

1     **Impacts of the shift from distressed pavements to low noise pavements**  
2                             **in motorways – a case study in Portugal**

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5     **ABSTRACT.**

6     Road traffic noise is a relevant environmental problem, resulting essentially from the contact mechanisms  
7     between tyre and pavement surface. According to the current legislation, noise management actions must  
8     primarily intervene at the source. BRISA is employing efforts to determine pavement influence as a  
9     parameter of source noise reduction in order to address the well-being of the population surrounding  
10    highways and simultaneously comply with European directives regarding Environmental Noise evaluation  
11    and management. This Project evaluates the environmental noise effects of replacing a wearing course of  
12    Porous and Bituminous Asphalt at end-of-life for a course of SMA12, using two different methodologies  
13    for tyre-road noise measurement: the Statistical Pass-By method and the Close Proximity method.  
14

15    **Keywords.** Tyre-road noise; CPX/SPB; SMA.  
16

## 17 **Introduction**

18 Tyre-road noise is influenced by several factors, namely driver behaviour (speed control and tyre  
19 pressure), tyre characteristics (structure, dimension, rubber stiffness, tread, wear, and age),  
20 pavement surface characteristics (macro and mega texture, irregularity, porosity, stiffness, age,  
21 wear, and water presence) and weather conditions (temperature and wind) (Sandberg and Jerzy,  
22 2002). There are specific test methods for measuring tyre-road noise, which must be  
23 complemented with other surface characterisation tests such as texture, sound absorption, and  
24 surface layer stiffness determined by mechanical impedance.

25 In Portugal, a few studies were carried out based on those methodologies, namely the  
26 Statistical Pass-By method (SPB) and the Close ProXimity method (CPX), only with an  
27 exploratory nature or to support research activities (Antunes et al., 2008, Freitas et al, 2008,  
28 Freitas et al, 2009, Freitas et al, 2019). There is yet no technical documentation that defines  
29 reference values or surfaces, regarding the conformity of production or performance over time.  
30 Recently, the EU Green Public Procurement Criteria for Road Design, Construction and  
31 Maintenance was adapted to Portuguese conditions (APA, 2020), in the framework of the  
32 National Strategy for Green Public Procurement (ENCPE 2020). Nevertheless, it is a guiding  
33 document where minimum applicable requirements for the design of low noise pavements are  
34 indicated. Despite the lack of references for tyre-road noise assessments, the framework dictated  
35 by the European directives on environmental noise assessment and management (2002/49/EC and  
36 (EU) 2015/96, of June 25th and May 19th, respectively, in which noise predictions are based on  
37 road-noise, must be respected. Therefore, a gap must be fulfilled concerning tyre-road noise  
38 characterization for the Portuguese conditions.

39 In this context, *Brisa – Concessão Rodoviária*, S.A., the biggest Portuguese highway  
40 concessionaire, has developed efforts to determine pavement influence as a source noise reduction  
41 method and gather the information necessary to apply the CNOSSOS noise prediction method to  
42 its highway network, following the work developed by Anfosso-Ledee and Goubert (2019).

43 This study analyses the effect of replacing wearing courses of Porous Asphalt (PA 12.5)  
44 and Asphalt Concrete (AC 14), at end-of-life, with a high-performing wearing course of Stone  
45 Mastic Asphalt (SMA 12), through SPB and CPX methods.

## 46 **Study sections and test methods**

### 47 *Study Methodology*

48 For this exploratory study, three highway sections were selected where pavement interventions  
49 were foreseen, i.e., replacing the existing wearing course with one of the SMA 12 type. Before  
50 and after the interventions, tyre-road noise was evaluated through two different methodologies:  
51 Statistical Pass-By Method (SPB) and Close ProXimity Method (CPX).

### 52 *Description of the Study Sections*

53 The main characteristics of the pavement wearing course of the three highway sections are  
54 summarised in Tables 1-3, before and after replacing the wearing course. These characteristics  
55 include grading curve, bitumen content, air void content, and macrotexture.

56 The Mean Profile Depth (MPD) values obtained for the pavements of the three highway sections  
57 before replacing the wearing course are presented in Table 4.

58

Table 1. Characterisation of the mixtures of wearing courses before and after intervention (Highway A)

Highway A Old Pavement - AC 14 Surf 35/50					Highway A Rehabilitated Pavement - SMA 12 Surf PMB 45/80-65								
Grading Curve AC 14 Surf 35/50			Bitumen Content	Air void content	Macrotecture MTD	Grading curve (Quality Control) SMA 12 Surf PMB 45/80-65				Bitumen content	Air Void content	Macrotecture	
Sieves # mm	Grading Specification (% pass)		Specification limits			Sieves # mm	Grading Specification (% pass)		Passing values %	STD - passing %	% avg	% avg	MTD <sub>avg</sub>
16	100	100	≥ 5,0%	6,0% ± 2,0	≥ 0,7 mm	16	100	100	100	± 0	6,3 ± 0,2	6,3 ± 1,2	1,3 ± 0,1
14	100	90				14	100	100	97	± 1			
12,5	88	80				12,5	100	95	92	± 2			
10	77	67				10	100	80	79	± 3			
8						8	80	60	60	± 3			
6,3						6,3	60	43	43	± 3			
4	52	40				4	28	22	23	± 2			
2	40	25				2	22	18	18	± 2			
1						1			16	± 2			
0,500	19	11				0,500	19	15	15	± 1			
0,250						0,250			13	± 1			
0,125	11	6				0,125			11	± 1			
0,063	8	5				0,063	11	8	8,8	± 1,1			

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Table 2. Characterisation of the mixtures of wearing courses before and after intervention (Highway B)

Highway B Old Pavement - AC 14 Surf 35/50					Highway B Rehabilitated Pavement - SMA 12 Surf PMB 45/80-65								
Grading Curve AC 14 Surf 35/50			Bitumen Content	Air void content	Macrotecture MTD	Grading curve (Quality Control) SMA 12 Surf PMB 45/80-65				Bitumen content	Air Void content	Macrotecture	
Sieves # mm	Grading Specification (% pass)		Specification limits			Sieves # mm	Grading Specification (% pass)		Passing values %	STD - passing %	% avg	% avg	MTD <sub>avg</sub>
16	100	100	≥ 5,0%	6,0% ± 2,0	≥ 1,1 mm	16	100	100	100	± 0	6,5 ± 0,1	6,2 ± 0,01	1,4 ± 0,1
14	100	90				14	100	100	99	± 1			
12,5	90	70				12,5	100	95	97	± 3			
10	78	62				10	100	80	80	± 3			
8						8	80	60	60	± 4			
6,3						6,3	60	43	41	± 4			
4	39	28				4	28	22	25	± 3			
2	30	22				2	22	18	19	± 1			
1	25	17				1			17	± 1			
0,500	20	12				0,500	19	15	15	± 1			
0,250						0,250			13	± 1			
0,125						0,125			11	± 1			
0,063	10	6				0,063	11	8	8,6	± 0,7			

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Table 3. Characterisation of the mixtures of wearing courses before and after intervention (Highway C)

Highway C Old Pavement - PA 12,5 PMB 45/80-65					Highway C Rehabilitated Pavement- SMA 12 Surf PMB 45/80-65								
Grading Curve PA 12,5 PMB 45/80-65			Bitumen Content	Air void content	Macrotecture MTD	Grading curve (Quality Control) SMA 12 Surf PMB 45/80-65				Bitumen content	Air Void content	Macrotecture	
Sieves # mm	Grading Specification (% pass)		Specification limits			Sieves # mm	Grading Specification (% pass)		Passing values %	STD - passing %	% avg	% avg	MTD <sub>avg</sub>
16	100	100	≥ 4,0%	22 - 30%	≥ 1,2 mm	16	100	100	100	± 1	6,4 ± 0,1	5,6 ± 1,4	1,3 ± 0,1
14						14	100	100	98	± 2			
12,5	100	80				12,5	100	95	95	± 2			
10	80	55				10	100	80	85	± 3			
8						8	80	60	65	± 3			
6,3	48	28				6,3	60	43	45	± 3			
4	28	14				4	28	22	25	± 2			
2	21	10				2	22	18	19	± 1			
1	14	6				1			16	± 1			
0,500						0,500	19	15	14	± 1			
0,250						0,250			13	± 1			
0,125						0,125			11	± 1			
0,063	5	2				0,063	11	8	8,7	± 1,1			

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Table 4. Macrotexture Information (MPD)

Highway	Min. (mm)	Max. (mm)	Mean (mm)
A	0,5	1,4	0,8
B	0,6	2,3	1,3
C	1,7	3,6	2,5

### 65 *Statistical Pass-By method (SPB)*

66 The Statistical Pass-By method (SPB) is a standardised method published by ISO 11819-1:1997,  
67 aiming to determine an indicator that considers the noise emitted by pass-by road traffic.

68 In this way, it is possible to obtain a quantitative classification of road pavement surfaces  
69 related to road traffic noise to satisfy the necessities expressed by road infrastructure managers,  
70 designers, contractors, pavement manufacturers, and other parties interested in predicting and  
71 controlling road traffic noise.

72 To determine the sound pressure levels that characterise a given pavement surface  
73 (wearing course), a reference speed for light and heavy vehicles is adopted. The method is  
74 applicable at constant traffic speed, i.e., free flow conditions (without interference from other  
75 vehicles) circulating at speeds equal to or greater than 50 km/h, meaning, for highways, a speed  
76 of 90 km/h for heavy vehicles, and 120 km/h for light automobiles. The SPB method requires  
77 several in situ measurements, under normal driving conditions, of the maximum sound pressure  
78 level (Lmax) and circulating speed of a passing vehicle, using a sound meter (class 1 as specified  
79 in IEC 61672-1) positioned at 7,5 m from the centre line, and a kinemometer (radar).

80 Maximum sound pressure levels differ according to the class of the vehicle. Thus, at each  
81 vehicle pass-by, the maximum A-weighted sound pressure level is recorded, the speed and the  
82 vehicle type (light, heavy dual-axle, and heavy multi-axle vehicles). After the passage of at the  
83 least 100 light vehicles and 80 heavy vehicles, a linear regression is established between the  
84 logarithm of the speed and the maximum sound pressure level. Subsequently, the corresponding  
85 sound level for a certain reference speed is determined according to the road type. The resulting  
86 SPB Indicator (SPBI) from this method is an index value, in dB(A) based on the noise levels of  
87 different vehicle classes.

88 In this work, since the method requires measuring each vehicle per se, without the  
89 interference of others, only the events which fulfilled such criteria were selected. Therefore,  
90 passages that were influenced by the noise from other sources were excluded. Only two classes  
91 of vehicles (light and heavy) were considered.

### 92 *Close ProXimity method (CPX)*

93 With the advantage of measuring the tyre-road noise continuously, the Close ProXimity method  
94 (CPX) was used as defined in the EN/ISO 11819-2:2017 standard. In the present case, the noise  
95 measurement was performed close to one of the wheels of the testing vehicle, where two  
96 microphones were placed according to the mounting scheme defined by the standard. An analysis  
97 software processed the signals recorded during testing, and the noise emission (A-weighted) was  
98 evaluated in 20-metre sections as the arithmetic mean of the sound levels recorded by each  
99 microphone, and by the corresponding sound spectrum in 1/3 octave bands ( $L_{CPX}$ ). In this study,  
100 only the tyre representative of light vehicles (P) was considered.

101 The measurements were taken along the three sections for reference speeds of 50 km/h,  
102 80 km/h, and 100 km/h, although, in the latter case, they were not carried out in all sections tested.

103 **Presentation and analysis of the results for the SPB method**

104 ***Measured Noise Level on each Highway***

105 Table 5 shows the results obtained by the SPB method on the three highway sections, before and  
 106 after replacing the wearing courses. The comparison of the SPBI shows a significant reduction of  
 107 3 and 4 dB(A), respectively on the highway sections A and B, which was similar for light and  
 108 heavy vehicles.

109 Highway A provided consistently lower tyre-road noise values. This performance must  
 110 be further investigated. One possible cause might be the applicability of the SPB method  
 111 concerning the geometric requirements. The north of Portugal is characterized by high road slops,  
 112 consequently, high embankments, short shoulders and recovery areas. Before the intervention,  
 113 Highway C, on porous asphalt provided the same noise level as Highway B, on asphalt concrete,  
 114 which shows that it had lost most of the absorption capacity that characterizes this type of mixture.  
 115 The analysis of the noise spectra will help explain these remarks.

116 ***Spectrum Analysis***

117 Several mechanisms and factors determine the sound spectra resulting from tyre-road contact, the  
 118 main ones being vibrations promoted by the pavement texture in the tyres and the pavement  
 119 maintenance state (for frequencies lower than about 1000 Hz), and the air movements resulting  
 120 from the interaction of the tyre tread with the irregularities of the pavement (for frequencies higher  
 121 than about 1000 Hz) and by the sound absorption (Bühlmann and Ziegler, 2012).

122 Figures 1-3 show the sound spectra for each highway.

123 Table 5. Results obtained by SPB testing

Highway	Interven	Vehicle class	% Light/Heavy vehicle	Average L <sub>max</sub> dB(A)	Standard sound signal deviation dB(A)	Standard speed deviation (km/h)	Average speed (km/h)	L <sub>veh</sub> (dB(A) (120km/h and 90km/h)	SPBI dB(A)
A	Before	Light	95%	77	3,7	17,7	108	78	78
		Heavy	5%	80	3,7	13,5	85	80	
	After	Light	95%	77	6,5	14,4	109	75	75
		Heavy	5%	78	1,8	12,3	88	77	
B	Before	Light	66%	82	1,9	18,3	114	82	85
		Heavy	34%	86	1,2	4,5	87	87	
	After	Light	67%	82	1,3	17,9	122	82	86
		Heavy	33%	89	1,8	6,6	92	88	
C	Before	Light	93%	87	4,5	13,7	124	86	86
		Heavy	7%	87	2,4	5,6	90	87	
	After	Light	93%	82	3,4	13,9	120	81	82
		Heavy	7%	84	3,6	8,3	94	82	

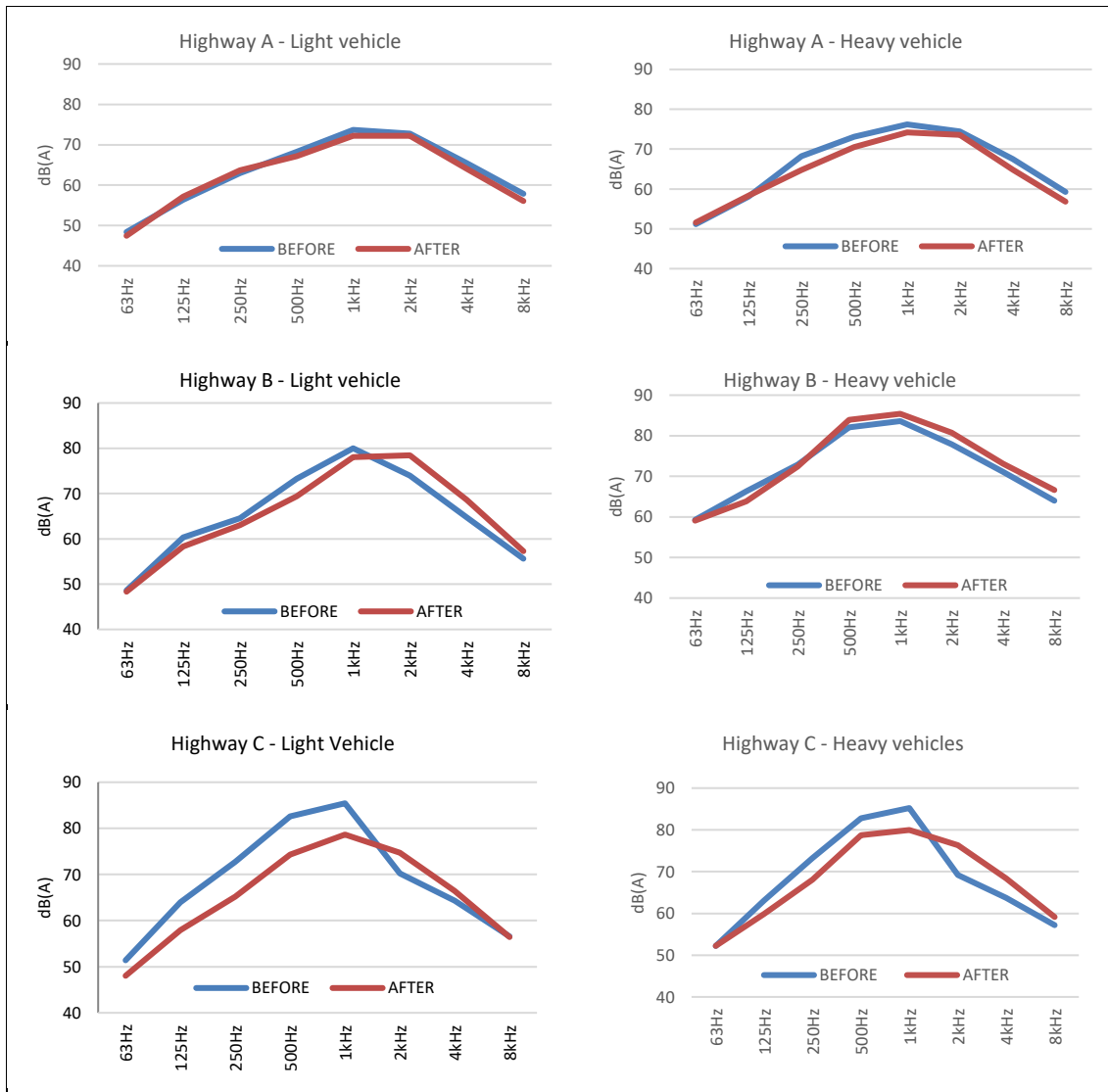


Fig.1. Sound level vs frequency (1/1 octave band).

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Before and after replacing the wearing course, the analysis of the frequency spectrum per octave band shows an identical behaviour for low frequencies, except for highway C where a decrease in sound pressure levels per frequency was observed for light vehicles. For high frequencies, on highway A the behaviour at low frequencies is also identical, while on highways B and C, there was an increase in the sound pressure levels per frequency, which was more accentuated for heavy vehicles.

133

### Presentation and analysis of the results for the CPX method

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#### *Measured Noise Level on each Highway*

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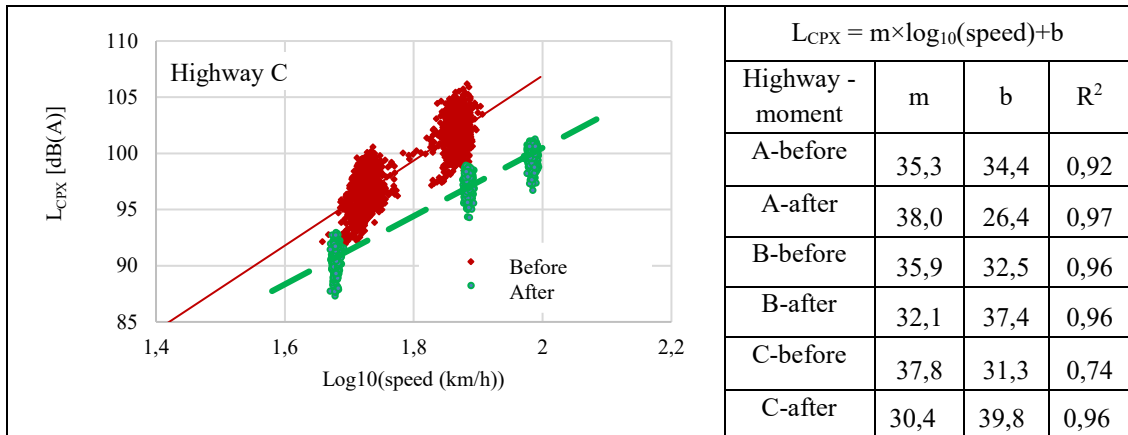
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The measured noise level is significantly affected by the test vehicle speed. For results comparison for the three defined speeds from the noise levels determined in each 20-metre segment and the corresponding speed,  $L_{CPX} - \log_{10}(\text{speed})$  regression lines were defined, whose slope (m) is used to correct the measured  $L_{CPX}$  for a given reference speed. For the situations before and after the intervention, Figure 4 shows the obtained data and the fit lines. The figure

140 also shows, for the three highways, the obtained regression line parameters, slope (m) and  
 141 ordinate at the origin (b), and the coefficient of determination ( $R^2$ ).

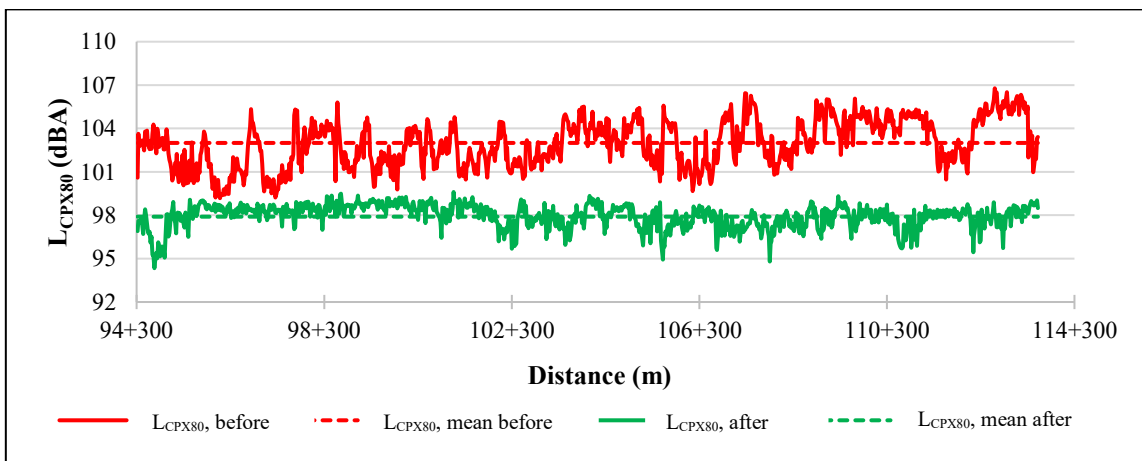
142 It can be observed that the parameter representing noise increase with speed changes  
 143 significantly for highway C, indicating that the impact of the intervention in terms of noise  
 144 reduction is higher at higher speeds. Highway B shows a similar trend to that of C. However, for  
 145 highway A this trend is reversed.  
 146



147 Fig.4.  $L_{CPX}$  at 50, 80 and 100 km/h in Highway C / Regression line coefficients for all highways.

148 All  $L_{CPX}$  values were adjusted for the reference speeds (50, 80, and 100 km/h). Figure 5 shows  
 149 the values obtained for the 80 km/h reference speed before and after intervention on highway C.  
 150 In addition to facilitating the comparison of noise levels obtained along a section at different  
 151 pavement life moments, this type of visualisation helps identify zones of homogeneous and  
 152 heterogeneous behaviour, which can be related to performance explicative factors such as texture.  
 153 In this section before the intervention, noise variability along it is notorious, reaching 7,6 dB(A).

154 After the intervention, besides the observed reduction of the average  $L_{CPX}$  by 5 dB(A),  
 155 the noise variability was also reduced to 5 dB(A). The coefficient of variation after the  
 156 intervention reduced for each section, which indicates that tyre-road noise became more  
 157 homogeneous and that the effect of the intervention in some locations is much higher than the  
 158 average effect determined by the difference of the mean  $L_{CPX}$ . If  $L_{CPX}$  per segment is considered,  
 159 there are differences before-after intervention reaching 12 dB(A).

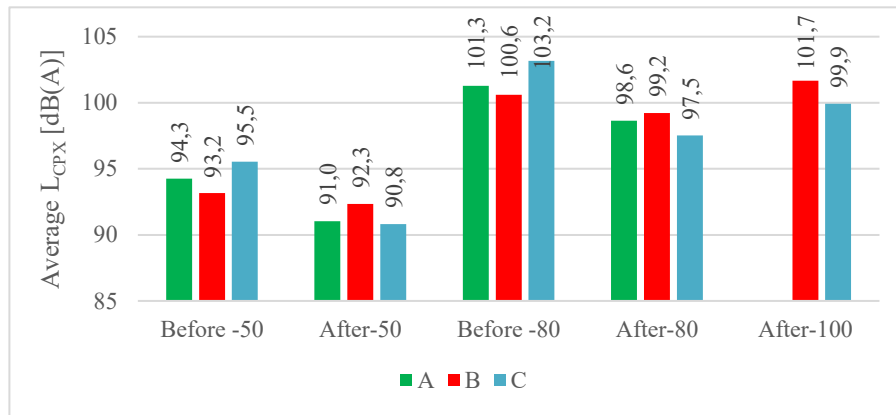


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Fig.5.  $L_{CPX}$  at 80 km/h in highway C before and after intervention (example).

162 For an overall evaluation of the effect of changing the wearing course in the three highway  
 163 sections, the mean  $L_{CPX}$  was determined at each reference speed in both traffic directions (see  
 164 Figure 6). Highway C benefitted the most from the course change, while highway B presented  
 165 only a small reduction.

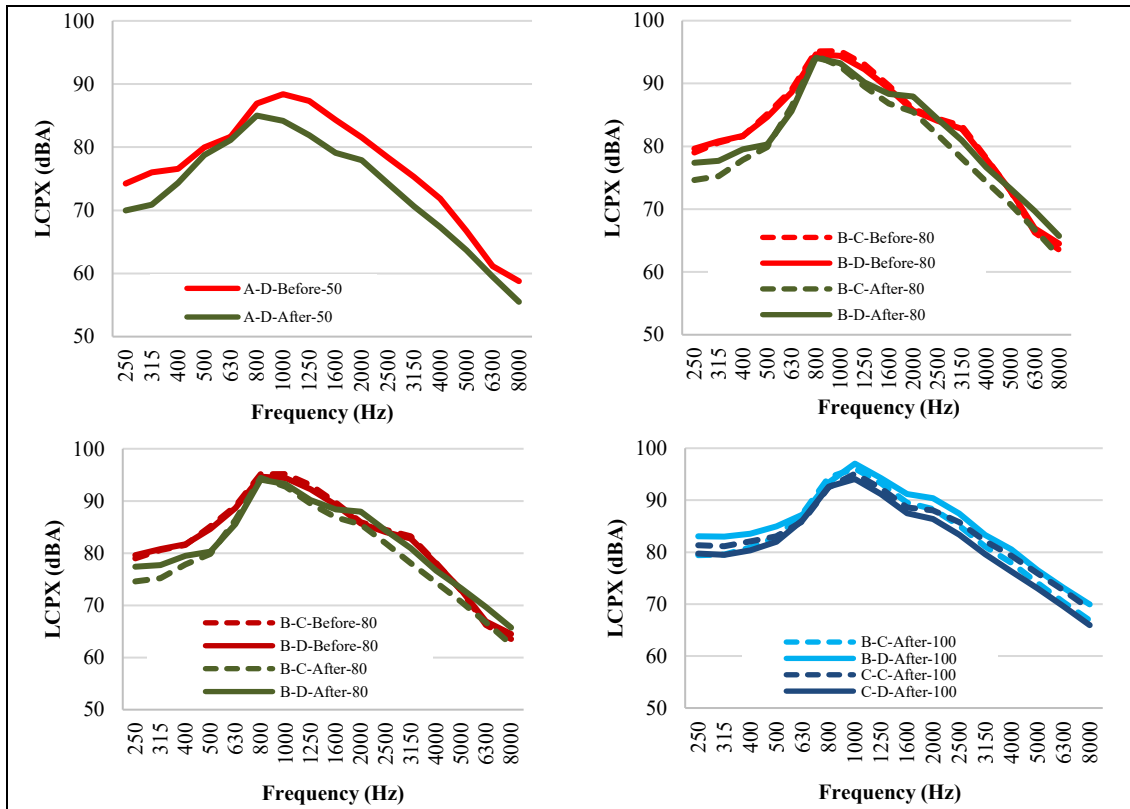


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167 Fig.6.  $L_{CPX}$  Noise Levels at 50, 80 and 100km/h in Highways A, B and C, before and after intervention.

168 **Spectrum Analysis**

169 Figure 7 presents the sound spectra for a speed of 80 km/h, per direction (C-crescent, D-  
 170 decrescent), before and after the intervention, for the three highways, and for a speed of 100 km/h  
 171 after intervention for highways B and C.



172

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Fig.7.  $L_{CPX}$  at 80 km/h in highways A, B and C, before and after intervention, and at 100 km/h in highways B and C, after intervention.



174 On highway A, the effect of changing the wearing course was predominant at high frequencies,  
175 meaning that noise reduction was essentially due to favourable air movements provided by the  
176 texture. This effect is opposite to that observed for highway C, where after the intervention there  
177 was a significant noise reduction at low frequencies and a small increase at high frequencies. The  
178 new wearing course provided a reduction in tyre vibrations and negatively affected the air  
179 movement mechanisms resulting from tyre-road interaction. The effect in the case of highway B  
180 was closer to that of highway A.

181 Considering the reference speed of 100 km/h, as it is closer to the operational speed in  
182 this type of road, it should be noted that the noise variation at each frequency, resulting from the  
183 intrinsic variability of construction conditions, was in average 4 dB(A).

## 184 **SPB and CPX method comparison**

185 The two methods were able to provide data to determine the resulting noise change after the  
186 replacement of the existing wearing course by one of the SMA 12 type and also investigating the  
187 factors affecting it.

188 The results of both methods allow to establish the same hierarchy in terms of ordering  
189 the highways according to the level of noise reduction after replacement of the wearing course,  
190 specifically: highway C, highway A, and highway B.

191 Also, there is some similarity regarding the level of noise reduction after replacement of  
192 the wearing course of the three highways, obtained by both methods. Specifically, for light  
193 vehicles, there is a reduction of about 3 dB(A) on highway A, a reduction of 5 dB(A) on highway  
194 C, and a variation between - 1.4 dB(A) and + 1 dB(A) on highway B.

195 Overall, the results obtained by both methods on highways B and C confirm the noise  
196 difference of approximately 20 dB(A) for light vehicles, corroborating the relationship obtained  
197 in international studies [ROSANNE, 2016). Thus, in an expedite way the noise levels obtained by  
198 one methodology can be reasonably estimated in function of the values measured by the other.

199 Only in a more detailed analysis of noise level reduction by frequency ranges can be seen  
200 a greater dissonance between the two methods. In fact, the results of the CPX method indicate  
201 that replacement of the wearing course generates a greater reduction in noise levels at high  
202 frequencies in the case of highways A and B, and at low frequencies in the case of highway C.  
203 The results of the SPB method, in turn, indicate that wearing course replacement does not allow  
204 for a reduction in noise levels at high frequencies in any of the highways, and that it allows for a  
205 reduction at low frequencies in highway C. This observation is due to the effect of heavy vehicles  
206 which, in this analysis, are considered only in the SPB method, and to the effect of sound  
207 propagation.

## 208 **Conclusions**

209 From the data obtained via the SPB and CPX methods, the effect in terms of noise reduction of  
210 the replacement of the existing wearing course by SMA 12 was assessed in three highways. While  
211 in two of them there was a noise reduction between 3 and 4 dB(A), in the other one the effect was  
212 negligible.

213 Both SPB and CPX methods point to similar global noise reduction levels caused by  
214 replacement of the wearing course. However, the more detailed analysis of noise reduction levels  
215 by frequency indicates some dissonance between the results obtained by the two methods, caused  
216 by the sound propagation effect in the results from the SPB method. Therefore, it seems that both  
217 methods can be used complementarily, since the SPB method allows for the observation of noise

218 reduction levels in a wide range of vehicle types and considers a greater diversity of factors, and  
219 the CPX method allows for the characterisation of a long stretch of road in a short period of time.

220 For future studies, and for the SPB method, the need for a larger sample size was  
221 identified, given the assumptions associated with the test method. Also, to relate the observed  
222 noise reduction with variations of the wearing course characteristics, these must be evaluated after  
223 intervention through measurement in continuum, for comparison with the MPD values obtained  
224 prior to the intervention.

225 The analysis of the data resulting from the SPB and CPX methods suggests that these  
226 approaches can contribute to obtaining baseline data on pavement characteristics for predictive  
227 noise models. These data can be very useful for the obtaining of models better adjusted to existing  
228 conditions once being collected in situ and consequently more adapted to effective noise  
229 propagation (Anfosso-Ledee and Goubert, 2019). Therefore, the development of methodologies  
230 for obtaining pavement characterisation parameters for use in noise simulation models is  
231 envisaged as a future challenge, designing and outlining tests based on the SPB and CPX methods  
232 with this objective in mind, and considering the pavement typology used in the network operated  
233 by Brisa.

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