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Editor

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Lipid Oxidation in Food and Biological Systems

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A Physical Chemistry Perspective

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8 *Editor*
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Amino Acids, Amino Acid Derivatives and Peptides as Antioxidants

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Luís S. Monteiro and Fátima Paiva-Martins

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1 Introduction

4

The biological concept of antioxidant refers to any compound that is able to delay or prevent the oxidation of easily oxidizable substrates, such as DNA, proteins and lipids. Antioxidants reduce oxidative stress and mutations in DNA as well as other parameters associated with cell damage. Epidemiological studies have proven the ability of antioxidants to contain the effects of reactive oxygen species (ROS) and of reactive nitrogen species (RNS) activities and decrease the incidence of cancer, as well as other degenerative diseases (Morales-Gonzalez 2013).

The demand for natural antioxidants has gained great importance in recent years, since some synthetic antioxidants have health risks, mainly liver damage (Ndhala et al. 2010; Bast and Haenen 2002). Phenolic compounds are the most abundant class of natural antioxidants (Shahidi and Ambigaipalan 2015). The potential of these compounds as antioxidants has long been recognized due to their great ability to break chains and eliminate radicals, protecting cells from the harmful effects of ROS. The presence and disposition of multiple hydroxyl groups in the chemical structure of polyphenols is important for their antioxidant capacity (Bast and Haenen 2002).

Phenolic amino acids or amino acids coupled with phenolic or catecholic groups are bioactive substances involved in suppressing the harmful effects caused by oxidative stress (Wei et al. 2012a; Kwak et al. 2009, 2012; Seo et al. 2010; Son

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24 and Lewis 2002), having biological activities such as anti-cancer (De Baltas and
25 Bedos-Belval 2011), antimicrobial (Wei et al. 2012b; Narasimhan et al. 2004; Fu
26 et al. 2010; Chochkova et al. 2012; Georgiev et al. 2012; Heijnen et al. 2001), anti-
27 atherogenic (Wei et al. 2012b), among others. Studies confirm that the conjugation
28 of amino acids with phenolic acids is useful as a strategy to improve antioxidant
29 efficiency and bioactivity (Monteiro et al. 2019).

30 The mitochondria play a vital role in regulating cellular energy metabolism. Their
31 ability to regulate the redox/oxidative balance is critical in controlling cellular life
32 and death. Thus, mitochondrial dysfunction caused by oxidative damage has been
33 implicated in several human pathologies such as neurodegenerative diseases and
34 metabolic syndromes (Reddy et al. 2017; Teixeira et al. 2018). The development of
35 new therapeutic strategies involving the minimization of mitochondrial dysfunction
36 is of major importance. In fact, great progress has been made in the development and
37 functional testing of mitochondria-targeted molecules. Special attention has been
38 given to small peptides capable of regulating mitochondrial reactive oxygen species
39 production and facilitating mitochondrial respiration and ATP synthesis (Sorriento
40 et al. 2014; Apostolova and Victor 2015). Thus, in the last two decades, many
41 structurally modified peptides with antioxidant properties and improved ability to
42 cross the cell membrane while maintaining low toxicity and immunogenicity have
43 been synthesized (Cerrato et al. 2015).

44 **2 Antioxidant Amino Acids**

45 **2.1 Phenolic Amino Acids**

46 **2.1.1 L-Tyrosine and Its Derivatives**

47 Natural phenols constitute a diverse class of compounds with biological interest.
48 Phenolic compounds have been studied as antioxidants, due to their stable structure
49 after the elimination of free radicals (Shahidi and Ambigaipalan 2015).

50 L-tyrosine, a phenolic proteinogenic amino acid, has an effective antioxidant
51 activity which has been assessed by several in vitro antioxidant activity assays, such
52 as the oxygen radical absorbance capacity assay (ORAC) and the trolox equivalent
53 antioxidant capacity assay (TEAC) (Torkova et al. 2015).

54 Tyrosine residues have been shown to accumulate in the transmembrane domains
55 of integral membrane proteins, particularly in the high lipid density region. This
56 region is formed by the inner portion of the polar head groups and the beginning of
57 the hydrocarbon tails. It is believed that these tyrosine residues play a vital role as
58 antioxidants inside lipid bilayers, protecting cells against oxidative destruction
59 (Ndhlala et al. 2010). Tyrosines acylated with long-chains are capable of inhibiting
60 lipid peroxidation and thus reduce oxidative cell death. Low-protein neuronal
61 membranes are observed in neurodegenerative disorders. These membranes have a
62 high vulnerability to oxidative stress, which may be due to lack of tyrosine residues

(Moosmann and Behl 2000). This is further corroborated by the high vulnerability to oxidants of low-protein membranes and artificial lipid-only membranes. The higher resistance to oxidative stress of the inner membranes of mitochondria, which have a high protein content, when compared to membranes with low protein content such as myelin sheaths, further supports the importance of tyrosine residues as antioxidants (Moosmann and Behl 2000).

The capacity of the phenolic group to act as hydrogen radical donor inside lipidic phases and thus interfere with peroxidising free radical chain reactions, may explain the cytoprotective antioxidant effects of membrane-anchored tyrosine. Tyrosine residues, in the same way as many nonpeptide low molecular mass antioxidants, such as oestrogen, serotonin, and tocopherol are converted to nonreactive and relatively stable phenoxyl radicals. These more stable radicals have longer lifetimes than simple peroxy radicals and can reverse reactions or inhibited propagation of the radical-mediated peroxidising chain reaction. When tyrosine is radicalized, it becomes more polar, facilitating its diffusion into zone one of the lipid bilayer where it is exposed to hydrophilic reducing molecules, such as, ascorbate or glutathione (Moosmann and Behl 2000). Thus, tyrosinyl lipids may become a new class of cytoprotective antioxidants, since the accumulation of tyrosine in transmembrane proteins protects the surrounding lipid bilayer from peroxidation.

L-3,4-dihydroxyphenylalanine (L-DOPA) is obtained from tyrosine and is the immediate precursor of the natural neurotransmitter dopamine. With the aim of alleviating the symptoms in Parkinson's disease caused by decreased dopamine levels in the brain, L-DOPA has been widely used as medication. In addition, the reduction of chronic diseases, mutagenesis and carcinogenesis have been shown to be due to the antioxidant activity of L-DOPA, which prevents hydrogen peroxide induced oxidative damage to DNA (Shi et al. 2002).

Several *in vitro* assays have shown that L-tyrosine and L-DOPA are effective antioxidants with activities that compare with the reference antioxidants butylated hydroxyanisole (BHA), butylated hydroxytoluene (BHT) and α -tocopherol (Gülçin 2007). Of these assays, the antilipid peroxidation test, the determination of reducing capacity, the elimination of radicals such as 2,2'-azino-bis(3-ethylbenzothiazoline-6-sulfonic acid (ABTS), 2,2-diphenyl-1-picryl hydrazyl (DPPH[•]), superperoxide and hydrogen peroxide can be highlighted. Of these two compounds L-Dopa has shown to be much more effective than L-tyrosine. This indicates that the number of hydroxyl groups present in the aromatic moiety is fundamental in controlling radical elimination and antioxidant activity.

2,6-Dimethyl-L-tyrosine (Dmt) is a non-natural amino acid widely used in the synthesis of opioid peptides and other small molecules. Typically, opioid ligands containing Dmt instead of tyrosine at the amine-terminal exhibit a greater affinity for μ -opioid receptors (Schiller 2010). In addition, small peptides containing a Dmt residue can be absorbed by cells and help to mitigate oxidative stress (Sinha and Eudes 2015). Other studies with peptides containing this amino acid also highlight the antioxidant properties of Dmt (Cerrato et al. 2015) and will be discussed further on.

107 2.1.2 Hydroxyphenylglycines

108 Non-proteinogenic amino acids can have a variety of applications such as antiviral,
109 antitumor, anti-inflammatory, immunosuppressor and antioxidant (Gilon et al. 2003;
110 Kotha 2003). Non-natural α -amino acids have been used to modify the conformation
111 and thus the activity of peptides and proteins. Non-proteinogenic phenolic amino
112 acids play an important role in several natural peptide products such as antibiotics
113 (Hubbard et al. 2000) and cell-penetrating peptides (CPPs) (Apostolova and Victor
114 2015).

115 The phenolic non-proteinogenic amino acid 4-hydroxyphenylglycine (HPG) is
116 found in several natural glycopeptides, namely in some antibiotics, as is the case of
117 vancomycin and its derivatives, in antimicrobial compounds, such as ramoplanin,
118 and in calcium-dependent antibiotics (CDA) (Hubbard et al. 2000). The rigid
119 structure characteristic of vancomycin, results from oxidative cross-linking of
120 HPG with the aromatic rings of L-hydroxytyrosines and this rigidity plays an
121 important role in the structure and function of the final molecule (Hubbard et al.
122 2000).

123 Catechols are a class of compounds endowed with a wide range of properties and
124 important biochemical functions, which are conferred by the *ortho*-dihydroxyaryl
125 moiety (Sedó et al. 2013), namely:

- 126 – At moderate redox potentials and pHs they are able to establish reversible
- 127 equilibria;
- 128 – They cross-link irreversibly through complex oxidation mechanisms;
- 129 – They possess excellent chelating capacities;
- 130 – They interact with surfaces of different natures due to their vicinal hydroxyl
- 131 groups.

132 Regardless of these properties, amino acids with the *ortho*-dihydroxyaryl func-
133 tion, such as 3,4-dihydroxyphenylglycine, have attracted reduced attention. This
134 non-natural amino acid has been studied as copper ligand (Gordon and Jameson
135 1972) and as a substrate for tyrosinase, being converted to
136 3,4-dihydroxybenzaldehyde via spontaneous decarboxylation of the enzymatically
137 generated *ortho*-quinone (Sugumaran et al. 1996).

138 The multicomponent Ugi reaction, which involves a carboxylic acid, an amine, an
139 aldehyde and an isocyanide gives amino acids in the form of their bis-amide
140 derivatives. 4-Hydroxyphenylglycine and 4-hydroxy-3-methoxyphenylglycine
141 have been prepared through this reaction by using a hydroxyl substituted benzalde-
142 hyde as reactant and their effect on radical quenching and DNA oxidation deter-
143 mined (Wang and Liu 2013).

144 Recently, in addition to the above mentioned hydroxyphenylglycines, this meth-
145 odology allowed the synthesis of derivatives of 3,4-dihydroxyphenylglycine (Fig. 1)
146 (Monteiro et al. 2019). Their radical-scavenging activity was determined by the
147 DPPH[•] assay and the oxidation peak potentials by cyclic voltammetry. The results
148 show that the 4-hydroxyphenylglycine derivative has radical scavenging activity

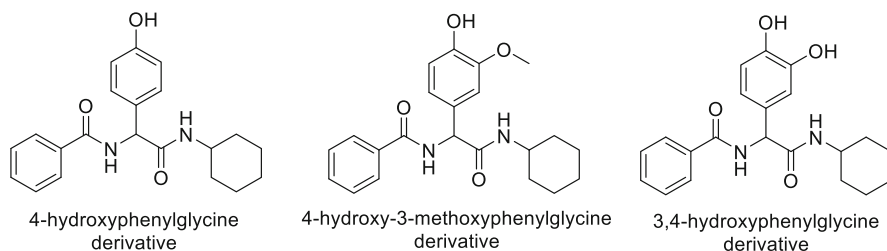


Fig. 1 Structure of hydroxyphenylglycines derivatives

(determined after 5 min) and first anodic peak potential comparable to that of tyrosine. In the case of 4-hydroxy-3-methoxyphenylglycine derivative, a significant rise in radical scavenging activity and decrease in first anodic peak potential was found. For the 3,4-dihydroxyphenylglycine derivative an approximately 100-fold rise in radical scavenging activity when compared to tyrosine was registered with a further decrease in first anodic peak potential.

2.2 Other Natural Amino Acids with Antioxidant Properties

In addition to tyrosine, other natural amino acids have antioxidant capacity (Davalos et al. 2004; Sarmadi and Ismail 2010). Amino acid antioxidant capacity has been investigated and compared with the chain-breaking antioxidant activity of known compounds such as, ascorbic acid and trolox (Meucci and Mele 1997). No radical scavenging activity has been observed for basic, acidic and most neutral amino acids. On the contrary, tryptophan, tyrosine, cysteine and homocysteine showed antiradical scavenging ability at concentrations which are within the usually reported physiological ranges. Davalos et al. established the following decreasing order in amino acid antioxidant activity: tryptophan (Trp), tyrosine (Tyr), methionine (Met), cysteine (Cys), histidine (His), phenylalanine (Phe) (Davalos et al. 2004).

The radical-scavenging properties of aromatic amino acids such as His, Trp and Phe is attributed to their proton donating capacity to electron deficient radicals (Rajapakse et al. 2005). Additionally, the imidazole ring of histidine has shown to have hydrogen donating, lipid peroxy radical trapping and metal ion-chelating abilities (Wade and Tucker 1998; Chan et al. 1994). Cysteine contributes to antioxidant power since, due to its reducing power, the sulfhydryl group can act as a radical scavenger (Patterson and Rhoades 1988).

The acidic amino acids, aspartic acid (Asp) and glutamic acid (Glu) and the basic amino acids, arginine (Arg) and lysine (Lys) can use their side chain carbonyl and amino groups as chelators of metal ions (Suetsuna et al. 2000). Although, having intrinsic antioxidant activities, these amino acids have not shown to be effective antioxidants in food and biological systems (Davalos et al. 2004). However, despite having little or no antioxidant effect as free amino acids, they can exhibit high

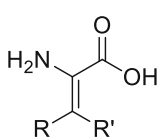
179 antioxidant activity in peptides and this will be addressed later (Nagasawa et al.
180 2001; Kawashima et al. 1979).

181 2.3 α,β -Dehydroamino Acids

182 Non-proteinogenic amino acids containing a double bond between the α and the β
183 carbons are designated as α,β -dehydroamino acids (Δ aa) and have several important
184 biological activities (Siodlak 2015). They are also intermediates in the synthesis of
185 new amino acids and peptides (Siodlak 2015; Bierbaum et al. 1996; Dawid 2015;
186 Gupta and Chauhan 2011). They appear in some bacteria, or yeasts and are constit-
187 uents of many natural antibiotics (Jiang et al. 2015). They may also play a vital role
188 in the active centre of some enzymes (Jain and Chauhan 1996). The most common
189 α,β -dehydroamino acids are dehydroalanine (Δ Ala), dehydroaminobutyric acid
190 (Δ Abu), dehydrophenylalanine (Δ Phe), dehydrovaline (Δ Val) and dehydroleucine
191 (Δ Leu) (Fig. 2) (Jiang et al. 2015).

192 Among the activities of dehydroamino acid are antioxidant properties. When
193 reacting with oxygen or hydroxyl radicals, these compounds form stabilized free
194 radical adducts and thus function as radical scavengers. In order to establish
195 structure-antioxidant activity relationships that can lead to new analogues with
196 increased activities, Suzen et al. prepared the amides of several *N*-acetyl
197 dehydroalanine derivatives (Fig. 3) (Suzen et al. 2006). Their free radical scavenging
198 activity against the DPPH radical and their antioxidant properties against rat liver
199 lipid peroxidation were evaluated (Suzen et al. 2006). Little or no effect on DPPH*
200 was detected, however a strong inhibitory effect on rat liver lipid peroxidation was

Fig. 2 Structure of the most common α,β -dehydroamino acids



R= H, R'=H, Δ Ala
R= CH₃, R'=H, Δ Abu
R= Ph, R'=H, Δ Phe
R= CH₃, R'=CH₃, Δ Val
R= CH(CH₃)₂, R'=H, Δ Leu

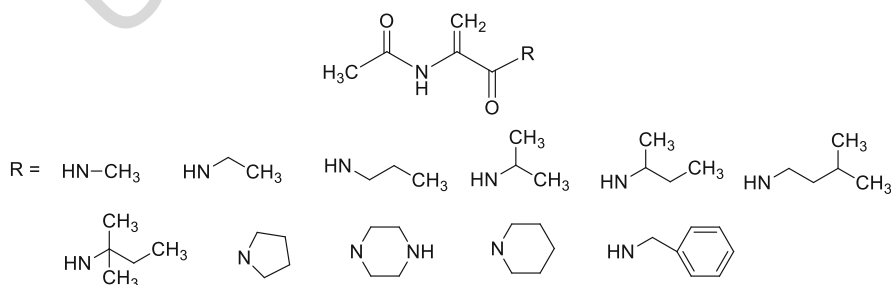


Fig. 3 Structure of *N*-acetyl dehydroalanine amides

observed. The highest effect was found for the amides of *N*-acetyl dehydroalanine with aliphatic chains of 3 or 4 carbons and cyclic 5 member rings.

Several methyl esters of dehydroalanine with various *N*-substituting groups were subject to a similar study using both DPPH[•] and superoxide (O₂^{•-}) radical scavenging activity assays (Ferreira et al. 2009). Again, no significant activity was observed. However, all the compounds were effective in lipid peroxidation experiments. These results led to the conclusion that these dehydroalanine derivatives are not able to scavenge the DPPH or superoxide radical but can scavenge the hydroxyl radical. In fact, for many compounds no radical scavenging activity for several radicals used to evaluate radical scavenging activity, such as DPPH[•] or ABTS is observed. However, during oxidative injury, highly reactive radicals in vivo can be formed, and can be neutralized by these compounds and therefore protect cells (Senoner and Dichtl 2019). This is the case of tyrosol (Fernandes et al. 2020). Most ROS exert their pathological effects by giving rise to the hydroxyl radical or closely related species, the final mediators of most free radical induced tissue damage. This is due to the hydroxyl radical being capable of reacting, with extremely high rate constants, with almost every type of molecule found in living cells, such as lipids and nucleotides. Hydroxyl radical formation can occur in several ways, however, the transition metal catalysed decomposition of superoxide anion and hydrogen peroxide is likely to be most important mechanism in vivo (El Haouari 2019).

3 Amino Acids Coupled with Phenols

Several studies indicate that, due to the synergic effects between different types of molecules, a mixture of antioxidants, with different molecular structures and mechanisms of action, result more effective than a single antioxidant (Milde et al. 2004, 2007; Shi and Kakuda 2006; Gonzalez-Perez and Gonzalez-Castaneda 2006; Yogeeta et al. 2006; Trombino et al. 2004; Cirico and Omaye 2006). In order to better understand mechanistic aspects and possible synergic actions, the design of modified and/or dualistic molecules is an important approach. Coupling of compounds with different functionalities, such as, amino acids and phenolic acids is a strategy that can lead to improved antioxidant efficiency and bioactivity and also allow the establishment of structure-activity relationships (Silvia et al. 2012).

Fruits, vegetables and beverages are natural sources of phenolic acids coupled with amines or amino acids but they can also be obtained synthetically. The deleterious effects of oxidative stress (Kwak et al. 2009, 2012; Seo et al. 2010; Son and Lewis 2002) can be suppressed by these bioactive substances which also have a broad scope of other biological activities, such as, anticancer (De Baltas and Bedos-Belval 2011) and antimicrobial (Wei et al. 2012b; Narasimhan et al. 2004; Fu et al. 2010; Chochkova et al. 2012; Georgiev et al. 2012; Heijnen et al. 2001). For example, accumulation of hydroxycinnamic acid amides in plants occurs in a response to deleterious environmental stimuli such as wounding, fungal infection

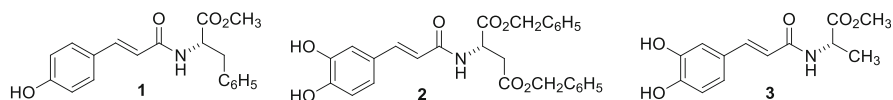


Fig. 4 Structure of *N*-(hydroxycinnamoyl) amino acid derivatives

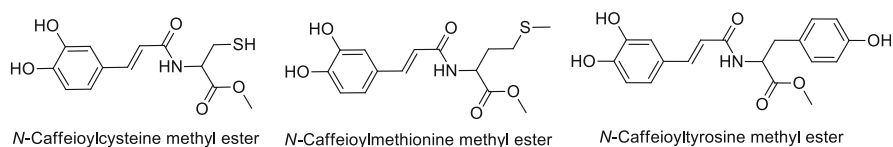


Fig. 5 Chemical structure of phenolic acid-amino acid conjugates with highest antioxidant activity

241 or heavy metal ions (Negrel et al. 1993; Peipp et al. 1997; Fink et al. 1990; Negrel
242 et al. 1995).

243 Synthesis of a series of hydroxycinnamic amino acid derivatives and evaluation
244 of their biological activities in lipoprotein metabolism was carried out by Lee et al.
245 (2004) (Fig. 4).

246 These authors found that compounds **1** and **2** inhibited human acyl-CoA:
247 cholesterol acyltransferase (ACAT) activities. These compounds also acted as anti-
248 oxidants against copper induced low-density lipoprotein (LDL) oxidation. Com-
249 pound **3** presented a potent *in vivo* activity. In hypercholesterolemic rabbits, this
250 compound showed an important reduction in the formation of atherosclerotic type
251 lesions, with an improvement in the serum lipid profile.

252 Wei et al. (2012a) also synthesized a series of *N*-hydroxycinnamoyl amino acid
253 esters with the aim of finding more active antioxidants with these moieties. DPPH
254 radical scavenging and human red blood cells haemolysis methods evaluated their
255 antioxidative activities. It was found that:

- 256 – *N*-hydroxycinnamoyl amino acid derivatives exhibited stronger antioxidative
257 activity than the free acids or their esters.
- 258 – Of the three hydroxycinnamamides studied (caffeoylamides, feruloylamides, and
259 *p*-coumaroylamides), *N*-caffeoyl amino acid derivatives exhibited the highest
260 DPPH radical scavenging activities, whereas *N*-feruloylamides had the highest
261 antihemolysis activities.

262 Silvia et al. proposed the synthesis of a series of natural amino acid derivatives
263 with possibly enhanced antioxidant activities (Silvia et al. 2012). These authors
264 prepared several combinations of amino acids with phenolic acids and some of these
265 conjugates were additionally coupled with dopamine. With the conjugates it was
266 possible to investigate the effect on the antioxidant activity of the different phenolic
267 moieties (in particular caffeic acid and 3,4-dihydroxyphenylacetic acid). The results
268 obtained indicate that, in order to observe significant antioxidant activity, the
269 phenolic acid must have at least two hydroxyl groups and a conjugated spacer
270 between the aromatic ring and the amide (Fig. 5).

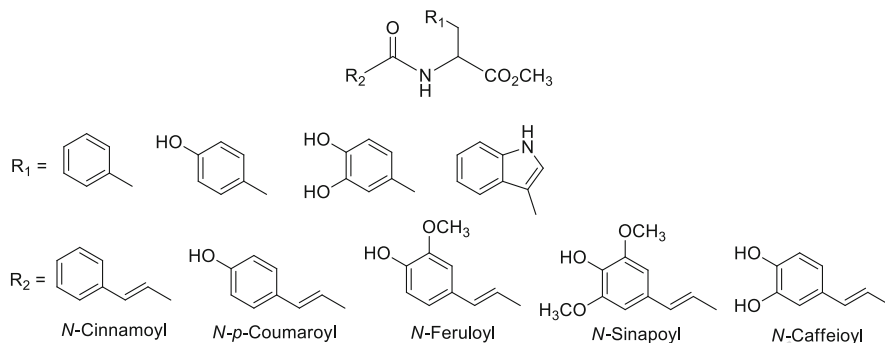


Fig. 6 Methyl esters of *N*-cinnamoylamino acids

Coupling of dopamine with the phenolic acid-amino acid conjugates did not improve significantly the antioxidant activity. This result suggests that, not only is the shape and complexity of the molecule important for its antioxidant activity, but that, also, this approach is limited by a “saturation effect” that limits the maximum potency achievable. However, the results obtained indicate that, combinations of molecules with different moieties increase the antioxidant efficiency of natural antioxidants, having the authors referred to this methodology as the “Centaurus tactic” (Silvia et al. 2012).

Georgiev et al. prepared a library of *N*-cinnamoylamino acids by combining cinnamic, *p*-coumaric, ferulic, sinapic and caffeic acids with the esters of phenylalanine, tyrosine, DOPA and tryptophan (Fig. 6) (Georgiev et al. 2013).

In vitro studies of the antioxidant activity of these compounds was carried out by two antioxidant assay systems, the DPPH[•] assay and inhibition of lipid peroxidation (LPO) (Georgiev et al. 2013). From the results obtained, the authors could conclude that:

- The most active compounds contained the catechol moiety, whereas the presence of a methoxy groups decreased the activity;
- The conjugation of the catechol type amino acid DOPA to the less active cinnamic and *p*-coumaric acids leads to an increase in their radical scavenging activity;
- The radical scavenging activities of all compounds was dose-dependent and correlated positively with the concentrations, except for the *N*-caffeoyl-DOPA-OMe. This correlates with the saturation effect described above (Silvia et al. 2012).
- The radical scavenging activity of the aromatic amino acids (phenylalanine and tryptophan) showed that even for compounds with the weaker sinapoyl moiety, a positive influence of the phenyl and the indole moiety.

Using an innovative strategy, Monteiro et al. prepared *N*-phenolic and *N*-catecholic dehydroalanine and dehydrophenylalanine derivatives in order to study

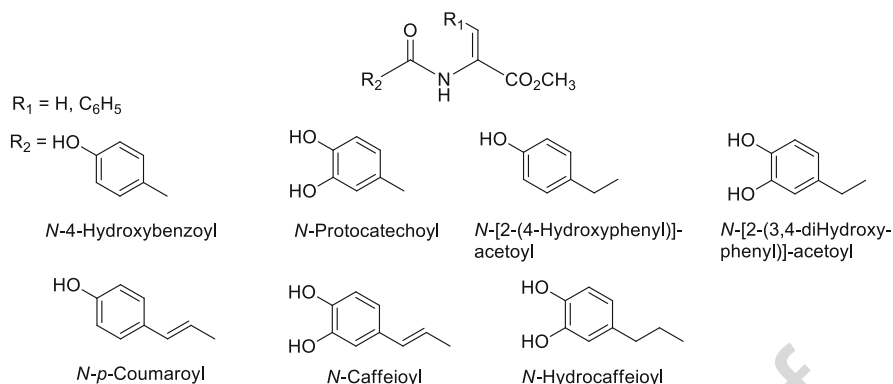


Fig. 7 Methyl esters of *N*-phenoyl and *N*-catechoyl dehydroalanines and dehydrophenylalanines

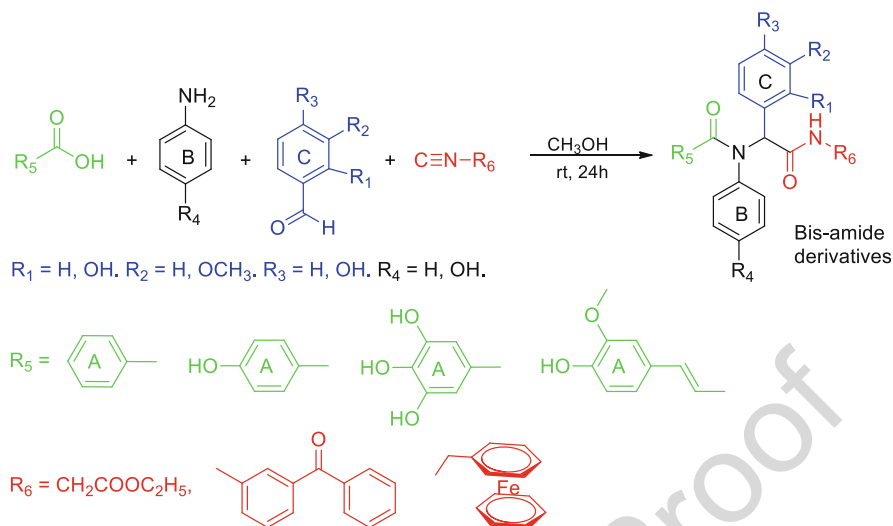
300 the effect of conjugation of dehydroamino acids with phenolic or catecholic acids
 301 (Fig. 7) (Monteiro et al. 2017).

302 The toxicity of the dehydroalanine and dehydrophenylalanine derivatives was
 303 evaluated using cancerous and non-cancerous cell cultures. These tests showed
 304 higher toxicity in relation to cancer cells than to non-cancer cells, being the most
 305 potent molecules the dehydrophenylalanine derivatives. This can be attributed to
 306 their greater liposolubility (Monteiro et al. 2017).

307 Phenolic conjugates of hydroxyphenylglycines could be obtained using the Ugi
 308 reaction in a similar fashion as described before to obtain hydroxyphenylglycines,
 309 but with the additional use of hydroxyl substituted benzoic acids. The effect of these
 310 hydroxylated bis-amides on the scavenging of radicals and in the protection of DNA
 311 oxidation was determined (Scheme 1) (Wang and Liu 2013). This allowed the study
 312 of the effects on antioxidant activity of hydroxyl groups attached to the different
 313 aromatic rings, as well as the influence of the isocyanide component, on the radical
 314 scavenging activity and protective effect of bis-amides.

315 The results showed a marked influenced of the structural feature derived from the
 316 isocyanide used in the Ugi reaction on the antioxidant effectiveness of the hydroxyl-
 317 ylated phenylglycines (Wang and Liu 2013). It was actually found that the
 318 isocyanide moiety at one end of the molecule strongly influences the antioxidant
 319 properties of the phenolic hydroxyl groups at the other end of the molecule. The
 320 most effective was the ferrocenylmethyl group, which enhances both radical scavenging
 321 activity and DNA oxidation inhibition of the bis-amides. Additionally,
 322 comparison of a series of bis-amide derivatives with this ferrocenylmethyl group
 323 indicate that the hydroxyl groups at phenyl ring C play the major role in inhibiting
 324 DNA oxidation, followed by the hydroxyl groups attached to aromatic rings B
 325 and A.

326 New therapeutic strategies based on multitarget-directed ligands have been proposed
 327 as a possible approach for the treatment of Alzheimer's disease. The goal is to
 328 bind simultaneously at diverse enzymatic systems or receptors involved in the
 329 progress and development of the disease. Lambruschini et al. (2017) using the Ugi



Scheme 1 Synthesis of hydroxylated bis-amides obtained by Ugi condensation

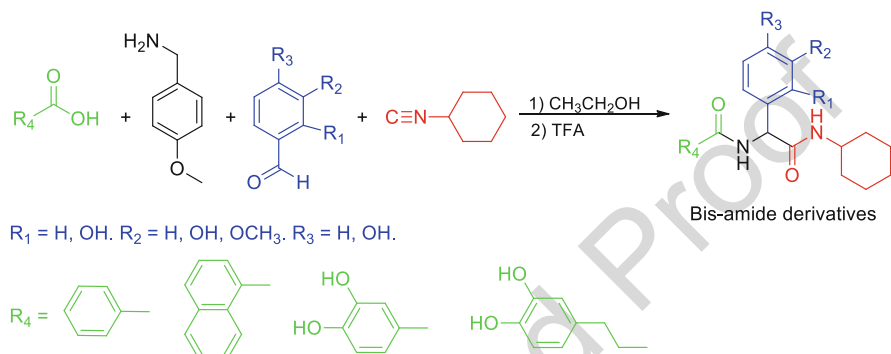
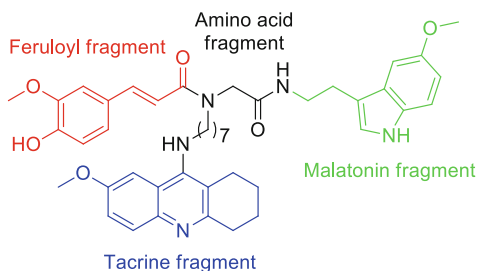
reaction, were able to prepare a series of complex polyphenols containing two to four 330
hydroxy-substituted aryl groups linked to the main structure through linkers of 331
different lengths. Some compounds showed highly promising capacity to inhibit 332
aggregation of two β -amyloid peptides and potentially can be used as prevention or 333
therapy for Alzheimer's disease. 334

Novel multifunctional tacrine derivatives (tacrine is the first FDA-approved drug 335
for the treatment of Alzheimer's disease) have been obtained by the Ugi-reaction and 336
proposed as a therapeutic strategy to modulate oxidative stress in Alzheimer's 337
(Benchekroun et al. 2016). To enforce the antioxidant additive approach, reaction 338
between ferulic or lipoic acid, a melatonin-like isocyanide, formaldehyde, and 339
tacrine derivatives, gave glycine bis-amides. Thus, these glycine bis-amides 340
contained two antioxidant motifs (the feruloyl or lipoyl, and the melatonin frag- 341
ments). Biological evaluation of these ferulic (or lipoic) acid-tacrine-melatonin 342
glycine derivatives as antioxidants, and neuroprotective agents for the potential 343
treatment of Alzheimer's disease were carried out. From these studies, a ferulic 344
acid-tacrine-melatonin glycine derivative was identified as a potent cholinesterase 345
inhibitor and a strong antioxidant, being likely to penetrate the blood brain barrier. 346
Furthermore, this compound showed the best neuroprotective profile (Fig. 8) 347
(Benchekroun et al. 2016). 348

Using the Ugi reaction to combine hydroxybenzaldehydes and phenolic or 349
catecholic acids with 4-methoxybenzylamine and cyclohexyl isocyanide, Monteiro 350
et al. prepared a series of *N*-phenoyl and *N*-catechoyl hydroxyphenylglycine amides 351
(Scheme 2) (Monteiro et al. 2019). 352

Radical-scavenging activities and anodic oxidation peak potentials of the com- 353
pounds prepared were determined. The radical-scavenging activity studies showed a 354

Fig. 8 Structure of the most active ferulic acid-tacrine-melatonin glycine derivative



Scheme 2 Synthesis of hydroxylated bis-amides obtained by Ugi condensation

355 sharp increase in activity with the increase in number of hydroxyl or catechol groups
 356 present. On the other hand, a high correlation between the oxidation peak potentials
 357 determined cyclic voltammetry and radical-scavenging activity could be established
 358 (Monteiro et al. 2019).

359 4 Antioxidant Peptides

360 Antioxidant peptides are gradually being accepted as food ingredients that positively
 361 regulate oxidative stress in the human body against lipid and protein oxidation (Liu
 362 et al. 2016). The commercial use of antioxidant peptides covers several areas, such
 363 as, functional foods, nutraceuticals and cosmeceuticals (Liu et al. 2016).

364 In recent years, characterization of antioxidant peptides from several food
 365 sources, such as, meat (Liu et al. 2016), fish (Sila and Bougateg 2016), cereals
 366 (Esfandi et al. 2019), seeds (Ye et al. 2018; Yang et al. 2018), among others, has
 367 been conducted in a growing number of studies. Both in vitro and in vivo assay
 368 methods have been used for assessing these antioxidant properties of peptides (Liu
 369 et al. 2016). There is solid evidence that high antioxidant activities of purified
 370 peptides can be determined in in vitro assays, however, degradation and modifica-
 371 tion by the intestine, vascular system and liver, challenge whether these function in

the human body. Thus, to confirm the bioavailability, *in vivo* assays, such as, animal studies and clinical trials must be carried out.

The determination of DPPH radical scavenging activity, hydroxyl radical scavenging, ferric-reducing antioxidant power, superoxide ion scavenging activity and linoleic acid peroxidation inhibition activity are among the *in vitro* methods used (Liu et al. 2016; Sila and Bougatef 2016). *In vivo* tests have included ethanol-induced cardiotoxicity in rats (Kamoun et al. 2012) and the study of the protective effects of peptides on mice skin subject to photoageing induced by UV irradiation (Sun et al. 2013).

Protein hydrolysates (peptides) are more potent antioxidants than free amino acids, which results from their chemical composition and physical properties (Liu et al. 2016; Elias et al. 2008). Even some free amino acids which have little or no effect individually can exhibit high antioxidant capacity in peptides (Nagasawa et al. 2001; Kawashima et al. 1979). Thus, the amino acid composition and sequence play important roles in determining the antioxidant activity of peptides. However, the relationship between structural characteristics of peptides, such as molecular size, amino acid composition and sequence, hydrophobicity and their activities as antioxidants is still unclear (Liu et al. 2016).

Several studies have shown that crude peptides of smaller molecular weights tend to have higher antioxidant activity. Peptide chains of 4–16 amino acids, corresponding to molecular weights of 0.5–2 kDa correspond to maximum activity (Meisel and FitzGerald 2003; Tang et al. 2009; Bougatef et al. 2012). Lower molecular weight peptides in the 1–3 kDa range were reported by Samaranyaka and Li-Chan (2011) to interact more effectively with radicals leading to termination of lipid peroxidation propagation cycles. The molecular weight ideal for antioxidant activities was further lowered by Chi et al. (2015) which studied smaller molecular size peptides with hydrophobic and/or aromatic amino acids in their sequences. The highest scavenging activities on DPPH[•], HO[•] and O₂^{-•} was found for a peptide with molecular weight 432.52 Da. Molecular weight also affects the antioxidant capacity *in vivo* since it influences the routes by which bioactive peptides are transferred into target sites (Rubas and Grass 1991). Peptides with 2–6 amino acids, when compared with proteins or single amino acids, can be more easily absorbed through the gastrointestinal barrier and enter peripheral blood, with an increase in bioavailability at tissue level (Roberts et al. 1999; Grimble 1972).

Histidine-containing peptides have also been reported to have antioxidative activity (Murase et al. 1993). This activity can be attributed to the, previously stated, hydrogen donating ability of the side chain imidazole ring, and also to metal ion-chelating and lipid peroxy radical trapping properties (Chan et al. 1994). Peptides containing His in the N-terminus show higher scavenging ability on DPPH[•], OH[•] and superoxide suggesting that this feature contributes to their high antioxidant capacity (Liu et al. 2010; Lee et al. 2012). On the other hand, Yamaguchi et al. (1975) reported stronger antioxidant activity for dipeptides consisting of Tyr and Trp at the amino terminus, and His and Met at the carboxyl terminus.

Several studies of structure and activity of antioxidant peptides have reported that peptides with hydrophobic amino acids, Val, Leu or Ile and having Ala, Tyr, His, Pro

417 or Met at the N-terminal have potent inhibitory activity (Liu et al. 2016; Chen et al.
418 1998).

419 In conclusion, the structure-antioxidant activity relationship of peptides has not
420 been completely established and structural information of antioxidant peptides from
421 various protein sources is still lacking. On the other hand, progress in testing the
422 bioavailability and thus, the application in the consumer markets of antioxidant
423 peptides has been limited due to limited animal model experiments and human
424 clinical trials. Further research has to be conducted in vivo to establish the potential
425 antioxidant effects of peptides.

426 **5 Antioxidants Targeting the Mitochondria**

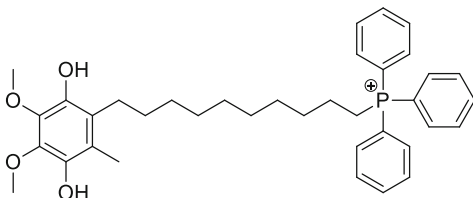
427 The mitochondria is a cytoplasmic organelle that regulates cell metabolism (Nelson
428 and Cox 2017). This organelle is involved in oxidative energy metabolism through
429 the catabolism of nutrients, ATP synthesis by oxidative phosphorylation and heat
430 production. Structurally, the mitochondria consists of two different components: the
431 inner mitochondrial matrix and the intermembrane space, with an internal mitochon-
432 drial membrane that separates them. The intermembrane space is limited by the outer
433 mitochondrial membrane, which plays the role of signal transport and transduction
434 between the organelle and the cytosol.

435 Mitochondria are an important source of reactive oxygen species and reactive
436 nitrogen species (Murphy 2009). Initial studies showed that the respiratory chain
437 produces ROS. Subsequently it was determined that isolated mitochondria produce
438 hydrogen peroxide and that this hydrogen peroxide comes from the dismutation of
439 superperoxide generated in the mitochondria (Galley 2011).

440 Mitochondria have natural processes to eliminate ROS in order to keep the cell
441 active. This network of antioxidant defence systems, consisting of a combination of
442 enzyme and non-enzyme pathways is tightly regulated. Under normal conditions, the
443 effect of ROS is cancelled out by antioxidants. When this balance is broken and the
444 effect of ROS is more potent than antioxidant power, damage occurs in the mito-
445 chondria. This unbalance has been linked to several degenerative diseases, such as
446 Alzheimer's, Parkinson's, neural death, cancer and cardiovascular diseases
447 (Sorriento et al. 2014; Szeto 2006; Hoye et al. 2008; Anders et al. 2006; Victor
448 and Rocha 2007).

449 **5.1 Non-peptidic Mitochondrial Antioxidants**

450 Several strategies to direct antioxidants to the mitochondria have been reported
451 (Anders et al. 2006; Victor and Rocha 2007). One such strategy is covalently linking
452 antioxidant molecules to lipid cations that accumulate in the mitochondria as a result
453 of the potential of the mitochondrial membrane. MitoQ (Fig. 9) consists of binding

Fig. 9 Structure of MitoQ

the ubiquinone antioxidant, also known as coenzyme 10, to the lipophilic 454
triphenylphosphonium cation (TPP) (Galley 2011; Murphy 2008). 455

The negative charge present within the mitochondrial membrane results in the 456
accumulation of MitoQ within the mitochondria in about 500 times the levels present 457
in the cytoplasm (Galley 2011; Murphy 2008). The side chain of MitoQ allows it to 458
penetrate deeply into the membrane. Thus, as soon as MitoQ enters the mitochondria, 459
it is absorbed by the inner membrane of the mitochondria and is recycled as 460
active ubiquinol through the respiratory chain. 461

Tests in isolated mitochondria have verified the effectiveness of MitoQ against 462
lipid peroxidation. Animal experiments indicate that MitoQ is efficient against 463
sepsis-induced organ dysfunction, opening perspectives for the study of this substance 464
in human diseases (Galley 2011). 465

Other antioxidants have been conjugated with TPP and tested against 466
mitochondrial dysfunctions, such as, tocopherol (MitoVitE) (Minter et al. 2020) and 467
lipoic acid (MitoLipoic acid) (Smith et al. 2008). 468

5.2 Cell Penetrating Peptides

The plasma membrane is a barrier that protects the cell from the unregulated influx of 470
bioactive molecules and ions, thus controlling the stability of its internal environ- 471
ment (Nelson and Cox 2017). Most drugs need to cross one or more cell membranes 472
to reach their targets and thus have a therapeutic effect. Small molecules are able to 473
penetrate the membrane, however larger molecules, due to their physical-chemical 474
properties, are unable to do so (Cerrato et al. 2015). The ability to transport 475
macromolecules into cells is important both in drug administration and in biotechno- 476
logical applications (therapy genetics) (Rodriguez-Plaza et al. 2014). 477

In the last decades, new biotherapeutic agents, such as peptides and proteins with 478
cell-penetrating capability have contributed to the treatment of several diseases and 479
have been designated as cell penetrating peptides (CPPs). These peptides have less 480
than 10 amino acids and contain chemical features that permit these molecules to 481
freely penetrate by passive diffusion into cells membranes (Zhao et al. 2004). CPPs 482
have opened a new pathway for delivering a wide variety of bioactive compounds 483
across the cell membrane—from proteins to therapeutic molecules—due to their 484
high efficiency in the internalization of CPPs and low cytotoxicity (Derakhshankhah 485
and Jafari 2018; Khafagy and Morishita 2012). 486

487 Although several studies on CPPs have been carried out, the mechanism by which
488 they penetrate the cell is still not completely understood (Nasrollahi et al. 2012). It is
489 suggested that their entry into the cell can be influenced by several factors, such as,
490 length of the molecule, delocalization of charge, hydrophobicity and concentration
491 (Jones et al. 2005). When linked to bioactive cargos the nature of this cargo (size and
492 charge) also influences their internalization (Fonseca et al. 2009).

493 Two mechanisms have been proposed for the entry of CPPs into the cell: direct
494 translocation across the cell membrane and through the endocytic route (Ram et al.
495 2008).

496 There are two types of CPPs that have been used to cross the cell membrane:
497 (1) cationic CPPs, consisting of short chains with various amino acid residues such
498 as arginine, lysine and histidine that provide positive charge to the peptides and
499 allow their interaction with anionic structures, present in the plasma membrane;
500 (2) amphipathic peptides, which contain lipophilic and hydrophilic tails responsible
501 for a direct translocation mechanism of peptides across the cell membrane (Aroui
502 and Kenani 2020).

503 CPPs have been used to deliver numerous classes of molecules, including DNA,
504 proteins, drugs and nanoparticles, many of which are sometimes larger than the CPP
505 itself (Langel 2019; Wagstaff and Jans 2006). However, it has not yet been deter-
506 mined whether the coupling of a CPP to a molecule of considerable size interferes
507 with the translocation mechanism (Magzoub and Gräslund 2004).

508 The conjugation of bioactive charges with a CPP can occur in two ways:
509 (1) covalent conjugation, where the bioactive molecules bind to the CPP through a
510 covalent bond; and (2) through an electrostatic interaction, in which aggregates or
511 nanoparticles are formed (Derakhshankhah and Jafari 2018; Foged and Nielsen
512 2008). These different conjugation processes can impact the route of administration,
513 the mechanism of entry into the cell, the distribution within the cell and other
514 different effects at the cellular level. Furthermore, based on the therapeutic use and
515 the nature of the target in which the drug acts, the choice of the form of conjugation
516 plays a very important role (Feni and Neundorf 2017).

517 **5.3 Mitochondrial Penetrating Peptides**

518 As stated before, mitochondria are an important target in the treatment of various
519 diseases, due to their role in energy production and cell death (Sorriento et al. 2014;
520 Hoye et al. 2008; Anders et al. 2006; Victor and Rocha 2007). Mitochondrial
521 penetrating peptides (MPPs) represent a new direction for the development of
522 vectors targeting the mitochondria. They are short peptides, with high uptake by
523 mitochondria. MPPs are generally small synthetic, positively charged, basic, hydro-
524 phobic peptides of less than 10 amino acids that freely penetrate by passive diffusion
525 into cells and are taken up into the mitochondria and accumulate in the matrix
526 (Galley 2011). Additionally, MPPs exhibit low cytotoxicity (Zhao et al. 2019).

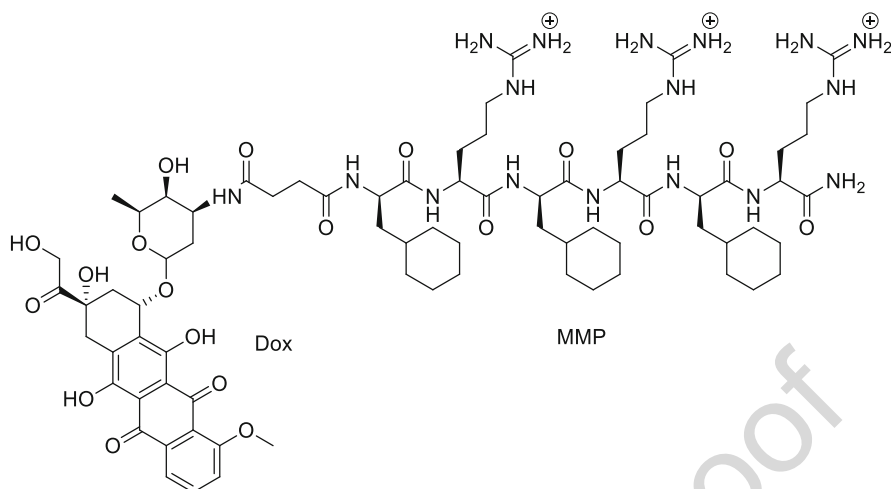


Fig. 10 Structure of the complex doxorubicin (Dox)-MPP

MPPs have been used as vehicles for the transport of bioactive molecules into the mitochondria.

With the aim of studying if the interference of nucleic acid synthesis in mitochondria would have significant cellular effects, Chamberlain et al. (2013) complexed doxorubicin (Dox) with a mitochondrial penetrating peptide (Fig. 10).

Doxorubicin is an anti-cancer drug, which inhibits the DNA topoisomerase II enzyme present in both the cell nucleus and the mitochondria. Although the potency of the Dox-MPP complex in the mitochondria decreased somewhat in sensitive cells, when compared to doxorubicin, the complex demonstrated an ability to overcome the mechanisms of resistance to multiple drugs (Chamberlain et al. 2013).

The existence of excess iron is a concern in a number of clinical conditions, as excess iron is involved in the production of pro-oxidant species by reaction with oxygen and nitrogen substrates giving rise to oxidative stress (Halliwell and Gutteridge 2015). One such disease is Friedreich's ataxia where excess iron is found in the mitochondria (Alta et al. 2017). Excess iron must be removed through the use of natural or synthetic iron chelators, with deferoxamine (DFO) being the most clinically used chelator (Kwiatkowski 2011).

Alta et al. (2017) synthesized four MPP-DFO conjugates using succinic acid as a binder, in order to have a strong iron chelator and be permeable to mitochondria. The four MPP-DFO conjugates were studied for their chelating and antioxidant properties. Results showed that the iron binding and affinity of the four conjugates are identical to free DFO and that they effectively suppress iron-catalysed oxidation (Alta et al. 2017). MPP-DFO conjugates were labelled to demonstrate mitochondrial localization in cells and all conjugates entered the mitochondria. In addition, MPP-DFO exhibited low levels of toxicity, cell cycle disruption, mitochondrial DNA damage and apoptosis (Alta et al. 2017).

553 The advance of research in the area of mitochondrial targeting and some positive
554 results in clinical trials, make it necessary to continue studying the targeted delivery
555 of different molecules to the mitochondria, in order to develop useful tools for
556 therapy and research (Galley 2011).

557 **5.4 Antioxidant Mitochondrial Penetrating Peptides**

558 An alternative approach to targeting antioxidants to the mitochondria is the use of
559 small positively charged peptides with antioxidant properties capable of protecting
560 the mitochondria from oxidative stress. Peptides of this type were developed by
561 Szeto and Schiller having acquired the designation of SS peptides (Szeto 2006,
562 2008; Rocha et al. 2010; Smith and Murphy 2011).

563 SS peptides are synthetic tetrapeptides originally developed as opioid analgesics
564 with alternating aromatic residues and basic amino acids (Cerrato et al. 2015; Anders
565 et al. 2006). Of these compounds the peptide SS-02 (Dmt-D-Arg-Phe-Lys-NH₂) and
566 SS-31 (D-Arg-Dmt-Lys-Phe-NH₂) have been prepared and studied in cell cultures
567 and isolated mitochondria (Szeto 2008).

568 Despite these peptides being water soluble, with a net charge of +3, they are
569 readily taken up by all cells via passive diffusion (Szeto 2008). Both SS-02 and
570 SS-31 have a 2,6-dimethyl-L-tyrosine residue (Dmt) but in a different position in the
571 peptide chain. The presence of a D-amino acid renders them resistant to aminopep-
572 tidase activity.

573 These SS peptides may be viewed as “cloaked” or “stealth” as they can evade
574 cellular membranes, even penetrating cell barriers with tight junctions including the
575 blood-brain barrier (Sedó et al. 2013). Absorption studies with SS-02 show rapid
576 absorption (<30 min) producing a beneficial effect, with a greater concentration in
577 the mitochondria than in the cytosol. These results and the membrane’s permeability
578 to these peptides, suggest that they can freely pass the membrane in both directions
579 (Cerrato et al. 2015; Rocha et al. 2010). The mechanism behind their cell perme-
580 ability is unclear, but the aromatic rings may serve as electron cages to shield the
581 cationic charges via cation- π interaction.

582 Studies with cell cultures and isolated mitochondria have proven that these
583 peptides are capable of eliminating mitochondrial ROS production, reduce their
584 production and inhibiting the mitochondrial permeability transition, and are there-
585 fore potent in preventing apoptosis induced by oxidative stress. These peptides have
586 shown excellent efficacy in animal models of neurodegeneration and renal fibrosis,
587 with no toxicity (Szeto 2006, 2008).

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