresponding shear stiffness of cross section,  
 343  $(GA^*)_{dcr}$ , at the diagonal shear crack initiation stage. To determine this shear stiffness  $(GA^*)_{dcr}$  using Eq. (14), the  
 344 neutral axis depth in this equation is calculated considering the corresponding moment ( $M_{dcr}$ ) at the diagonal shear  
 345 crack initiation stage. In fact, using Eq. (14) for determining the  $\gamma_{dcr}$ , the degrading effects of flexural cracking on  
 346 the shear stiffness in the post-cracking stage are taken into account during the post-diagonal cracking stage:

347 
$$\gamma_{dcr} = \frac{V_{dcr}}{(GA^*)_{dcr}} \quad (16)$$

348 Concerning the determination of the shear strain of fully diagonal shear cracked ( $\gamma_{us}$ ), this shear strain is obtained  
 349 based on recommendation of CEB Manual [36] using truss model analogies:

350

351 
$$\gamma_{us} = \frac{V}{0.9 d_s b_w} \left( \frac{1}{\rho_w E_s (\cot g \alpha + 1)^2 \sin^4 \alpha} + \frac{4}{E_c (\cot g \alpha + 1)^2} \right) \quad (17a)$$

352 where

353 
$$\rho_w = \frac{A_v}{s b_w \sin \alpha} \quad (17b)$$

354 being  $E_s$  the elasticity modulus of steel stirrups, and  $\alpha$  the angle between shear reinforcement and longitudinal  
 355 axis of element. Hence, in the case of stirrups orthogonal to the element axis ( $\alpha = 90^\circ$ ), Eq. (17a) can be simplified  
 356 as:

357 
$$\gamma_{us} = \frac{V}{0.9 d_s b_w} \left( \frac{1}{\rho_w E_s} + \frac{4}{E_c} \right) \quad (18)$$

358 Therefore, the shear strain during the transition stage is defined using a mean shear strain value ( $\gamma_m$ ) based on the  
 359 CEB manual recommendations [36]:

360 
$$\gamma_m = (1 - \zeta) \gamma_{dcr} + \zeta \gamma_{us} \quad (19)$$

361 where  $\zeta$  is a function of the applied shear force ( $V$ ), and is obtained by:

362 
$$\zeta = 0 \quad \text{for} \quad V \leq V_{dcr} \quad (20a)$$

363 
$$\zeta = 1 - \left( \frac{4V_{dcr} - V}{3V_{dcr}} \right)^2 \quad \text{for} \quad V_{dcr} < V < 4V_{dcr} \quad (20b)$$

364 
$$\zeta = 1 \quad \text{for} \quad V \geq 4V_{dcr} \quad (20c)$$

365

366 Accordingly, the sectional shear stiffness ( $GA^*$ ) during the post-diagonal shear cracking stage is obtained using the  
 367 effective shear modulus ( $G_{eff}$ ) of the cross section, which is obtained by:

368 
$$G = G_{eff} = \frac{V}{\gamma_m A^*} \quad (21)$$

369

370 **3. Assessment of Predictive Performance of Analytical Approach**



371 The objective of the present section is to assess the performance of the described analytical model to predict the  
372 response of indeterminate and determinate RC structures. Regarding the assessment of the performance of the  
373 proposed analytical model for predicting the response of indeterminate RC structures, the flexural terms of the  
374 proposed analytical model were applied on the prediction of the flexural behavior of the statically indeterminate  
375 unstrengthened and flexurally strengthened RC slabs using fiber reinforced polymer (FRP) applied according to the  
376 near surface mounted (NSM) technique [20]. These indeterminate RC slabs had two spans with one degree of  
377 indeterminacy (see Fig.11). In fact, the shear term of the analytical model was neglected to predict the responses of  
378 these indeterminate slabs, since the contribution of the shear term of the model for the response of these types of  
379 structures is marginal. In Fig.11 the force-deflection responses obtained analytically using the flexural term of the  
380 described model and registered experimentally are compared for the unstrengthened and strengthened indeterminate  
381 RC slabs. This figure evidences that the developed model is capable of predicting the response of these types of  
382 structures with good accuracy up to a very high deflection level. More detailed information about this assessment of  
383 the predictive performance of the analytical model concerning the response of indeterminate RC structures can be  
384 found in [20].

385 In the following, the proposed analytical model is applied on the prediction of the responses of determinate RC  
386 beams (with rectangular, square, T-cross sectional area, I-cross sectional area and large depth beam), where the  
387 influence of the shear deformation on the total deflection is significant and, therefore, should be not negligible.

388

### 389 3.1. Prediction of flexural and shear deformations of RC beams

390 The flexural and shear deformations of two rectangular RC beams with web shear reinforcement obtained in the  
391 experimental tests carried out by Hansapinyo et al. [5], are analytically predicted using the proposed model. The  
392 experimental mid-span deflections of these RC beams were reported separately in terms of the flexural, shear, and  
393 total deflections. In this reference [5] the authors describe the procedure adopted to determine the deflection part due  
394 to shear deformation by considering the adopted monitoring system. The data defining the geometry, reinforcement  
395 details, and main material properties of these beams is included in Table 1. The beams were simply supported, and  
396 were monotonically tested under four-point loading. Moreover, one of the analyzed beams (designated by SP1) was

397 failed by yielding of the longitudinal tensile steel bars before shear diagonal failure, while in the other beam  
398 (designated by SP2), the shear diagonal failure was occurred before yielding of the longitudinal tensile steel bars.

399

### 400 3.1.1. Assessment of analytical model according to ACI and CEB recommendations

401 According to the proposed model, the shear diagonal strength is determined based on the recommendations of ACI-  
402 318 design guideline [1] (Eq.(11)), since the shear diagonal strength recommended by this design guideline provides  
403 a more accurate response of RC beam compared to the corresponding response obtained using the recommendations  
404 of CEB manual [36] in this regard . These recommendations of CEB manual are as follows:

$$405 V_{Dcr} = \tau_r \cdot k (1 + 50 \cdot \rho_l) b_w \cdot d_s \quad (22a)$$

406 where

$$407 k = 1.6 - d_s \geq 1 \quad (\text{here } d_s \text{ is in meter}) \quad (22b)$$

$$408 \rho_l = \frac{A_{sl}}{b_w \cdot d_s} \leq 0.02 \quad (22c)$$

409 where  $\tau_r$  is a function of concrete compressive strength (MPa) [36] and  $A_{sl}$  is the cross sectional area of  
410 longitudinal tensile steel reinforcement.

411 In this regard, Fig. 12 compares the load versus total mid-span deflection relationship registered experimentally and  
412 obtained analytically using ACI-318 design guideline and CEB manual recommendations, for the SP1 beam.  
413 Analyzing this figure, it is observed that the response of the SP1 beam in terms of load versus total mid-span  
414 deflection relationship was analytically predicted using the recommendations of ACI-318 for the shear diagonal  
415 strength with higher accuracy compared to the corresponding response obtained according to the recommendations  
416 of CEB manual.

417

### 418 3.1.2. Assessment of flexural, shear and total deflections of RC beams

419 The good predictive performance of the proposed analytical model regarding the prediction of the flexural and shear  
420 deformations of the SP1 and SP2 beams is demonstrated in Figs. 13 and 14, respectively. Furthermore, the  
421 maximum shear capacity of these analyzed beams obtained by simplified MCFT procedure was accurately  
422 accommodated to the corresponding experimental capacity. However, this maximum shear capacity of the SP1 beam  
423 (see Fig. 13a) was analytically predicted immediately after the yielding of longitudinal steel bars, which was the  
424 case observed experimentally.

425 To highlight the influence of shear deformation on the prediction of total deflection of RC structure, Fig. 15  
426 compares the analytical total deflections of the SP1 and SP2 beams (obtained by considering the contribution of  
427 flexural and shear deformations) with the corresponding flexural deflections obtained using only the flexural terms  
428 of the analytical model and the proposed formulation in the ACI-318 to estimate the flexural response of RC  
429 structure [1]. According to the recommendation of ACI-318, the deflections of a cracked RC structure can be  
430 estimated using the elasticity modulus for concrete ( $E_c$ ) and effective moment of inertia ( $I_e$ ) proposed by Branson  
431 as follows [1, 37]:

$$432 \quad I_e = \left( \frac{M_{cr}}{M_a} \right)^3 I_g + \left[ 1 - \left( \frac{M_{cr}}{M_a} \right)^3 \right] I_{cr} \leq I_g \quad (23)$$

433 where  $M_{cr}$  and  $M_a$  are the cracking and maximum applied bending moments in the element, respectively;  $I_g$  and  
434  $I_{cr}$  are, respectively, the moment of inertia of the section in the uncracked and fully cracked conditions.  $I_{cr}$  is  
435 obtained by transforming the cross sectional area of longitudinal steel reinforcement to the corresponding equivalent  
436 concrete area, and the moment of inertia of fully cracked section is determined considering the corresponding  
437 neutral axis depth of the section. Hence, Fig. 15 confirms the importance of considering the shear deformations to  
438 more accurate prediction of total deflections of RC structure.

439

### 440 3.2. Prediction of total deflections of rectangular and square cross section RC beams

441 The performance of the described analytical model in terms of the load-total deflection relationships of RC beams  
442 with rectangular (designated by SP3) and square (designated by SP4) cross sectional area was evaluated by

443 simulating the experimental tests conducted by Barros et al. [38]. The data defining the geometry and reinforcement  
444 details, as well as the main material properties of this experimental program is included in Table 2. The beams were  
445 simply supported, and were monotonically tested under four-point loading. Moreover, the RC beam with rectangular  
446 cross section (SP3) failed by yielding of the longitudinal tensile steel reinforcement immediately followed by the  
447 diagonal shear failure, while the shear failure was occurred in the RC beam with square cross sectional (SP4) before  
448 steel yielding. The relationship between the applied loads versus the total deflections of mid-span cross section  
449 obtained analytically and experimentally is depicted in Fig. 16, where is demonstrated the good predictive  
450 performance of the analytical model regarding the prediction of the total deflections of RC beams with rectangular  
451 and square cross sectional area. Moreover, the adopted simplified MCFT procedure for estimating the maximum  
452 shear capacity of cross section predicted with good accuracy the maximum load carrying capacity of the analyzed  
453 beams. However, the yielding of the longitudinal tensile steel reinforcement was occurred at a lower load carrying  
454 capacity than the corresponding one registered experimentally for the SP3 and SP4 beams, which may be attributed  
455 to the reported material properties for the analyzed beams.

456

### 457 3.3. Prediction of total deflections of T-cross section RC beams

458 To appraise the performance of the proposed analytical model, it is applied on the prediction of the response of two  
459 T-cross section RC beams tested by Panda et al. [39]. The characteristics of these beams in terms of the geometry  
460 and reinforcement details are represented in Table 3. Moreover, this table includes the average values of the main  
461 material properties for the analyzed beams. These two simply supported beams were monotonically tested under  
462 four-point loading configuration. The maximum capacity of both T-cross section RC beams (designated by SP5 and  
463 SP6) was controlled by the occurrence of shear failure. Fig. 17 compares the load versus mid-span total deflection  
464 relationship obtained analytically and registered experimentally for the RC beams. A good predictive performance  
465 of the proposed analytical model is achieved for the tested beams. However, the simplified MCFT has  
466 underestimated the maximum shear capacity of the beams.

### 467 3.4. Prediction of the shear deformation of I-cross section RC beam

468 To assess the predictive performance of the proposed model, one simply supported I-cross section RC beam  
469 subjected to two symmetrical loads, tested by Debernardi et al. [40], was simulated. The characteristics of this beam  
470 in terms of the geometry and reinforcement details are provided in the Table 4. This simply supported beam was  
471 monotonically tested under four-point loading configuration. Fig. 18 demonstrates that the proposed analytical  
472 model is capable of predicting with high accuracy the deflection behavior of this type of structures. Likewise, as  
473 shown in Fig.18, the maximum shear capacity of the cross section obtained by MCFT approach matches very well  
474 the value registered experimentally.”

475

### 476 3.5. Prediction of total deflection of large depth reinforced concrete beam

477 For evaluating the capability of the proposed model to predict the behavior of large depth beam, it is implemented  
478 on the simulation of a simple supported beam with relatively large depth subjected to uniformly distributed loads  
479 tested by Perkins et al.[41]. The data defining the geometry and reinforcement details, as well as the main material  
480 properties of this experimental program is included in Table 5. The comparisons between the results obtained  
481 analytically and registered experimentally for the analyzed large depth RC beam are shown in Fig. 19. The obtained  
482 results reveal that, for the case of beam with relatively large depth, using the ACI-318 recommendation for the shear  
483 diagonal strength, Eq.(11), provides an inaccurate prediction of the beam response since in this equation, the  
484 coefficient of 0.17 was empirically obtained by taking into account the results from experimental tests on the regular  
485 beams. Thus, the ACI-318 proposed equation is not applicable for the case of beam with relatively large depth, like  
486 the beams used in bridge structure, since it is calibrated for the regular civil engineering structural beams.

487 On the other hand, in the FIB Model Code 2010 (MC-2010) [42], the shear strength of the cross section provided by  
488 concrete is obtained from the following equation:

$$489 \quad V_{der} = k_v \sqrt{f_{ck}} b_w z$$

490 By considering the level I approximation,  $k_v$  is considered as:

$$491 \quad k_v = \frac{180}{1000 + 1.25z}$$

492 where  $z$  is the effective shear depth that can be assumed equal to  $0.9d$  (mm),  $f_{ck}$  is characteristic value of  
493 concrete compressive strength and  $b_w$  is the width of the beam's web.

494 Using the above-mentioned MC-2010 recommendation for calculating the shear diagonal strength in the proposed  
495 model, the relationship between the applied loads versus the mid-span total deflections of the analyzed beam with  
496 relatively large depth is analytically predicted and represented in Fig.19. In this figure, the comparisons of the  
497 experimental data with the analytical response, according to the MC-2010 recommendation for the shear diagonal  
498 strength, show a better predictive performance of the proposed analytical model when compared to the  
499 corresponding analytical response according to the ACI-318 recommendation. This fact can be attributed to the size  
500 effect consideration of MC-2010 recommendation for the shear diagonal strength. However, for the case of regular  
501 beams, the shear strength of the cross section obtained by using this formulation is almost similar to the shear  
502 strength provided by the ACI-318 recommendation. On the other hand, Fig.19 evidences that the prediction of  
503 maximum shear capacity of the beams with relatively large depth using the simplified MCFT was underestimate.”

504

#### 505 **4. Conclusions**

506 The current study aimed to develop a novel analytical model with a design framework, based on the flexibility  
507 (force) method, to simultaneously or separately predict the flexural and shear deformations of RC structures due to  
508 the relevant nonlinearities occurred in the constituent materials up to the collapse (in flexure or shear) of these  
509 structures, such as flexural and shear cracks in concrete and plastic strains. The applicability of the developed  
510 analytical model is not limited to statically determinate RC elements, since the force method principles were used to  
511 extend its use to statically indeterminate RC structures.

512 In this model, the ultimate load carrying capacity of the cross section is controlled by considering the possibility of  
513 occurring a flexural failure (yielding of the steel bars in tension) or shear diagonal failure (according to modified  
514 compression field theory (MCFT)). The flexural deflections of a structure are determined using the tangential  
515 flexural stiffness of the representative cross sections of this structure, obtained from the corresponding moment-  
516 curvature relationship. For evaluating the shear deflections of a structure, the tangential shear stiffness of the  
517 representative cross sections of this structure during the loading process was obtained by assuming the shear

518 stiffness evolution can have a pre-cracking, post-cracking, and post-diagonal cracking stages delimited by the  
519 concrete crack initiation; diagonal crack initiation; and ultimate shear capacity, respectively. Since after the  
520 generation of flexural cracks, the shear stiffness is no longer in the elastic range, another objective of the current  
521 model was to propose a methodology to take into account the influence of the extension of flexural cracks during the  
522 post-cracking stage on the shear stiffness degradation.

523 The results of experimental programs composed of RC beams with rectangular, square, - T-cross sectional area, I-  
524 cross sectional area and large depth beam in terms of load versus total, flexural, and shear deflections, were  
525 compared with the ones obtained by the proposed analytical model, and a good predictive performance was  
526 evidenced. Moreover, the good predictive performance of the model regarding the response of statically  
527 indeterminate structures was confirmed by simulating RC slabs with one degree of indeterminacy.

528

## 529 **5. Acknowledgements**

530 The first and second authors acknowledge the support of Marie Curie Initial Training Network under the project  
531 “ENDURE” with reference number 607851, funded by the EU programme: FP7-people. The study reported in the  
532 paper is part of the ongoing research activities in the scope of project ENDURE. The third author acknowledges the  
533 grant provided by TecMinho.

534

535

536 **6. Notation**

$a_g$  : maximum coarse aggregate size, (mm);  
 $b_w$  : web thickness of cross section, (mm);  
 $c$  : neutral axis depth, (mm);  
 $d_s$  : web thickness of cross section, (mm);  
 $E$  : modulus of elasticity, (MPa);  
 $f_c$  : compressive strength of concrete, (MPa);  
 $f_{ij}$  : components of flexibility matrix;  
 $f_s$  : shear correction factor;  
 $G$  : shear modulus, (MPa);  
 $h$  : total depth of section, (mm);  
 $h_f$  : thickness of each layer in tension zone, (mm);  
 $I$  : moment of inertia, (mm<sup>4</sup>);  
 $J$  : polar moment of inertia, (mm<sup>4</sup>);  
 $L$  : length of the structure, (mm);  
 $M$  : internal bending moment, (N.mm);  
 $N$  : internal axial force, (N);  
 $T$  : internal torsional moment, (N.mm);  
 $V$  : internal shear force, (N);  
 $V_s$  : the shear carried by transverse reinforcement, (N);

$\beta$  : shear modulus retention factor;  
 $\beta_s$  : factor for tensile stresses in the cracked concrete;  
 $dl_k$  : length of element k, (mm);  
 $\Delta F$  : load increment, (N);  
 $\Delta u$  : incremental displacements, (mm);  
 $\varepsilon_{ctu}$  : ultimate concrete tensile strain;  
 $\varepsilon_{ct}$  : axial tensile strain of concrete;  
 $\varepsilon_x$  : longitudinal strain;  
 $nel$  : number of element;  
 $\rho_w$  : ratio of stirrup area to the web area;  
 $\rho_x$  : ratio of longitudinal reinforcement  
 $s_{xe}$  : equivalent crack spacing, (mm);  
 $\theta_s$  : inclination of the web diagonal compressive stresses;  
 $\nu$  : Poisson ratio;  
 $\chi$  : Curvature;  
 $\gamma$  : shear strain;

537

538



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Table 1: Geometry, reinforcement details and main material properties of specimens SP1 and SP2

Tested beams	$L$ (mm)	$b_w$ (mm)	$h$ (mm)	$d_s$ (mm)	$a/d$	$\rho_l$ (%)	$\rho_w$ (%)	$f_c$ (Mpa)	$f_{yl}$ (Mpa)	$f_{yt}$ (Mpa)
SP1	1800	150	350	308	2.6	2.13	0.47	33	440	370
SP2	1800	150	350	308	2.6	2.13	0.31	33	440	370

$L$ :length of the beam;  $b_w$ :the web thickness of the beam cross section;  $h$ :the height of the beam cross section;  $d_s$ :internal arm of longitudinal tensile steel bars;  $a/d$ : shear span to effective depth ratio,  $\rho_l$ :ratio of area of longitudinal reinforcement to beam effective sectional area;  $\rho_w$ :ratio of stirrup area to web area  $f_c$ :compressive strength of concrete;  $f_{yl}$ :yielding stress of longitudinal reinforcing steel;  $f_{yt}$ :yielding stress of stirrups steel

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Table 2: Geometry, reinforcement details and main material properties of specimens SP3 and SP4

Tested beams	$L$ (mm)	$b_w$ (mm)	$h$ (mm)	$d_s$ (mm)	$a/d$	$\rho_l$ (%)	$\rho_w$ (%)	$f_c$ (Mpa)	$f_{yl}$ (Mpa)	$f_{yt}$ (Mpa)
SP3	1500	150	300	274	2	1.1	0.25	37.6	574	540
SP4	900	150	150	125	2	2.4	0.5	49.5	571	540

$L$ :length of the beam;  $b_w$ :the web thickness of the beam cross section;  $h$ :the height of the beam cross section;  $d_s$ :internal arm of longitudinal tensile steel bars;  $a/d$ : shear span to effective depth ratio,  $\rho_l$ :ratio of area of longitudinal reinforcement to beam effective sectional area;  $\rho_w$ :ratio of stirrup area to web area  $f_c$ :compressive strength of concrete;  $f_{yl}$ :yielding stress of longitudinal reinforcing steel;  $f_{yt}$ :yielding stress of stirrups steel

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Table 3: Geometry, reinforcement details and main material properties of specimens SP5 and SP6

Tested T-beams	$L$ (mm)	$b_w$ (mm)	$b_f$ (mm)	$h$ (mm)	$h_f$ (mm)	$d_s$ (mm)	$a/d$	$\rho_l$ (%)	$\rho_w$ (%)	$f_c$ (Mpa)	$f_{yl}$ (Mpa)	$f_{yt}$ (Mpa)
SP5	2200	100	250	260	60	225	3.26	2.79	0.19	39.53	500	252
SP6	2200	100	250	260	60	225	3.26	2.79	0.28	42.67	500	252

$L$ :length of the beam;  $b_w$ :the web thickness of the beam cross section;  $b_f$ :the flange thickness of the beam cross section;  $h$ :the height of the beam cross section;  $h_f$ :the flange thickness of the beam cross section;  $d_s$ :internal arm of longitudinal tensile steel bars;  $a/d$ : shear span to effective depth ratio,  $\rho_l$ :ratio of area of longitudinal reinforcement to beam effective sectional area;  $\rho_w$ :ratio of stirrup area to web area  $f_c$ :compressive strength of concrete;  $f_{yl}$ :yielding stress of longitudinal reinforcing steel;  $f_{yt}$ :yielding stress of stirrups steel

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634 Table 4: Geometry, reinforcement details and main material properties of specimens SP7

Tested I-beams	$L$ (mm)	$b_w$ (mm)	$b_f$ (mm)	$h$ (mm)	$h_f$ (mm)	$d_s$ (mm)	$a/d$	$\rho_l$ (%)	$\rho_w$ (%)	$f_c$ (Mpa)	$f_{yl}$ (Mpa)	$f_{yt}$ (Mpa)
SP7	7000	100	400	600	100	550	4.55	3.3	0.5	27.5	540	570

$L$  :length of the beam;  $b_w$  :the web thickness of the beam cross section;  $b_f$  :the flange thickness of the beam cross section;  $h$  :the height of the beam cross section;  $h_f$  :the flange height of the beam cross section;  $d_s$  :internal arm of longitudinal tensile steel bars;  $a/d$  : shear span to effective depth ratio ,  $\rho_l$  :ratio of area of longitudinal reinforcement to beam effective sectional area;  $\rho_w$  :ratio of stirrup area to web area  $f_c$  :compressive strength of concrete;  $f_{yl}$  :yielding stress of longitudinal reinforcing steel;  $f_{yt}$  :yielding stress of stirrups steel

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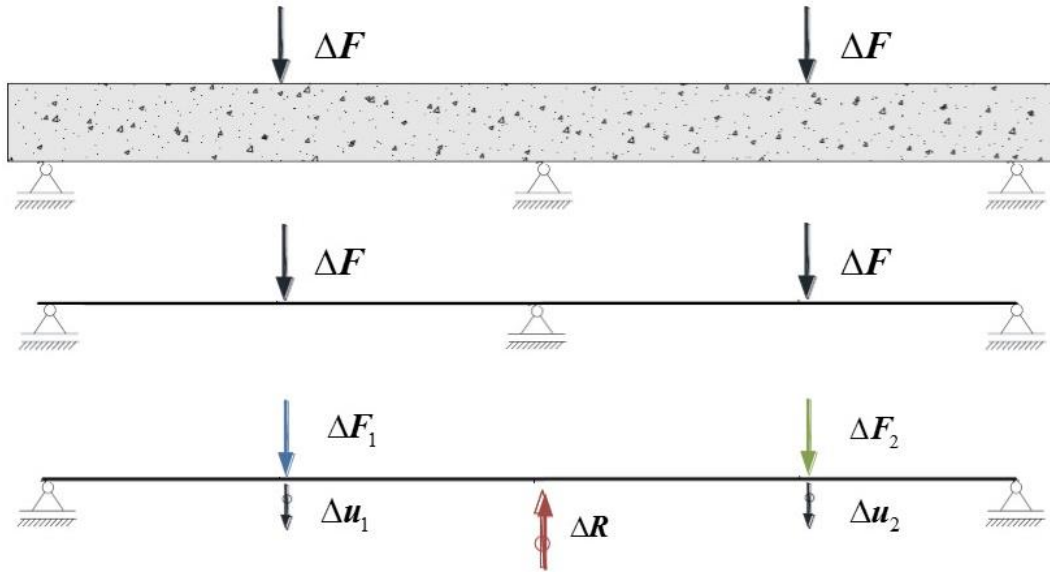
Table 5: Geometry, reinforcement details and main material properties of specimens SP8

Tested beams	$L$ (mm)	$b_w$ (mm)	$h$ (mm)	$d_s$ (mm)	$a/d$	$\rho_l$ (%)	$\rho_w$ (%)	$f_c$ (Mpa)	$f_{yl}$ (Mpa)	$f_{yt}$ (Mpa)
SP8	4800	300	1000	925	1.3	0.75	-	64	460	-

$L$ :length of the beam;  $b_w$ :the web thickness of the beam cross section;  $h$ :the height of the beam cross section;  $d_s$ :internal arm of longitudinal tensile steel bars;  $a/d$ : shear span to effective depth ratio,  $\rho_l$ :ratio of area of longitudinal reinforcement to beam effective sectional area;  $\rho_w$ :ratio of stirrup area to web area  $f_c$ :compressive strength of concrete;  $f_{yl}$ :yielding stress of longitudinal reinforcing steel;  $f_{yt}$ :yielding stress of stirrups steel

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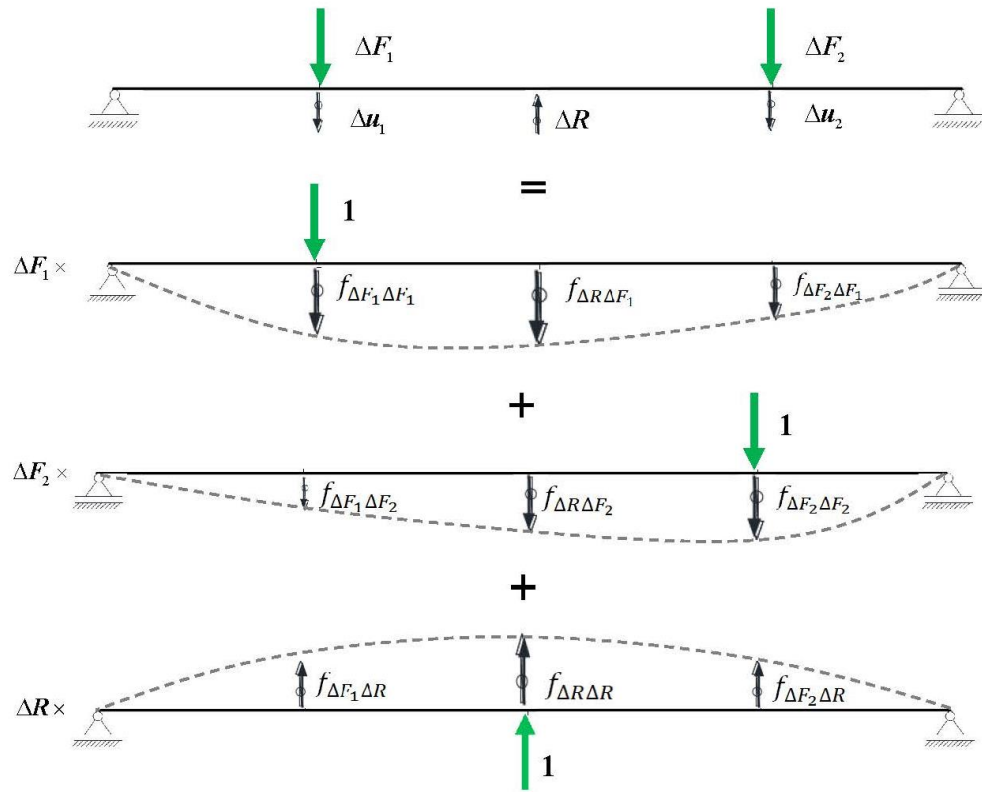
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Figure 1: Statically indeterminate element with the representation of the imposed displacements,  $\Delta u_1$ ,  $\Delta u_2$  and reaction  $\Delta R$



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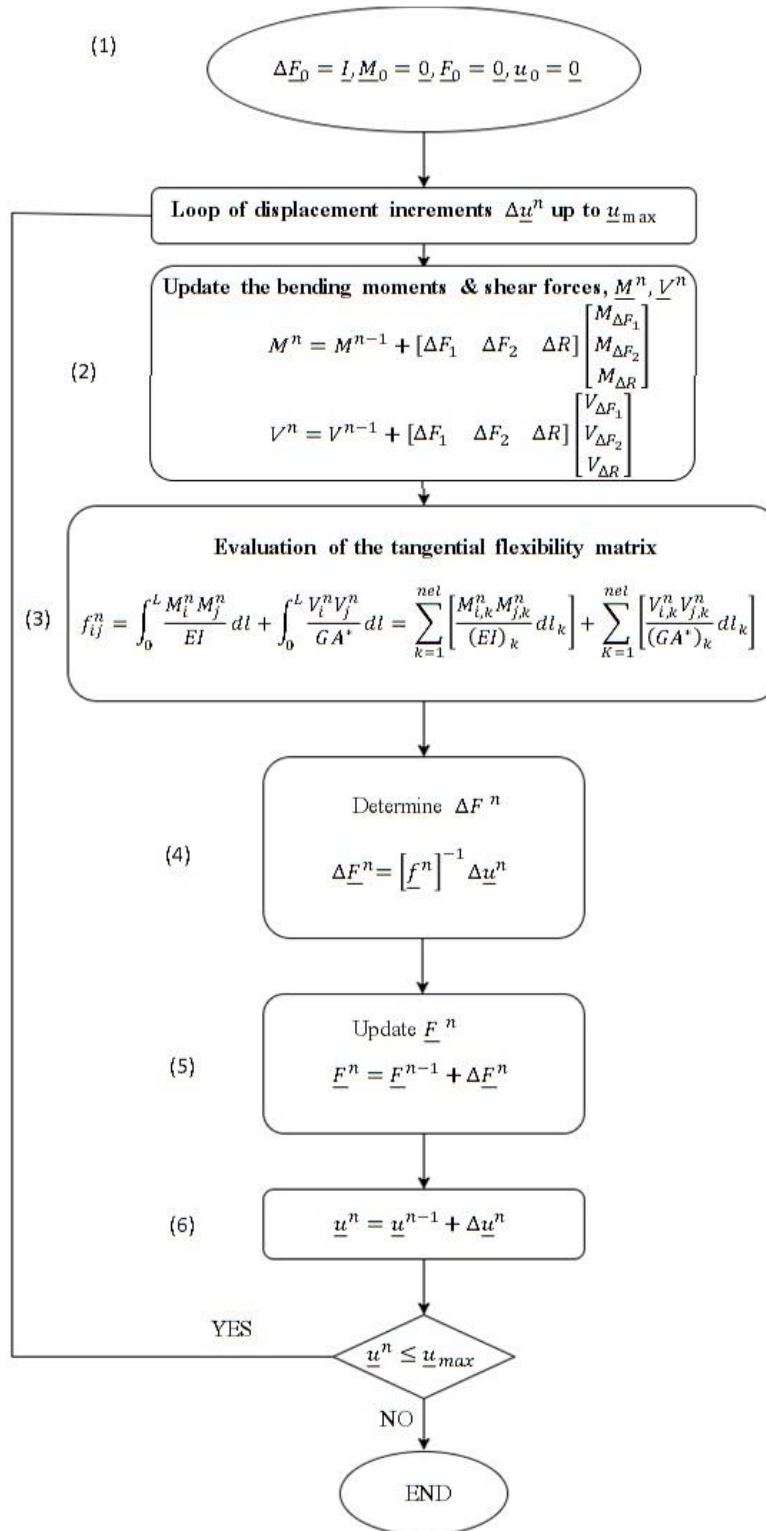


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645 Figure 2: Physical meaning of the terms of the flexibility matrix, based on the displacements for each equilibrium

646 configuration

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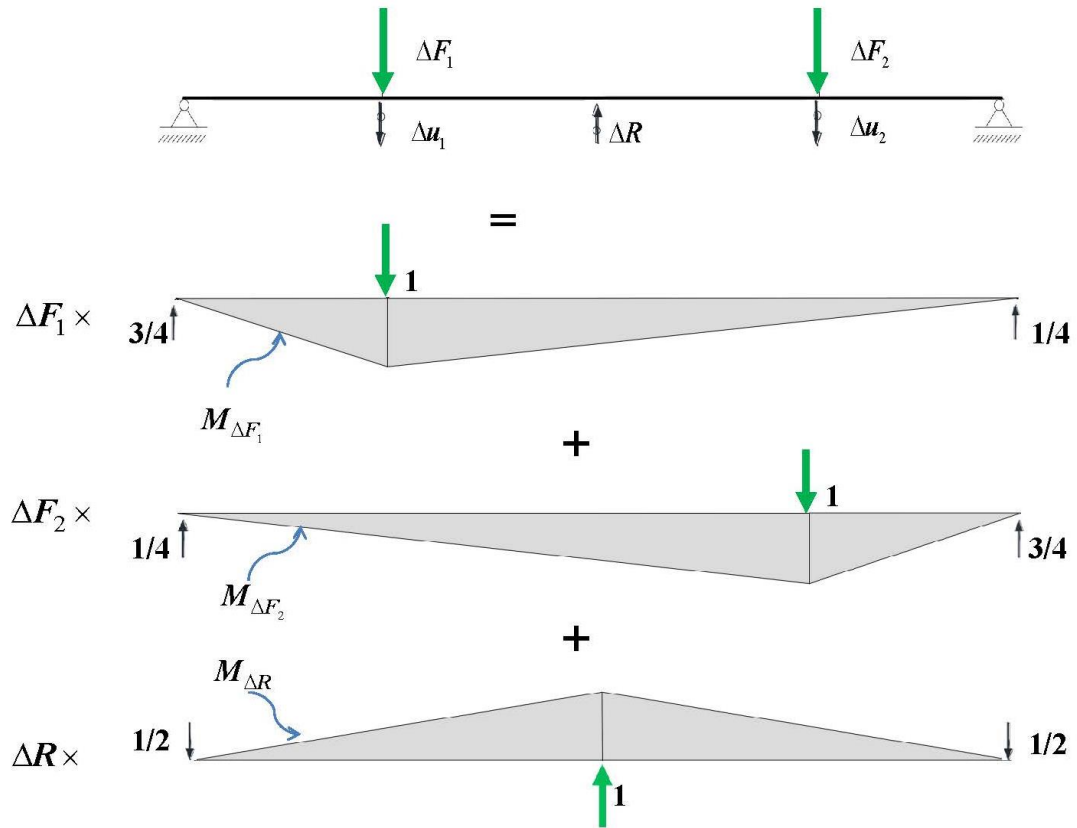
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Figure 3: Algorithm to drive the force-deflection relationship

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Figure 4: Terms of the flexibility matrix considering the flexure according to the superposition effects

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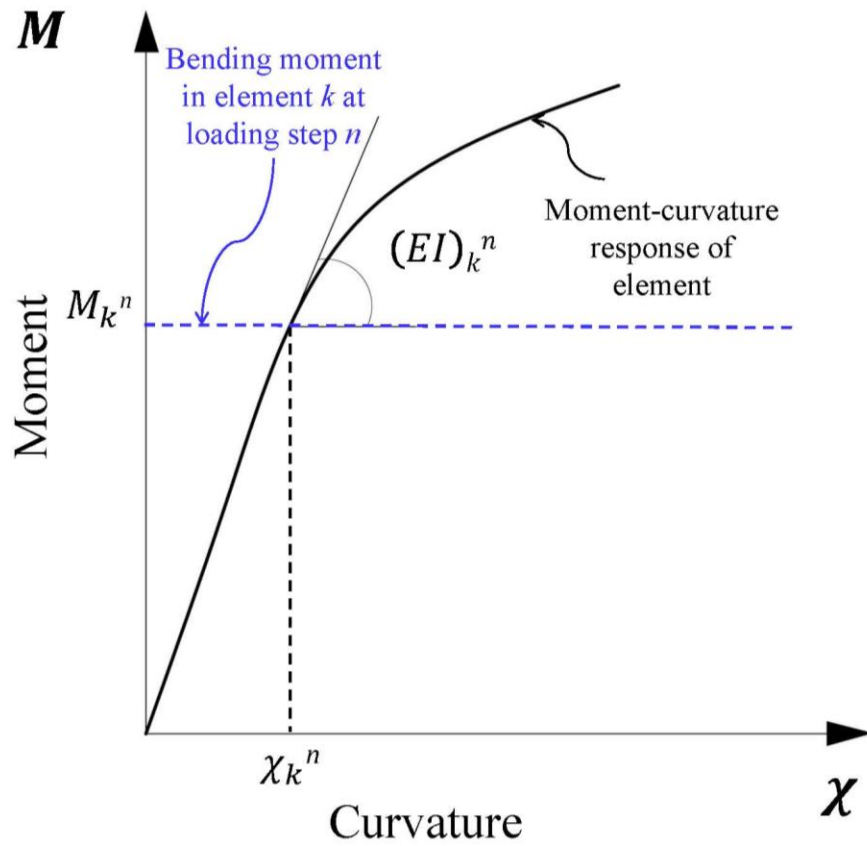
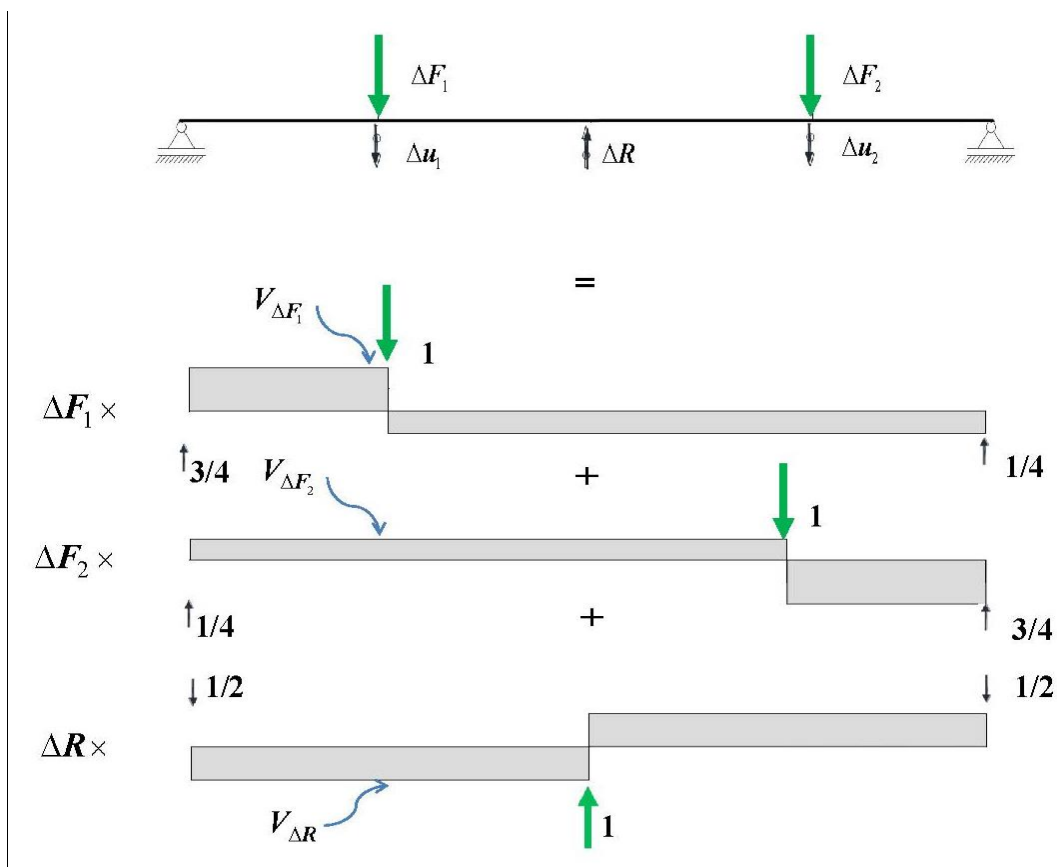


Figure 5: The tangential flexural stiffness  $(EI_k)$  of element using moment-curvature curve.

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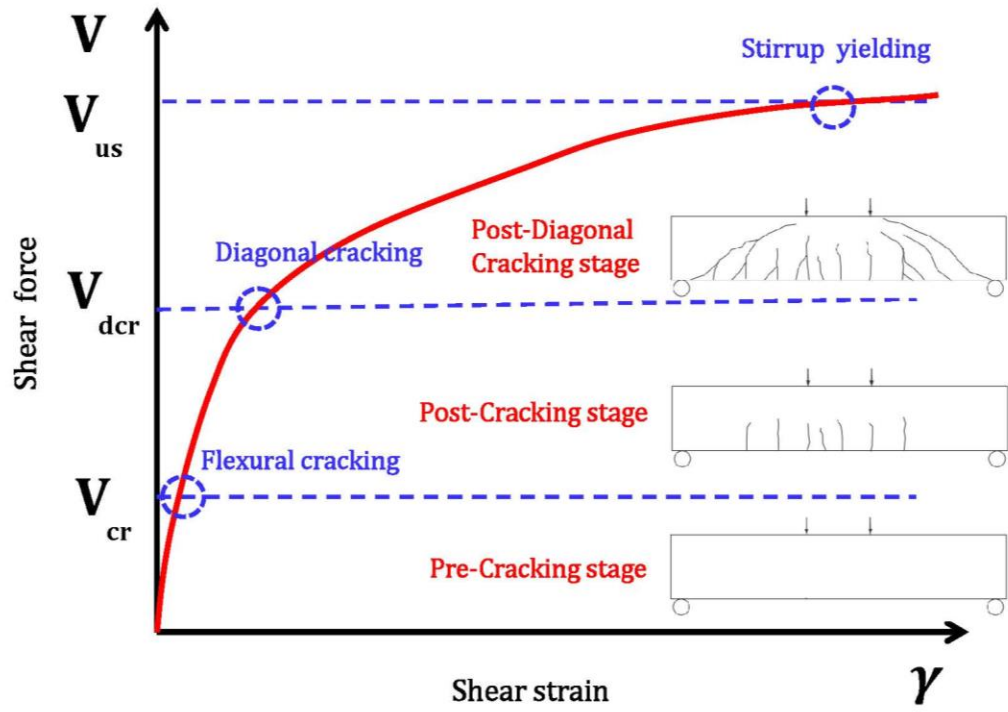


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Figure 6: Terms of the flexibility matrix considering the shear according to the superposition effects

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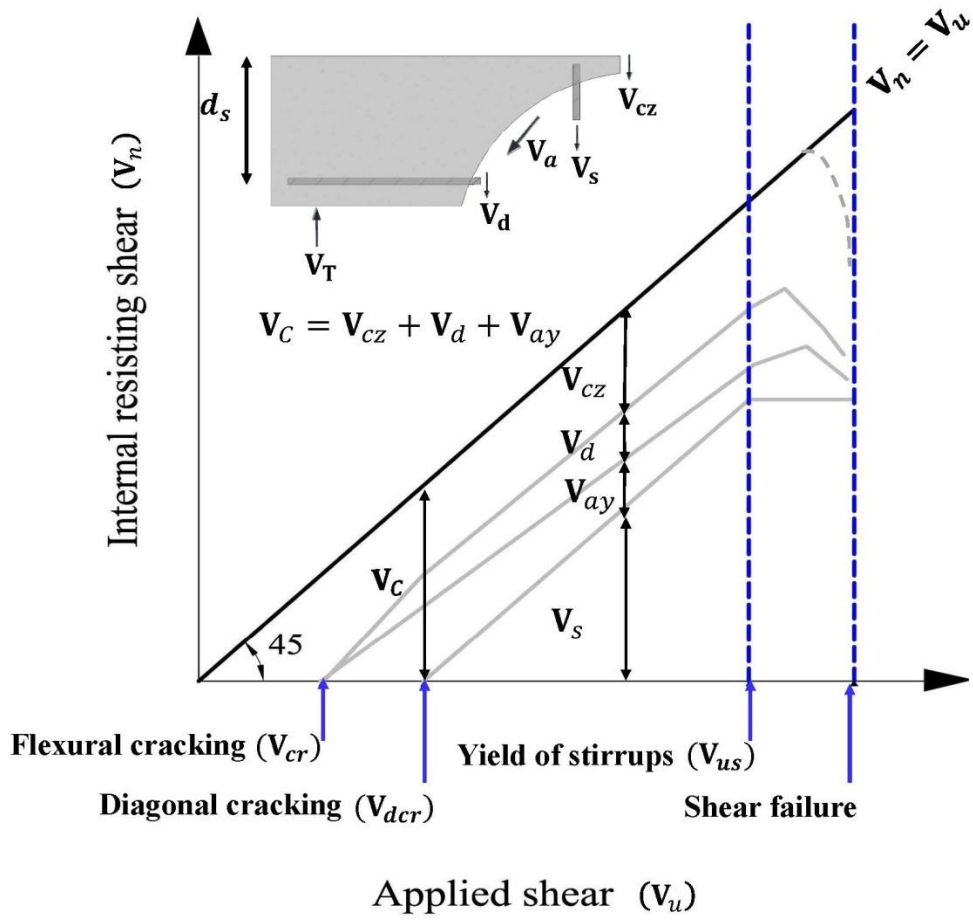


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Figure 7: Three stages of shear deformational behavior of RC element

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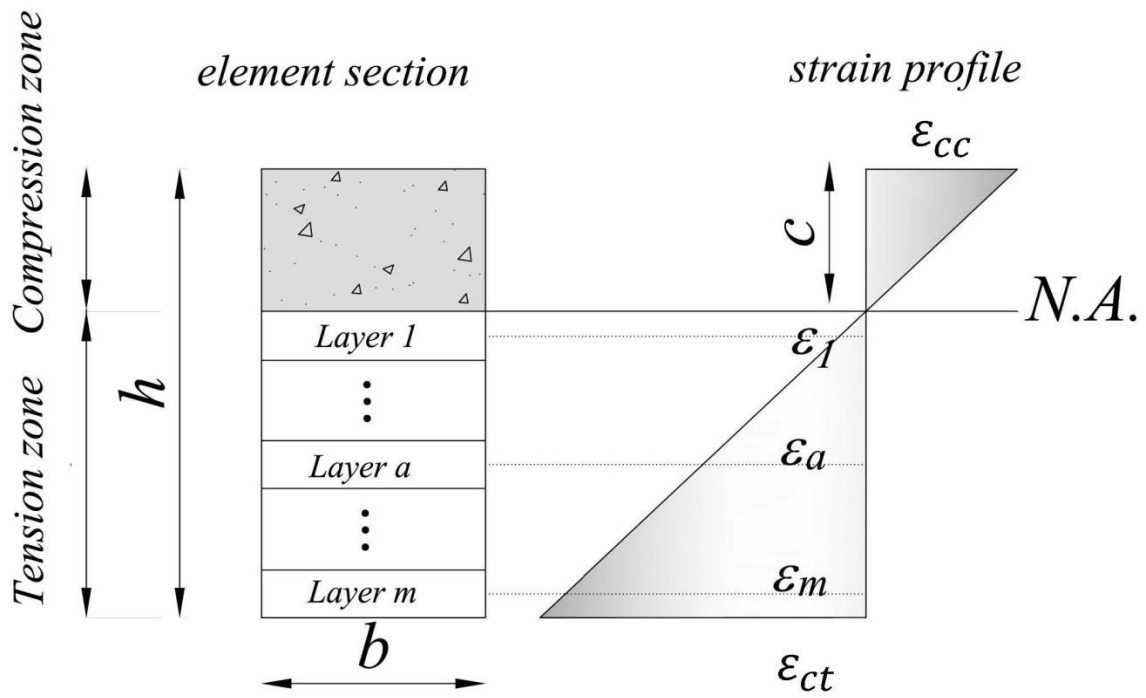
Figure 8: Distribution of internal shears in beam with web reinforcement [20]

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676 Figure 9: Schematic representation of longitudinal strain distribution for assisting on the determination of the shear  
677 retention factor.

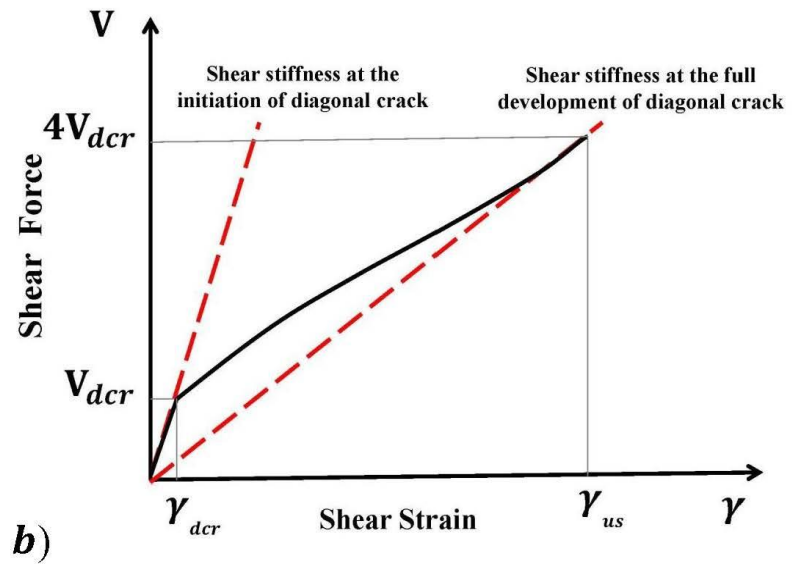
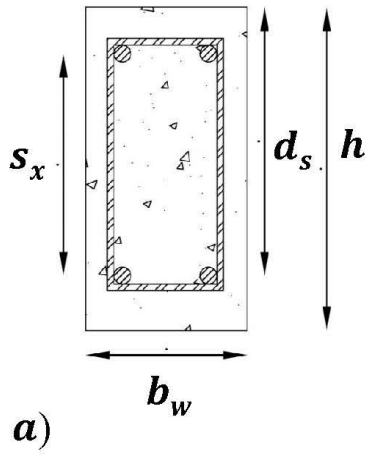
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$$s_x = \max [0.9d_s \text{ or } 0.72h]$$



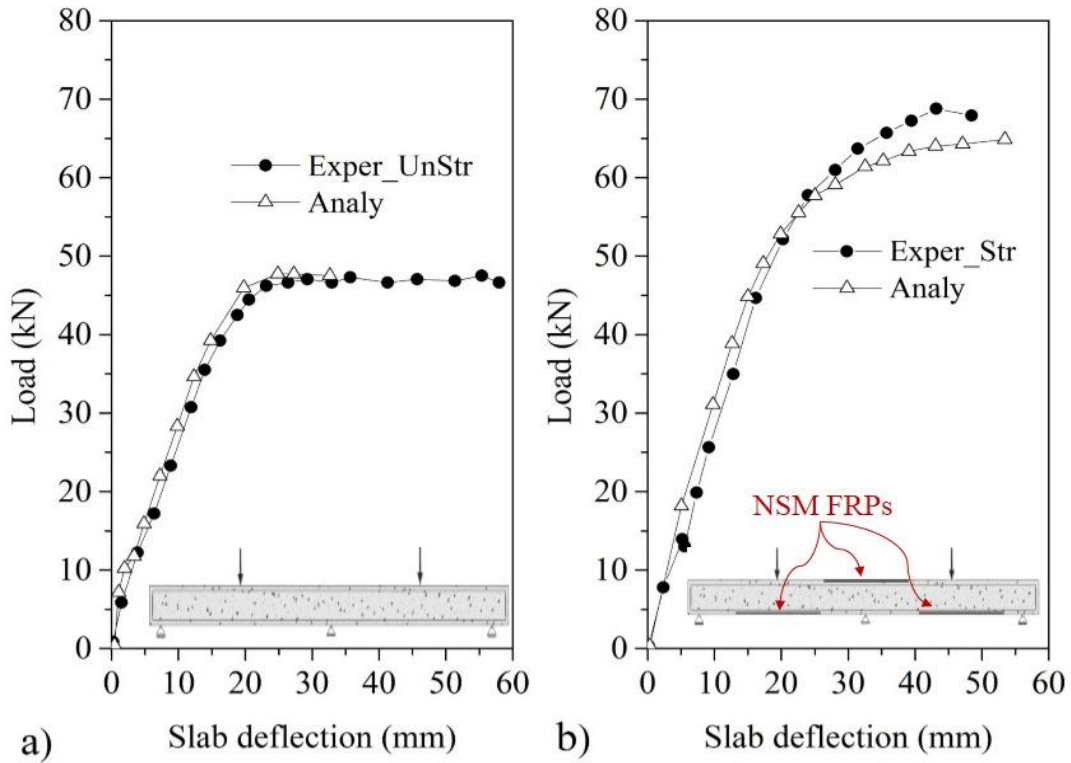
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682 Figure 10: a) Parameters of MCFT model, b) Shear strain as a function of applied shear force

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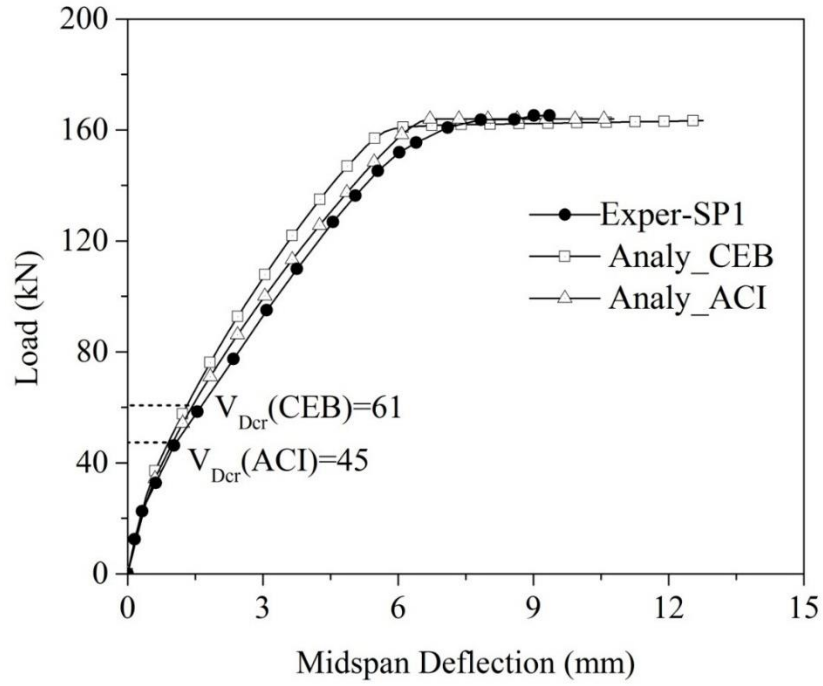
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Figure 11: Analytical prediction of load-deflection relationships of: a) unstrengthened continuous slab, b) strengthened continuous slab



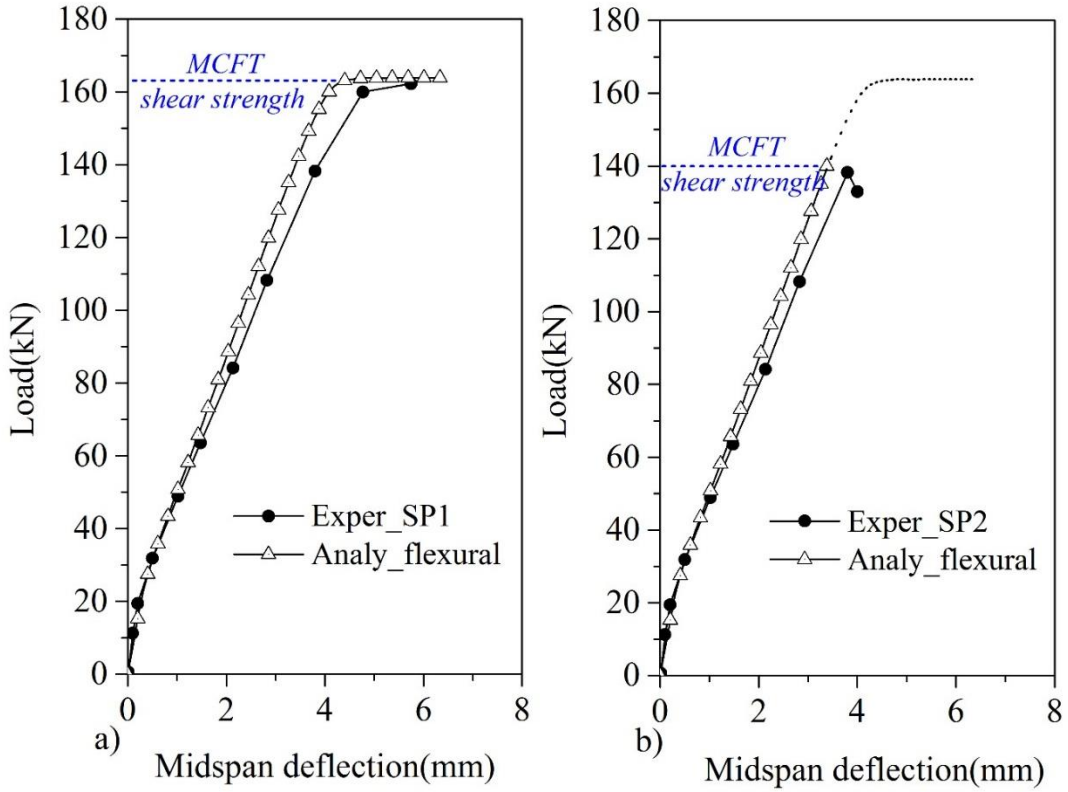
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692 Figure 12: Analytical prediction of total load-deflection relationships of SP1 beam according to ACI and CEB  
 693 recommendations

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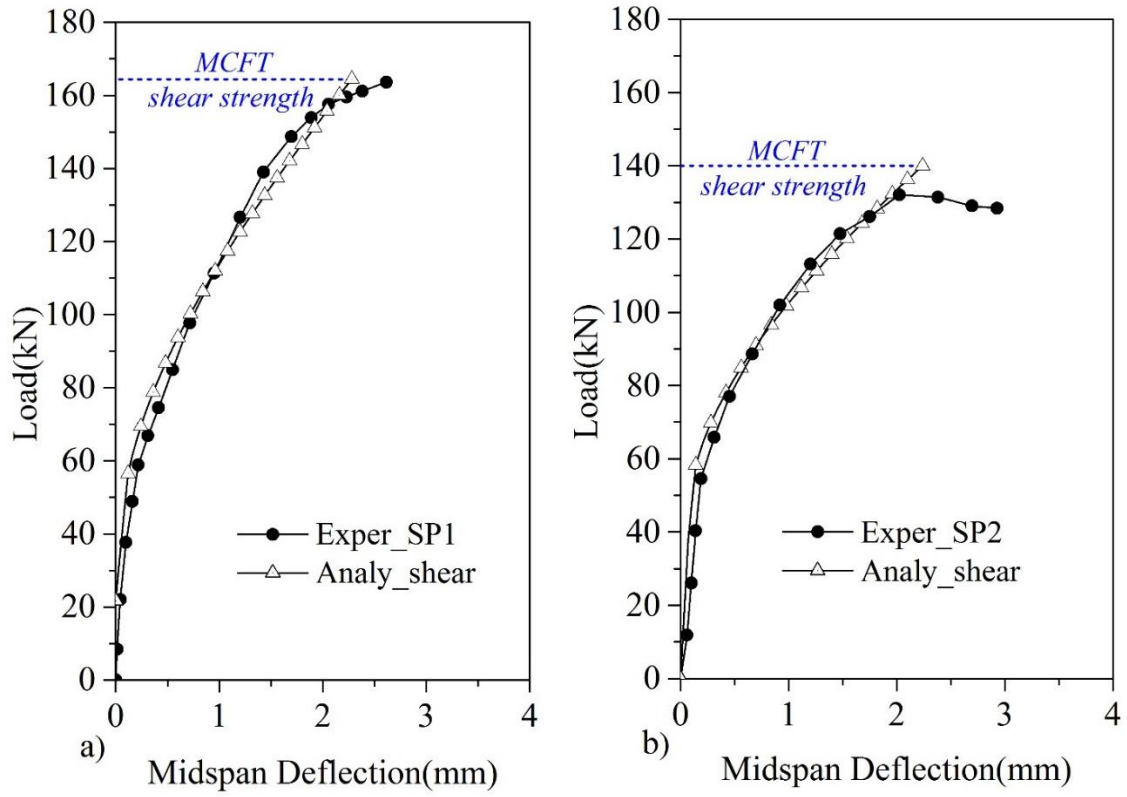


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Figure 13: Analytical prediction of flexural load-deflection relationships of: a) SP1 beam, b) SP2 beam

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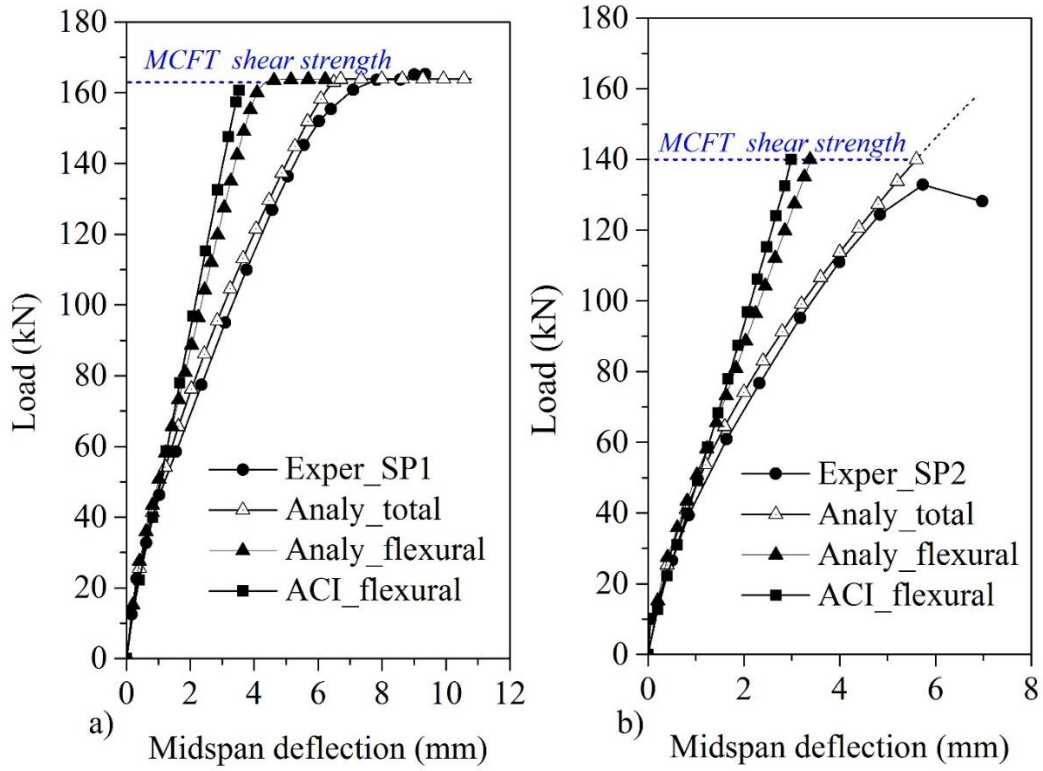


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Figure 14: Analytical prediction of shear load-deflection relationships of: a) SP1 beam, b) SP2 beam

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Figure 15: Analytical prediction of total load-deflection relationships of: a) SP1 beam, b) SP2 beam

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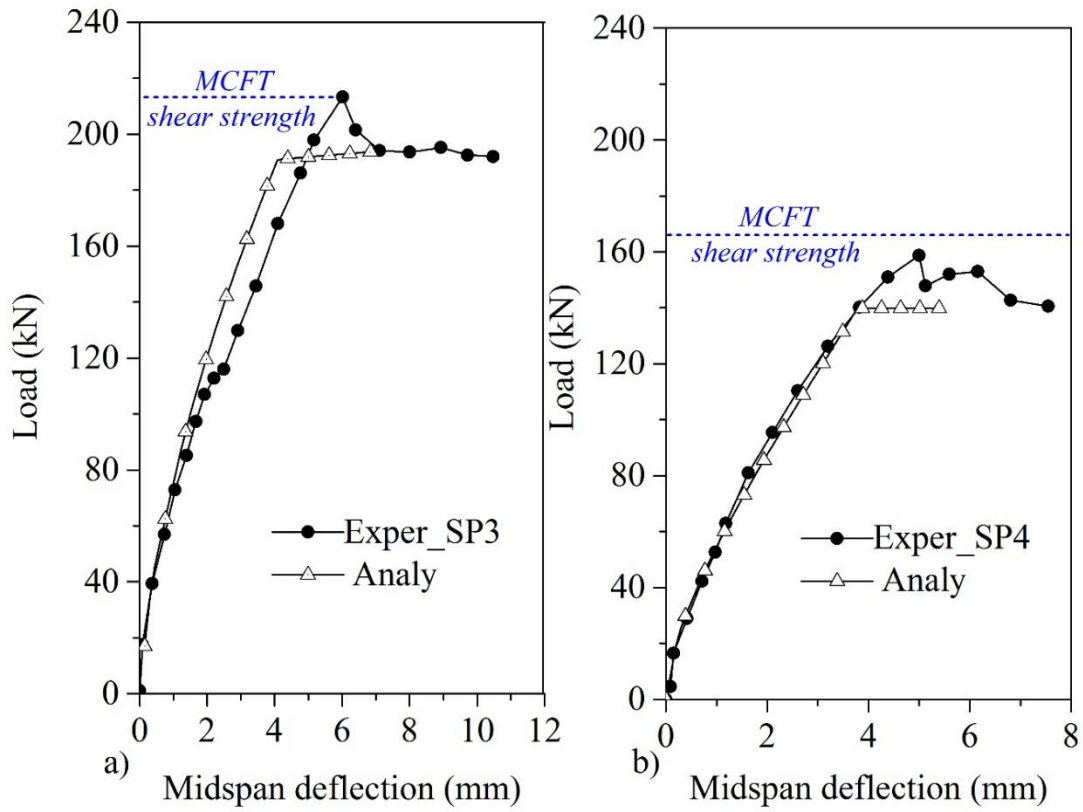
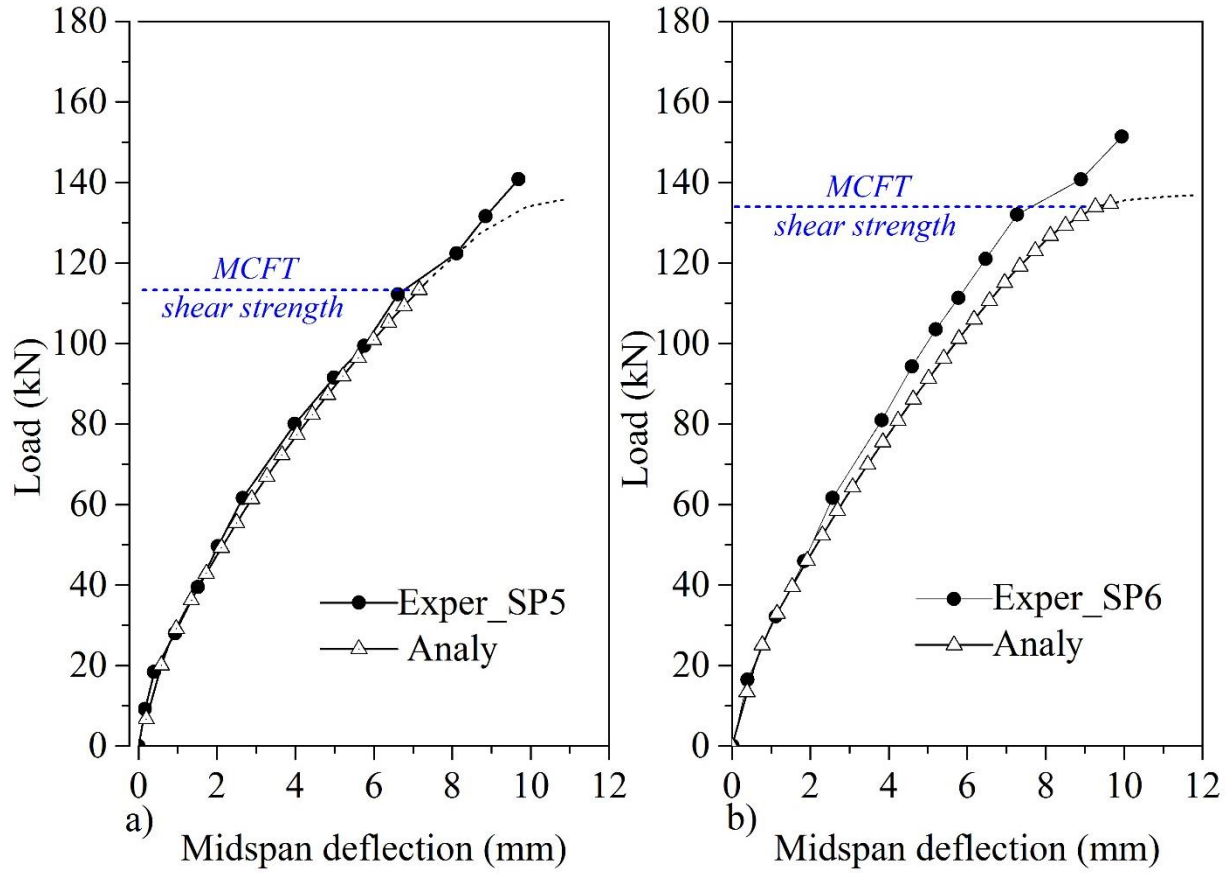


Figure 16: Analytical prediction of total load-deflection relationships of: a) SP3 beam, b) SP4 beam



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Figure 17: Analytical prediction of total load-deflection relationships of: a) SP5 beam, b) SP6 beam

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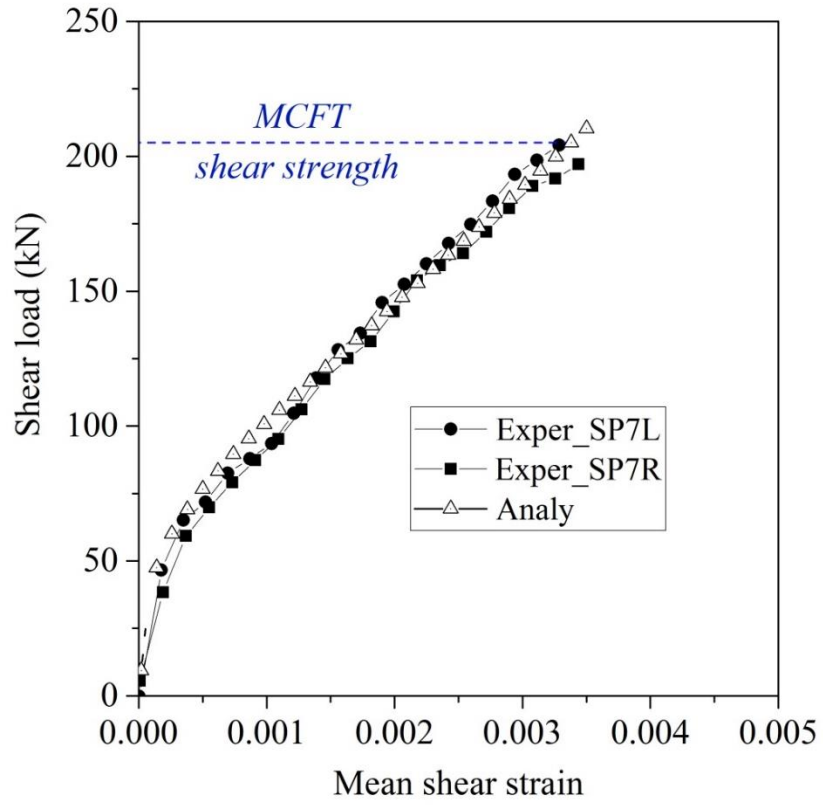


Figure 18: Analytical prediction of shear load-Mean shear strain relationships of SP7 beam

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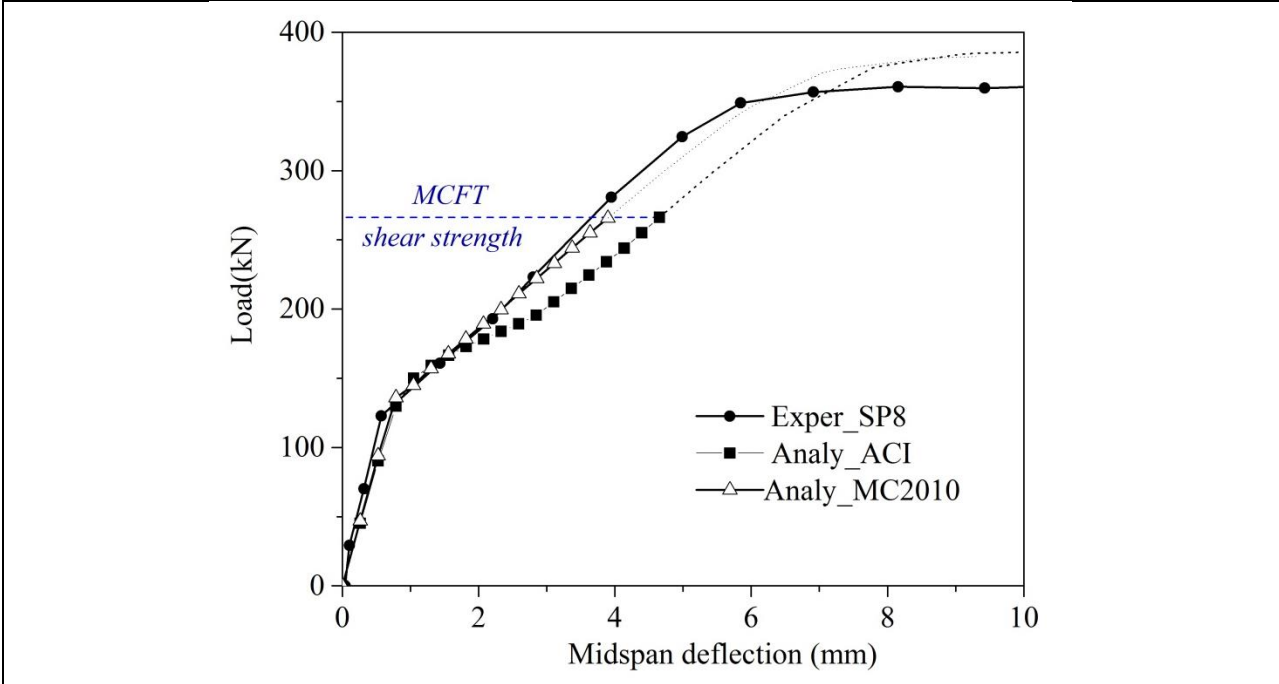


Figure 19: Analytical prediction of total load-deflection relationships of SP8 beam

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